

Gruber Vinzenz P.
Form 3
January 02, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Gruber Vinzenz P.

(Last) (First) (Middle)

MONDELEZ
INTERNATIONAL,
INC., THREE PARKWAY
NORTH

(Street)

DEERFIELD, IL 60015

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

01/01/2019

3. Issuer Name and Ticker or Trading Symbol
Mondelez International, Inc. [MDLZ]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
EVP and President, Europe

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Class A Common Stock

150,529 ⁽¹⁾

D

A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Options (right to buy)	Â (2)	02/23/2021	Class A Common Stock	11,320	\$ 20.8303	D	Â
Stock Options (right to buy)	Â (3)	02/23/2022	Class A Common Stock	16,580	\$ 24.8687	D	Â
Stock Options (right to buy)	Â (4)	02/20/2023	Class A Common Stock	22,190	\$ 27.05	D	Â
Stock Options (right to buy)	Â (5)	02/19/2024	Class A Common Stock	20,130	\$ 34.165	D	Â
Stock Options (right to buy)	Â (6)	02/18/2025	Class A Common Stock	22,000	\$ 36.94	D	Â
Stock Options (right to buy)	Â (7)	02/22/2026	Class A Common Stock	22,830	\$ 39.7	D	Â
Stock Options (right to buy)	Â (8)	02/16/2027	Class A Common Stock	20,980	\$ 43.2	D	Â
Stock Options (right to buy)	Â (9)	02/22/2028	Class A Common Stock	20,830	\$ 43.51	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Gruber Vinzenz P. MONDELEZ INTERNATIONAL, INC. THREE PARKWAY NORTH DEERFIELD, IL 60015	Â	Â	Â EVP and President, Europe	Â

Signatures

/s/ Jenny L. Lauth, by Power of Attorney
01/02/2019

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Total number of shares includes 37,111 deferred stock units awarded under the Issuer's Amended and Restated 2005 Performance Incentive Plan. Deferred stock units will vest as follows: 2,265 on September 3, 2019 and 34,846 on December 31, 2019.
- (2) Options vested in three annual installments as follows: 33% on February 23, 2012; 33% on February 25, 2013; and 34% on February 24, 2014.
- (3) Options vested in three annual installments as follows: 33% on February 25, 2013; 33% on February 23, 2014; and 34% on February 23, 2015.
- (4) Options vested in three annual installments as follows: 33% on February 20, 2014; 33% on February 20, 2015; and 34% on February 20, 2016.
- (5) Options vested in three annual installments as follows: 33% on February 19, 2015; 33% on February 19, 2016; and 34% on February 19, 2017.
- (6) Options vested in three annual installments as follows: 33% on February 18, 2016; 33% on February 18, 2017; and 34% on February 18, 2018.
- (7) Options vested or will vest in three annual installments as follows: 33% on February 22, 2017; 33% on February 22, 2018; and 34% on February 22, 2019.
- (8) Options vested or will vest in three annual installments as follows: 33% on February 16, 2018; 33% on February 16, 2019; and 34% on February 16, 2020.
- (9) Options will vest in three annual installments as follows: 33% on February 22, 2019; 33% on February 22, 2020; and 34% on February 22, 2021.

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Remarks:

ExhibitÂ 24.1Â PowerÂ ofÂ Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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