

Bales Brian A
Form 4
May 03, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Bales Brian A

2. Issuer Name and Ticker or Trading Symbol
REPUBLIC SERVICES, INC.
[RSG]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
18500 NORTH ALLIED WAY
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
05/01/2019

____ Director
 Officer (give title below) _____ Other (specify below)
EVP, Chief Development Officer

PHOENIX, AZ 85054

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | 05/01/2019 | | M | | 10,300 | A | \$ 31.12 <u>(1)</u> |
| | | | | | | | 23,804 |
| Common Stock | 05/01/2019 | | S | | 10,300 | D | \$ <u>(1)</u> |
| | | | | | | | 82.6386 |
| Common Stock | 05/03/2019 | | M | | 12,531 | A | \$ 31.12 <u>(2)</u> |
| | | | | | | | 26,035 |
| Common Stock | 05/03/2019 | | S | | 12,531 | D | \$ <u>(2)</u> |
| | | | | | | | 82.6937 |
| | | | | | | | 13,504 |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
| Common Stock Option | \$ 31.12 | 05/01/2019 | | M | 10,300 | <u>(1)</u> 02/08/2020 ⁽¹⁾ | Common Stock | 10,300 |
| Common Stock Option | \$ 31.12 | 05/03/2019 | | M | 12,531 | <u>(2)</u> 02/08/2020 ⁽²⁾ | Common Stock | 12,531 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|--------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Bales Brian A 18500 NORTH ALLIED WAY PHOENIX, AZ 85054 | | | EVP, Chief Development Officer | |

Signatures

/s/ Eileen B. Schuler
Attorney-in-Fact

05/03/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On 05/01/2019, Mr. Bales exercised 10,300 options and sold such shares at the average price of \$82.6386. Under the non-qualified stock option grant, 22,831 options were fully vested and exercisable. The stock option was due to expire on 02/08/2020.
- (2) On 05/03/2019, Mr. Bales exercised 12,531 options and sold such shares at the average price of \$82.6937. Under the non-qualified stock option grant, the balance of 12,531 options were fully vested and exercisable. The stock option was due to expire on 02/08/2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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