Hirshberg Al J. Form 4 December 04, 2018

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB APPROVAL OMB** 

Washington, D.C. 20549

3235-0287 Number: January 31,

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0.5

if no longer subject to Section 16. Form 4 or

Check this box

Expires: STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Estimated average burden hours per

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

response...

obligations may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Form 5

(Print or Type Responses)

1. Name and Address of Reporting Person \* Hirshberg Al J.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Last)

(Middle)

CONOCOPHILLIPS [COP] 3. Date of Earliest Transaction

Director 10% Owner

(Check all applicable)

(First) 600 NORTH DAIRY ASHFORD

(Month/Day/Year)

Other (specify \_X\_\_ Officer (give title below)

11/30/2018

**Executive Vice President** 6. Individual or Joint/Group Filing(Check

(Street) 4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

HOUSTON, TX 77079

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	11/30/2018		Code V M	Amount 1,253	(D)	Price (1)	82,460	D	
Common Stock	11/30/2018		F	1,253	D	\$ 66.185	81,207	D	
Common Stock							4,000	I	As Trustee for The Charles S. Hirshberg, MD Revocable Trust
Common Stock							5,000	I	As Trustee for The Charles S.

Hirshberg, MD and Dorothy W. Hirshberg Family Trust

(9-02)

By

Common 1,450.305 I

ConocoPhillips (2) Stock Savings Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 2. 3. Transaction Date 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and Amount of 8. Pric Derivative Conversion (Month/Day/Year) Execution Date, if Transaction of Derivative Expiration Date **Underlying Securities** Deriva Security or Exercise Code Securities (Month/Day/Year) (Instr. 3 and 4) Securi (Instr. 3) Price of (Month/Day/Year) (Instr. 8) Acquired (Instr. Derivative (A) or Security Disposed of (D) (Instr. 3, 4, and 5) Amount or Expiration Title Date Number Exercisable Date of Code V (A) (D) Shares Stock 1.253 Common (5) (6) (3) 11/30/2018 M 1,253 (4) Units Stock

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Hirshberg Al J.

**Executive Vice President** 600 NORTH DAIRY ASHFORD

HOUSTON, TX 77079

#### **Signatures**

Shannon B. Kinney (by Power of Attorney filed with the Commission on February 6, 12/04/2018 2018)

> \*\*Signature of Reporting Person Date

Reporting Owners 2

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each stock unit was the economic equivalent of one share of common stock.
- (2) Includes units acquired through routine dividend transactions that are exempt under rule 16a-11 and through a qualified plan that are exempt under rule 16a-3.
- (3) The stock units represent ConocoPhillips common stock on a 1-for-1 basis.
- (4) Reflects partial lapsing of restrictions on the 2018 Executive RSU grant to cover FICA obligation and associated income taxes for retirement eligible employees.
- (5) The stock unit grant settles 3 years from February 13, 2018, subject to earlier or partial settlement upon, termination of employment after attainment of age 55 with five years of service, layoff, death or disability or a change of control.
- (6) The stock units do not have an expiration date.
- (7) Includes dividend equivalent units acquired through routine transactions that are exempt under rule 16a-11 and through a qualified plan that are exempt under rule 16a-3.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.