Edgar Filing: Bazin Sebastien M - Form 4

Bazin Sebastien Form 4	Μ										
October 03, 201	.7										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									PPROVAL		
Washington, D.C. 20549								N OMB Number:	3235-0287		
Check this box if no longer whigh to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP O							Expires:	January 31, 2005			
subject to Section 16. Form 4 or							Estimated average burden hours per				
Form 4 or Form 5 obligations may continue.response0.5See Instruction 1(b).Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 19400.5											
(Print or Type Resp	oonses)										
1. Name and Address of Reporting Person <u>*</u> Bazin Sebastien M			2. Issuer Name and Ticker or Trading Symbol GENERAL ELECTRIC CO [GE]				5. Relationship of Reporting Person(s) to Issuer				
						CO [GE]	(Check all applicable)				
(N				of Earliest T Day/Year) 2017	ransaction		X_ Director 10% Owner Officer (give title Other (specify				
COMPANY, 4 STREET		RTH	10/02/2	2017			below)	below)			
			4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
BOSTON, MA	02210						Form filed by Person	More than One R	eporting		
(City)	(State)	(Zip)	Tal	ole I - Non-l	Derivative	Securities	Acquired, Disposed	of, or Beneficia	lly Owned		
	Transaction Date onth/Day/Year)	Execution any	Date, if	Code (Instr. 8)		(A) or of (D) 4 and 5) (A) or	Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	(D) Price	e				
Reminder: Report	on a separate line	e for each cl	ass of sec	urities bene	•	•	or indirectly.	ction of s	SEC 1474		
	information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.										
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											

1. Title of
Derivative2.3. Transaction Date3A. Deemed4.5. Number6. Date Exercisable and
Expiration Date7. Title and Amount of
Underlying Securities8. Price
Derivative

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr.	Code Securities Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		(Month/Day/Year)		(Instr. 3 and 4)		Securi (Instr.	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Deferred Fee Phantom Stock Units (1)	<u>(2)</u>	10/02/2017		A		3,176		(3)	(3)	Common Stock	3,176	\$ 24

Reporting Owners

Reporting Owner Name / Address	Relationships							
r g	Director	10% Owner	Officer	Other				
Bazin Sebastien M GENERAL ELECTRIC COMPANY 41 FARNSWORTH STREET BOSTON, MA 02210	Х							
Signatures								
Brian Sandstrom on behalf of Sebastie Bazin	10/03/2017							
<pre>**Signature of Reporting Person</pre>		Date						
Evaluation of Deensonees								

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Acquired at a price of \$24.40 per unit pursuant to the terms of the 2007 Long-Term Incentive Plan. Payable beginning one year after termination of service as a director.
- (2) Each unit of phantom stock is the economic equivalent of one share of common stock.
- (3) Payable beginning one year after termination of service as a director.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.