Ponnavolu Kishore Form 3 September 04, 2018

(Print or Type Responses)

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement METLIFE INC [MET] A Ponnavolu Kishore (Month/Day/Year) 09/01/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) METLIFE, INC., 200 PARK (Check all applicable) **AVENUE** (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting President, Asia Person NEW YORK, NYÂ 10166 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 12,636 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)
		(Instr. 4)	Price of	Derivative	
		Title	Derivative	Security:	
			Security	Direct (D)	

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Restricted Stock Units	(1)	(1)	Common Stock	1,335	\$ <u>(2)</u>	D	Â
Restricted Stock Units	(3)	(3)	Common Stock	1,940	\$ <u>(2)</u>	D	Â
Restricted Stock Units	(4)	(4)	Common Stock	3,022	\$ <u>(2)</u>	D	Â
Employee Stock Options (right to buy)	(5)	02/24/2024	Common Stock	7,553	\$ 45.15	D	Â
Employee Stock Options (right to buy)	(6)	02/23/2025	Common Stock	8,494	\$ 45.91	D	Â
Employee Stock Options (right to buy)	(7)	02/22/2026	Common Stock	4,005	\$ 34.33	D	Â
Employee Stock Options (right to buy)	(8)	02/27/2027	Common Stock	8,725	\$ 46.85	D	Â
Employee Stock Options (right to buy)	(9)	03/01/2028	Common Stock	9,064	\$ 45.5	D	Â

### **Reporting Owners**

Reporting Owner Name / Address	Relationships				
. 0	Director	10% Owner	Officer	Other	
Ponnavolu Kishore METLIFE, INC., 200 PARK AVENUE NEW YORK, NY 10166	Â	Â	President, Asia	Â	

## **Signatures**

Mark A. Schuman, authorized signer 09/04/2018

\*\*Signature of Reporting Person Date 
Description

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These Restricted Stock Units will vest on March 1, 2019.
- (2) Each Restricted Stock Unit is the contingent right to receive one share of MetLife, Inc. common stock.
- (3) These Restricted Stock Units will vest in two installments on March 1, 2019 and March 2, 2020.
- (4) These Restricted Stock Units will vest in three installments on March 4, 2019, March 2, 2020 and March 2, 2021.
- (5) These options became exercisable in three installments on February 25, 2015, February 25, 2016 and February 25, 2017.
- (6) These options became exercisable in three installments on February 24, 2016, February 24, 2017 and February 24, 2018.

**(7)** 

Reporting Owners 2

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These options will become exercisable on February 23, 2019. The reported number of underlying shares represent outstanding unexercised options.

- (8) These options became and will become exercisable in three installments on February 28, 2018, February 28, 2019 and February 28, 2020.
- (9) These options will become exercisable in three installments on March 2, 2019, March 2, 2020 and March 2, 2021.

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#### **Remarks:**

### Exhibit List: Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.