SUBIN NEIL S Form 4 February 28, 2019

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response... 0.5

10% Owner

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* SUBIN NEIL S

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 02/27/2019

ITERIS, INC. [ITI]

(Check all applicable)

3300 SOUTH DIXIE HIGHWAY, SUITE 1-365

Director Officer (give title \_\_X\_\_ Other (specify below) below)

Former 10% Owner 6. Individual or Joint/Group Filing(Check

(Street)

(First)

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

WEST PALM BEACH, FL 33405

(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securitie on(A) or Disp (Instr. 3, 4)	osed o	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	02/27/2019		S	53,624	D	\$ 4.25	34,911 (1) (2)	I	By Trust A-2 - Lloyd I. Miller	
Common Stock	02/27/2019		S	670,904	D	\$ 4.25	436,782 <u>(1)</u> <u>(2)</u>	I	By LIM III - Trust A-4	
Common Stock	02/27/2019		S	670,904	D	\$ 4.25	436,781 <u>(1)</u> <u>(2)</u>	I	By MBM - Trust A-4	
Common Stock	02/27/2019		S	103,877	D	\$ 4.25	67,627 (1) (2)	I	By Trust C - Lloyd I. Miller	
	02/27/2019		S	30,952	D		20,150 (1) (2)	I		

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Common Stock					\$ 4.25			By Trust D - Lloyd I. Miller
Common Stock	02/27/2019	S	23,129	D	\$ 4.25	15,057 (1) (2)	I	By Lloyd I. Miller, III Revocable Trust
Common Stock	02/27/2019	S	781,048	D	\$ 4.25	508,491 <u>(1)</u> <u>(2)</u>	I	By Milfam II L.P.
Common Stock	02/27/2019	S	199,574	D	\$ 4.25	129,930 <u>(1)</u> <u>(2)</u>	I	By Milfam I L.P.
Common Stock	02/27/2019	S	17,261	D	\$ 4.25	11,238 (1) (2)	I	By LIMFAM LLC

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title and	d 8. Pri	ce of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	iorNumber	Expiration D	ate	Amount of	Deriv	ative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underlying	g Secur	ity
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securities	(Instr.	. 5)
	Derivative				Securities			(Instr. 3 an	d 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
								Am	ount	
						Date	Expiration	or		
						Exercisable Date	Title Number	nber		
							2	of		
				Code V	(A) (D)			Shar	res	

# **Reporting Owners**

Reporting Owner Name / Address		K	elationshi	ps
	Director	10% Owner	Officer	Other

SUBIN NEIL S 3300 SOUTH DIXIE HIGHWAY SUITE 1-365 WEST PALM BEACH, FL 33405

Former 10% Owner

Reporting Owners 2

## **Signatures**

/s/ Paul N. Silverstein Attorney-in-fact

02/28/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Except for possessing voting and dispositive power, the reporting person disclaims any other beneficial ownership of, and specifically any pecuniary interest in, the securities reported herein. This filing is not, and shall not be deemed, an admission (and the reporting person expressly disclaims) that the reporting person is, for purposes of Rule 16a-1(a)(2) under Section 16 of the Securities Exchange Act of 1934, the beneficial owner of any equity securities covered by this filing.
- Mr. Neil Subin succeeded to the position of President and Manager of MILFAM LLC, which serves as manager, general partner, or (2) investment advisor of a number of entities formerly managed or advised by the late Lloyd I. Miller, III. Mr. Subin also serves as trustee of a number of Miller family trusts.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3