

Hatfield Michael D.
Form 3
February 20, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Â Hatfield Michael D.		(Month/Day/Year)	CONOCOPHILLIPS [COP]	
(Last)	(First)	(Middle)	02/15/2019	
16930 PARK ROW DR.			4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
(Street)			(Check all applicable)	
HOUSTON,Â TXÂ 77084			☐ Director ☐ 10% Owner ☒ Officer ☐ Other (give title below) (specify below)	6. Individual or Joint/Group Filing(Check Applicable Line) ☒ Form filed by One Reporting Person ☐ Form filed by More than One Reporting Person
(City)	(State)	(Zip)	President	

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable Expiration Date	Title Amount or Number of Shares			

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Stock Options (Right to Buy)	Â <u>(1)</u>	02/18/2024	Common Stock	20,800	\$ 65.463	D	Â
Stock Options (Right to Buy)	Â <u>(2)</u>	02/17/2025	Common Stock	23,800	\$ 69.245	D	Â
Stock Options (Right to Buy)	Â <u>(3)</u>	02/16/2026	Common Stock	14,500	\$ 33.125	D	Â
Stock Options (Right to Buy)	Â <u>(4)</u>	02/14/2027	Common Stock	33,300	\$ 49.755	D	Â
Stock Units	Â <u>(5)</u>	Â <u>(6)</u>	Common Stock	4,414	\$ <u>(7)</u>	D	Â
Stock Units	Â <u>(8)</u>	Â <u>(6)</u>	Common Stock	31,200	\$ <u>(7)</u>	D	Â
Stock Units	Â <u>(9)</u>	Â <u>(6)</u>	Common Stock	5,266.5552	\$ <u>(7)</u>	D	Â
Stock Units	Â <u>(9)</u>	Â <u>(6)</u>	Common Stock	8,863	\$ <u>(7)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Hatfield Michael D. 16930 PARK ROW DR. HOUSTON, TX 77084	Â	Â	Â President	Â

Signatures

Mary K. Ytterberg, Attorney
In Fact (by Power of Attorney
filed herewith) 02/20/2019

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Vests in three equal annual installments beginning February 18, 2014.
- (2) Vests in three equal annual installments beginning February 17, 2015.
- (3) Vests in three equal annual installments beginning February 16, 2016.
- (4) Vests in three equal annual installments beginning February 14, 2017.

The stock units will be forfeited if the reporting person separates from service prior to the end of an escrow period ending on the earliest to occur of the following: (a) termination of employment as a result of layoff; (b) termination of employment after attainment of age 55 with five years of service; (c) termination of employment due to death or total disability; (d) termination of employment following a change in control; or (e) February 18, 2020. During the escrow period, the reporting person may not dispose of the stock units. The stock units will be settled in cash on the later of (a) the end of the escrow period or (b) the earlier of (i) death, (ii) February 18, 2020 or (iii) six months after separation from service in which case the stock units will be settled in cash based on the fair market value of the units on that date. The reporting person may also elect to defer settlement of stock units until a later date.

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- (6) The stock units do not have an expiration date.
- (7) The stock units represent ConocoPhillips common stock on a 1-for-1 basis.

The stock units will be forfeited if the reporting person separates from service prior to the end of an escrow period ending on the earliest to occur of the following: (a) termination of employment as a result of layoff; (b) termination of employment after attainment of age 55 with five years of service; (c) termination of employment due to death or total disability; (d) termination of employment following a

- (8) change in control; or (e) February 19, 2019. During the escrow period, the reporting person may not dispose of the stock units. The stock units will be settled in cash on the later of (a) the end of the escrow period or (b) the earlier of (i) death, (ii) February 19, 2019 or (ii) six months after separation from service in which case the stock units will be settled in cash based on the fair market value of the units on that date. The reporting person may also elect to defer settlement of stock units until a later date.

Stock unit grant settles 3 years from date of grant, but may be eligible for full or partial settlement upon termination of employment after

- (9) attainment of age 55 with five years of service, layoff, death or disability, or a change in control. The reporting person may also elect to defer all or part of the settlement value of the units to a later date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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