Edgar Filing: Plagemann Thomas G. - Form 4

Plagemann T	homas G.										
Form 4	2019										
September 28											
				RITIES AND EXCHANGE COMMISSION ashington, D.C. 20549						9PROVAL 3235-0287	
Check thi if no long subject to Section 10 Form 4 or Form 5 obligatior may conti <i>See</i> Instru 1(b).	er STATEM 6. Filed pur ¹⁸ Section 17(a	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							Expires:January 31Expires:200Estimated averageburden hours perresponse0.		
(Print or Type R	(esponses)										
Plagemann Thomas G. Syn			2. Issuer Name and Ticker or Trading Symbol Vivint Solar, Inc. [VSLR]				5	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Month/D 1800 WEST ASHTON BLVD (Street) 4. If Amer			(Month/Da	Date of Earliest Transaction Month/Day/Year) 9/26/2018				Director 10% Owner X Officer (give title 0ther (specify below) CCO; EVP, Capital Markets			
			endment, Date Original onth/Day/Year)				6. Individual or Joint/Group Filing(CheckApplicable Line)_X_ Form filed by One Reporting Person				
LEHI, UT 84	4043							Form filed by M Person	fore than One Re	eporting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecurit	ies Acq	quired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Data (Month/Day/Year)	e 2A. Deen Executior any (Month/D	n Date, if	3. Transactic Code (Instr. 8) Code V	4. Securiti on(A) or Dis (D) (Instr. 3, 4 Amount	sposed	of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	09/26/2018			А	28,846 (1)	А	\$0	224,593	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 I S (
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 5.2	09/26/2018		A	44,510	(2)	09/26/2028	Common Stock	44,510	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Plagemann Thomas G. 1800 WEST ASHTON BLVD LEHI, UT 84043			CCO; EVP Capital Markets	,			
Signatures							

/s/ Dana C. Russell, 09/28/2018 attorney-in-fact

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents restricted stock units ("RSUs") granted pursuant to the 2014 Equity Incentive Plan, which can only be settled with shares of Common Stock. 25% of the shares subject to this award will vest on September 6, 2019, and 1/16th of the shares subject to this award (1)

will vest on each quarterly vesting date thereafter. The term "quarterly vesting date" shall mean the sixth day of March, June, September and December of any fiscal year, as applicable.

25% of the shares subject to this award will vest on September 6, 2019, and 1/16th of the shares subject to this award will vest on each (2) quarterly vesting date thereafter. The term "quarterly vesting date" shall mean the sixth day of March, June, September and December of any fiscal year, as applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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