

TAWES ORRIE LEE  
 Form 4  
 September 08, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2015  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 TAWES ORRIE LEE

2. Issuer Name and Ticker or Trading Symbol  
 HOUSTON AMERICAN ENERGY CORP [HUSA]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
 801 TRAVIS STREET, SUITE 1425  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
 09/06/2017

Director  10% Owner  
 Officer (give title below)  Other (specify below)

HOUSTON, TX 77002

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D) Code V Amount (D) Price			
Common Stock					2,832,831	D	
Common Stock					119,034	I	By spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474 (9-02)

Edgar Filing: TAWES ORRIE LEE - Form 4

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying Security (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title
Stock Option (Right to Buy)	\$ 7.2					12/02/2008	06/02/2018	Common Stock
Stock Option (Right to Buy)	\$ 2.05					12/09/2009	06/09/2019	Common Stock
Stock Option (Right to Buy)	\$ 14.08					12/15/2010	06/15/2020	Common Stock
Stock Option (Right to Buy)	\$ 16.07					12/13/2011	06/13/2021	Common Stock
Stock Option (Right to Buy)	\$ 1.65					12/11/2012	06/11/2022	Common Stock
Stock Option (Right to Buy)	\$ 0.3075					12/11/2013	06/11/2023	Common Stock
Stock Option (Right to Buy)	\$ 0.415					12/10/2014	06/10/2024	Common Stock
Stock Option (Right to Buy)	\$ 0.2028					12/09/2015	06/09/2025	Common Stock
Stock Option	\$ 0.1982					09/15/2016	03/15/2026	Common Stock

(Right to Buy)

Stock Option (Right to Buy)	\$ 0.2201				12/07/2016	06/07/2026	Common Stock
Stock Option (Right to Buy)	\$ 0.2201				06/07/2017	06/07/2026	Common Stock
Warrant	\$ 0.5				06/23/2017	06/23/2018	Common Stock
12% Series A Convertible Preferred Stock	\$ 0.2				01/31/2017	12/31/2050 <sup>(1)</sup>	Common Stock
Stock Option (Right to Buy)	\$ 0.485	09/06/2017	A	50,000	03/06/2018 <sup>(2)</sup>	09/06/2027	Common Stock

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TAWES ORRIE LEE 801 TRAVIS STREET, SUITE 1425 HOUSTON, TX 77002		X		

## Signatures

O. Lee Tawes,  
III 09/08/2017

\*\*Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Convertible preferred stock has no expiration date.

(2) The options were granted on September 6, 2017 and are exercisable six months from the date of grant, subject to vesting. The options vest 20% on the date of grant and 80% nine months from the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.