## Edgar Filing: Bush Jennifer - Form 4

Bush Jennife	er										
Form 4 November 2	0 2018										
<b>FORM 4</b> <b>UNITED STATES SECURITIES AND EXCHANGE COMMISSION</b> Weschington D.C. 20549								OMB APPROVAL OMB 3235-0287			
Check th if no long subject to Section 1 Form 4 o Form 5 obligatio may cont See Instru 1(b).	ger 5.6. 6. 6. 7 Filed pu 17 17 17 17 16 17 17 17 17 17 17 17 17 17 17	<b>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF</b> <b>SECURITIES</b> Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section								Number:January 31,Expires:2005Estimated averageburden hours perresponse0.5	
(Print or Type I	Responses)										
Bush Jennifer Symbol				NOVO HOLDINGS, INC.				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) 6275 NANO SUITE 110	(First) CY RIDGE DRI	(Middle) VE,	3. Date o	f Earliest T Day/Year)	ransaction			Director _XOfficer (give pelow) General Co		Owner er (specify etary	
				onth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
SAN DIEG	O, CA 92121						-	_X_ Form filed by O Form filed by Me Person			
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative	Secur	ities Acqui	ired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)		n Date, if	3. Transactio Code (Instr. 8) Code V	omr Dispos (Instr. 3, 4 Amount	ed of (	D) 5) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	11/16/2018			F	11,915 (1)	D	\$ 1.0673	281,588 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	Date	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code V	<sup>7</sup> (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Bush Jennifer 6275 NANCY RIDGE DRIVE, SUITE 110 SAN DIEGO, CA 92121			General Counsel & Secretary					
Signatures								
1 1 1 100 100 10								

/s/ Jennifer Bush 11/20/2018

<u>\*\*</u>Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents the mandatory sale of shares to satisfy the Reporting Person's tax withholding obligations, in connection with the partial (1) vesting and settlement of restricted stock units granted in July 2016, June 2017 and May 2018, pursuant to the Reporting Person's agreement with the Issuer.

(2) This number does not include 1,333,333 shares of common stock underlying outstanding options held by Ms. Bush; post-transaction Ms. Bush beneficially owns or has a right to acquire 1,614,921 shares of common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.