

CHENG DUNSON K  
Form 5  
February 03, 2005

**FORM 5**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0362  
Expires: January 31, 2005  
Estimated average burden hours per response... 1.0

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
CHENG DUNSON K

2. Issuer Name and Ticker or Trading Symbol  
CATHAY GENERAL BANCORP [CATY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
12/31/2004

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chairman, President & CEO

777 NORTH BROADWAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

LOS ANGELES, CA 90012

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |            | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |         |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|------------|--------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|---------|
|                                 |                                      |                                                    |                                | Amount                                                            | (A) or (D) | Price                                                                                      |                                                          |                                                       |         |
| Common Stock                    | 04/20/2004                           | Â                                                  | J(1)                           | 187.294<br>(2)                                                    | A          | \$ 31.72<br>(2)                                                                            | 300,597.413<br>(2)                                       | D                                                     | Â       |
| Common Stock                    | 07/20/2004                           | Â                                                  | J(1)                           | 192.49<br>(2)                                                     | A          | \$ 30.933<br>(2)                                                                           | 300,597.413<br>(2)                                       | D                                                     | Â       |
| Common Stock                    | 10/22/2004                           | Â                                                  | J(1)                           | 205.225                                                           | A          | \$ 35.852                                                                                  | 300,597.413<br>(2)                                       | D                                                     | Â       |
| Common Stock                    | 12/31/2004                           | Â                                                  | J(3)                           | 914<br>(2)                                                        | A          | \$ 31.21<br>(2)                                                                            | 93,866<br>(2)                                            | I                                                     | By ESOP |

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Common Stock                                                                                                                                                    239,308 <sup>(2)</sup>    I                      Husband & Wife Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|
|                                            |                                                        |                                      |                                                    |                                | (A) (D)                                                                                 | Date Exercisable    Expiration Date                      | Title    Amount of Number of Shares                           |
| Stock Option                               | \$ 8.25 <sup>(2)</sup>                                 | <u>  </u>                            | <u>  </u>                                          | <u>  </u>                      | <u>  </u> <u>  </u>                                                                     | 09/17/1999 <sup>(4)</sup> 09/17/2008                     | Common Stock    70,000 <sup>(2)</sup>                         |
| Stock Option                               | \$ 10.625 <sup>(2)</sup>                               | <u>  </u>                            | <u>  </u>                                          | <u>  </u>                      | <u>  </u> <u>  </u>                                                                     | 01/20/2001 <sup>(5)</sup> 01/20/2010                     | Common Stock    73,320 <sup>(2)</sup>                         |
| Stock Option                               | \$ 15.0475 <sup>(2)</sup>                              | <u>  </u>                            | <u>  </u>                                          | <u>  </u>                      | <u>  </u> <u>  </u>                                                                     | 01/18/2002 <sup>(6)</sup> 01/18/2011                     | Common Stock    74,160 <sup>(2)</sup>                         |
| Stock Option                               | \$ 16.275 <sup>(2)</sup>                               | <u>  </u>                            | <u>  </u>                                          | <u>  </u>                      | <u>  </u> <u>  </u>                                                                     | 02/21/2003 <sup>(7)</sup> 02/21/2012                     | Common Stock    79,320 <sup>(2)</sup>                         |
| Stock Option                               | \$ 19.925 <sup>(2)</sup>                               | <u>  </u>                            | <u>  </u>                                          | <u>  </u>                      | <u>  </u> <u>  </u>                                                                     | 01/16/2004 <sup>(8)</sup> 01/16/2013                     | Common Stock    153,060 <sup>(2)</sup>                        |
| Stock Option                               | \$ 24.8 <sup>(2)</sup>                                 | <u>  </u>                            | <u>  </u>                                          | <u>  </u>                      | <u>  </u> <u>  </u>                                                                     | 11/20/2004 <sup>(9)</sup> 11/20/2013                     | Common Stock    638,670 <sup>(2)</sup>                        |

## Reporting Owners

| Reporting Owner Name / Address                                | Relationships |           |                                           |           |
|---------------------------------------------------------------|---------------|-----------|-------------------------------------------|-----------|
|                                                               | Director      | 10% Owner | Officer                                   | Other     |
| CHENG DUNSON K<br>777 NORTH BROADWAY<br>LOS ANGELES, CA 90012 | <u>  </u> X   | <u>  </u> | <u>  </u> Chairman,<br>President &<br>CEO | <u>  </u> |

## Signatures

Dunson K.  
Cheng

02/03/2005

    Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Dividend Reinvestment.
- (2) Adjusted to reflect a 2-for-1 stock split effective 9/28/04.
- (3) ESOP Earning Allocation.
- (4) The option is fully exercisable.
- (5) The option vests in 5 equal annual installments beginning 1/20/01.
- (6) The option vests in 5 equal annual installments beginning 1/18/02.
- (7) The option vests in 5 equal annual installments beginning 2/21/03.
- (8) The option vests in 5 equal annual installments beginning 1/16/04.
- (9) The option vests in 5 equal annual installments beginning 11/20/04.

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