SITO MOBILE, LTD. Form 4

November 20, 2015

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB 3235-0287 Number:

January 31, Expires: 2005

10% Owner

Other (specify

Estimated average burden hours per response... 0.5

if no longer subject to Section 16. Form 4 or

Check this box

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Last)

(City)

(Instr. 3)

Form 5

(Print or Type Responses)

1. Name and Address of Reporting Person *

(First)

Hug, Gerard Francis

2. Issuer Name and Ticker or Trading Symbol

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SITO MOBILE, LTD. [SITO]

3. Date of Earliest Transaction

(Month/Day/Year) 11/18/2015

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

C/O SITO MOBILE, LTD., 100 TOWN SQUARE PLACE, SUITE 204

(Street)

(Zip)

(Middle)

4. If Amendment, Date Original

Filed(Month/Day/Year)

below) below) **CEO**

6. Individual or Joint/Group Filing(Check Applicable Line)

X_ Director

X_ Officer (give title

X Form filed by One Reporting Person Form filed by More than One Reporting

JERSEY CITY, NJ 07301

1. Title of 2. Transaction Date 2A. Deemed Security

(State)

(Month/Day/Year) Execution Date, if (Month/Day/Year)

4. Securities 3. TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (Instr. 4) (Instr. 4)

Reported Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of

Conversion

Derivative

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if TransactionDerivative

5. Number of

(A)

or

6. Date Exercisable and **Expiration Date**

7. Title and Amount of **Underlying Securities**

Edgar Filing: SITO MOBILE, LTD. - Form 4

Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		/Year)	(Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount o Number o Shares
Options to Purchase Common Stock	\$ 2.805	11/18/2015		A	63,090		<u>(1)</u>	11/21/2019	Common Stock	63,090
Options to Purchase Common Stock	\$ 3.51	11/18/2015		A	154,000		(2)	11/18/2020	Common Stock	110,000

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Hug, Gerard Francis						
C/O SITO MOBILE, LTD.	X					
100 TOWN SQUARE PLACE, SUITE 204		CEO				
JERSEY CITY, NJ 07301						

Signatures

/s/ Gerard Hug 11/20/2015

**Signature of Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

grant was previously reported by the Reporting Person. The options were granted as performance options and the number of options to be received by the Reporting Person was dependent upon the achievement of certain corporate goals as determined by the Compensation Committee of the Issuer. On November 18, 2015, the Board, based upon the recommendation of the Issuer's Compensation Committee, determined that the Reporting Person earned options to purchase 63,090 shares of common stock of the Issuer out of the 105,000 that were initially granted. The options shall vest and become exercisable in 1/3 increments over a three year period with the first installment vesting on November 18, 2015. The Reporting Person agreed to forfeit and cancel the option with respect to 41,910 shares of the Issuer's common stock granted to the Reporting Person in November 2014.

In November 2014, the Reporting Person was granted options to purchase up to 105,000 shares of common stock of the Issuer which

The options granted are performance options and shall vest and become exercisable in 1/3 increments over a three year period commencing on the first anniversary of the date of grant. The maximum number of options subject to the grant shall be 154,000. The number of options to be received by the Reporting Person is dependent upon the achievement of certain corporate goals, determined by the Compensation Committee of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

Edgar Filing: SITO MOBILE, LTD. - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.