## Edgar Filing: Rich Brian F - Form 4

Rich Brian H Form 4 March 25, 24 <b>FORN</b> Check th if no long subject to Section D Form 4 of Form 5 obligation may com <i>See</i> Instr 1(b).	019 <b>A 4</b> UNITED uis box ger o STATE State Filed pu Section 17	<b>MENT O</b> ursuant to 7(a) of the	Was F CHAN Section 1	shington, GES IN SECUR 6(a) of th tility Hole	D.C. 20 BENEF UTIES e Securit ding Con	<b>549</b> ICIA ties E	L OW Exchang y Act of	COMMISSION NERSHIP OF e Act of 1934, E 1935 or Section 0	OMB Number: Expires: Estimated a burden hou response	•	
(Print or Type)	Responses)										
			2. Issuer Name <b>and</b> Ticker or Trading Symbol CMS ENERGY CORP [CMS]				C	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Check					k all applicable)			
				(Month/Day/Year) 03/21/2019				Director     10% Owner       Officer (give title     Other (specify below)       SVP and CIO			
Filed(Mor				nendment, Date Original Ionth/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
JACKSON,	, MI 49201							Person		porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	Derivative	Secur	ities Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	r) Execution any	med on Date, if Day/Year)	3. Transactio Code (Instr. 8) Code V	(Instr. 3, Amount	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	03/21/2019			А	1,231 (1)	А	\$0	66,532	D (2)		
Common Stock	03/21/2019			F	2,415	D	\$ 55.22	64,117	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	Amou Unde Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Rich Brian F ONE ENERGY PLAZA JACKSON, MI 49201			SVP and CIO					
Signatures								
Ashley L. Bancroft, Attny-in-Fact	C	3/25/2019						
**Signature of Reporting Person		Date						

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Shares of Common Stock of CMS Energy Corporation ("CMS") acquired as a result of CMS exceeding certain Performance criteria
 (1) established under the 2016 Restricted Stock Award granted to the reporting person in accordance with the provisions of the CMS Performance Incentive Stock Plan.

The total holdings reflect an adjustment of 227 additional shares of Common Stock of CMS Energy Corporation ("CMS") acquired as a result of dividend reinvestment pursuant to the reporting person's participation in the CMS Stock Purchase Plan, and an adjustment of 232

(2) additional shares of Restricted Stock purchased on behalf of the reporting person as a result of an automatic acquisition of Restricted Stock in lieu of cash dividends pursuant to the terms of the award granted to the reporting person in accordance with the provisions of the CMS Performance Incentive Stock Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.