

BRAUSEN ANTHONY T  
 Form 3  
 February 05, 2018

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|  |   |   |   |   |  |
|--|---|---|---|---|--|
| <p>1. Name and Address of Reporting Person *</p> <p>Â BRAUSEN ANTHONY T</p> <p>(Last) (First) (Middle)</p> <p>C/O THE MOSAIC COMPANY,Â 3033 CAMPUS DRIVE, SUITE E490</p> <p>(Street)</p> <p>PLYMOUTH,Â MNÂ 55441</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>01/31/2018</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>MOSAIC CO [MOS]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner<br/> <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other<br/>                 (give title below) (specify below)<br/>                 Sr VP -Finance and Interim CFO</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person<br/> <input type="checkbox"/> Form filed by More than One Reporting Person</p> |
|--|---|---|---|---|--|

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security<br>(Instr. 4) | 2. Amount of Securities Beneficially Owned<br>(Instr. 4) | 3. Ownership Form:<br>Direct (D)<br>or Indirect (I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock                       | 41,346   | D   | Â  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and Expiration Date<br>(Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security<br>(Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|---|---|--|---|---|--|
|---|---|--|---|---|--|

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|                             | Date Exercisable | Expiration Date | Title        | Amount or Number of Shares | Security  | Direct (D) or Indirect (I) (Instr. 5) |   |
|-----------------------------|------------------|-----------------|--------------|----------------------------|-----------|---------------------------------------|---|
| Stock Option (Right to Buy) | Â (1)            | 07/31/2018      | Common Stock | 2,195                      | \$ 127.21 | D                                     | Â |
| Stock Option (Right to Buy) | Â (1)            | 07/27/2019      | Common Stock | 5,258                      | \$ 52.72  | D                                     | Â |
| Stock Option (Right to Buy) | Â (1)            | 07/27/2020      | Common Stock | 5,105                      | \$ 44.93  | D                                     | Â |
| Stock Option (Right to Buy) | Â (1)            | 07/21/2021      | Common Stock | 4,307                      | \$ 70.62  | D                                     | Â |
| Stock Option (Right to Buy) | Â (1)            | 07/19/2022      | Common Stock | 7,284                      | \$ 57.62  | D                                     | Â |
| Stock Option (Right to Buy) | Â (1)            | 07/18/2023      | Common Stock | 9,470                      | \$ 54.03  | D                                     | Â |
| Stock Option (Right to Buy) | Â (1)            | 03/07/2024      | Common Stock | 10,644                     | \$ 49.73  | D                                     | Â |
| Stock Option (Right to Buy) | Â (2)            | 03/05/2025      | Common Stock | 11,658                     | \$ 50.43  | D                                     | Â |
| Stock Option (Right to Buy) | Â (3)            | 03/03/2026      | Common Stock | 24,890                     | \$ 28.49  | D                                     | Â |
| Restricted Stock Units      | 03/02/2020       | Â (4)           | Common Stock | 10,958                     | \$ 0 (5)  | D                                     | Â |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |  |       |
|--|---------------|-----------|--|-------|
|  | Director      | 10% Owner | Officer                                | Other |
| BRAUSEN ANTHONY T<br>C/O THE MOSAIC COMPANY<br>3033 CAMPUS DRIVE, SUITE E490<br>PLYMOUTH, MN 55441 | Â             | Â         | Â Sr VP<br>-Finance and<br>Interim CFO | Â     |

## Signatures

/s/ Mark J. Isaacson, Attorney-in-Fact for Anthony T. Brausen

02/05/2018

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This Stock Option is 100% exercisable.

(2)

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Grant Date 03/05/2015; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.

- (3) Grant Date 03/03/2016; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (4) Not Applicable
- (5) One-for-One

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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