## Edgar Filing: Valencia Richard P. - Form 4

| Valencia Rich<br>Form 4<br>June 18, 2018   |  |  |   |  |                          |  |  |  |  |             |  |
|--|--|--|---|--|--------------------------|--|--|--|--|-------------|--|
| FORM   | Λ                                      |  |   |  |                          |  |  |  | PPROVA   | L           |  |
|  |  | CURITIES AND EXCHANGE COMMISSION<br>Washington, D.C. 20549                                     |   |  |                          |  | 3235-  | 0287   |  |             |  |
| Check this box<br>if no longer   |  |  |   |  |                          |  |  | Expires:   | Januar   | -           |  |
| subject to<br>Section 16<br>Form 4 or  | 51AIEN<br>5.                           | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OI<br>SECURITIES                                  |   |  |                          |  |  |  | average<br>urs per   | 2005<br>0.5 |  |
| Form 5<br>obligations<br>may continue.<br>See Instruction<br>1(b).<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940 |  |  |   |  |                          |  |  |  |  |             |  |
| (Print or Type R   | esponses)                              |  |   |  |                          |  |  |  |  |             |  |
| 1. Name and Address of Reporting Person <u>*</u><br>Valencia Richard P.  |  |  | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>TANDEM DIABETES CARE INC<br>[TNDM] |  |                          |  | 5. Relationship of Reporting Person(s) to<br>Issuer  |  |  |             |  |
|  |  |  |   |  |                          |  | (Check all applicable)   |  |  |             |  |
|  |  |  | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>06/14/2018                           |  |                          |  | X_ Director10% Owner<br>Officer (give titleOther (specify<br>below)below)  |  |  |             |  |
|  |  |  |   | endment, Da<br>onth/Day/Yea                      | -                        | 1  | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person            |  |  |             |  |
| SAN DIEGO, CA 92121  |  |  |   |  |                          |  | Form filed by More than One Reporting Person   |  |  |             |  |
| (City)   | (State)                                | (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |  |                          |  |  |  |  |             |  |
|  | 2. Transaction Date<br>Month/Day/Year) |  | Date, if  | 3.<br>Transactio<br>Code<br>(Instr. 8)<br>Code V | Disposed<br>(Instr. 3, 4 | (A) or<br>of (D)                             | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I)<br>(Instr. 4) | 7. Nature<br>Indirect<br>Beneficia<br>Ownershi<br>(Instr. 4) | ıl          |  |
| Reminder: Repo   | ort on a separate line                 | e for each cla   | ass of sec  | urities benet                                    | ficially ow              | ned directly                                 | or indirectly.   |  |  |             |  |
|  |  |  |   |  | inforn<br>requir         | nation cont<br>ed to response<br>lys a curre | spond to the colle<br>tained in this form<br>ond unless the fo<br>ntly valid OMB co                                | n are not<br>rm  | SEC 1474<br>(9-02)   |             |  |
|  | Tab                                    |  |   |  |                          | posed of, or<br>convertible                  | Beneficially Owned securities)   | 1  |  |             |  |

1. Title of2.3. Transaction Date3A. Deemed4.5. Number of<br/>TransactionDerivative6. Date Exercisable and<br/>Expiration Date7. Title and Amount of<br/>Underlying Securities8. Pr0. DerivativeConversion(Month/Day/Year)Execution Date, if<br/>Execution Date, ifTransactionDerivative6. Date Exercisable and<br/>Expiration Date7. Title and Amount of<br/>Underlying Securities8. Pr

## Edgar Filing: Valencia Richard P. - Form 4

| Security<br>(Instr. 3) | or Exercise<br>Price of<br>Derivative<br>Security |            | any<br>(Month/Day/Year) | Code<br>(Instr. 8) | Securities (Month/Day/Year)<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) |     | Year)               | (Instr. 3 and 4)   |                 | Secu<br>(Instr                      |    |
|------------------------|---|------------|-------------------------|--------------------|---|-----|---------------------|--------------------|-----------------|-------------------------------------|----|
|                        |   |            |                         | Code V             | V (A)   | (D) | Date<br>Exercisable | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of Shares |    |
| Stock<br>Options       | \$ 18.86  | 06/14/2018 |                         | А                  | 50,000  |     | (2)                 | (3)                | Common<br>Stock | 50,000                              | \$ |

## **Reporting Owners**

| <b>Reporting Owner Name / Address</b>  | Relationships |           |         |       |  |  |  |  |
|--|---------------|-----------|---------|-------|--|--|--|--|
|  | Director      | 10% Owner | Officer | Other |  |  |  |  |
| Valencia Richard P.<br>C/O TANDEM DIABETES CARE, INC.<br>11075 ROSELLE STREET<br>SAN DIEGO, CA 92121 | х             |           |         |       |  |  |  |  |
| Signatures   |               |           |         |       |  |  |  |  |
| /s/ Leigh A. Vosseller, Attorney-in-Fact for Richard P.  |               |           |         |       |  |  |  |  |

Valencia

\*\*Signature of Reporting Person

06/18/2018 Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Granted pursuant to the Tandem Diabetes Care, Inc. 2013 Stock Incentive Plan.
- (2) All shares subject to the option will vest in 36 equal monthly installments commencing one month following the date of grant.
- (3) The expiration date for these options is 10 years from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.