Edgar Filing: ALABAMA NATIONAL BANCORPORATION - Form 4

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ALABAMA N. Form 4 October 04, 200		ANCORPO	ORATI	NC						
FORM	Л								PPROVAL	
	UNITED	STATES		RITIES A shington			COMMISSION	OMB Number:	3235-0287	
Check this b if no longer subject to Section 16. Form 4 or		MENT OI	F CHAI	NGES IN SECUI	Expires: January 3 200 Estimated average burden hours per					
Form 4 or Form 5 obligations may continue. See Instruction 1(b). Form 5 obligations may continue. See Instruction I(b).										
(Print or Type Res	ponses)									
1. Name and Address of Reporting Person <u>*</u> BARNES W RAY			2. Issuer Name and Ticker or Trading Symbol ALABAMA NATIONAL			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
BANCORPORATION [ALAB]					()					
(Mo			3. Date of Earliest Transaction (Month/Day/Year) 10/03/2007			X_ Director 10% Owner Officer (give title Other (specify below) below)				
			4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
MABLETON,	GA 30126						Person		eporting	
(City)	(State)	(Zip)	Tab	ole I - Non-l	Derivative	Securities A	cquired, Disposed o	of, or Beneficia	lly Owned	
	Transaction Date onth/Day/Year)	Execution any	Date, if	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3, 4	(A) or of (D)	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Report	on a separate line	e for each cl	ass of sec	urities bene	ficially own	ned directly of	or indirectly.			
					inform requir	nation cont ed to respo ys a curre	spond to the collect ained in this form ond unless the for ntly valid OMB col	are not m	SEC 1474 (9-02)	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of	8. P
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onDerivative	Expiration Date	Underlying Securities	Deri
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	Secu

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr.	,	Acquired (A or Disposed (D) (Instr. 3, 4, and 5)					(Ins
				Code	V	(A) ((D) Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Units	<u>(1)</u>	10/03/2007		A		125.73	(2)	(2)	Common Stock	125.73	\$ 7
Phantom Stock Units	<u>(1)</u>	10/03/2007		А	V	31.06	(2)	(2)	Common Stock	31.06	\$ 7

Reporting Owners

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
BARNES W RAY P.O. BOX 21 MABLETON, GA 30126	Х						
Signatures							
William E. Matthews V, by por	wer of		10/04/200	07			

attorney

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The units convert to common stock on a 1-for-1 basis.
- (2) The phantom stock units were accrued under the Alabama National BanCorporation Plan for the Deferral of Compensation for Directors Who Are Not Employees of the Company and are to be settled in common stock at the end of the deferral period.

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.