HAWTHORN BANCSHARES, INC.

Form 8-K November 14, 2012

the following provisions:

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

# FORM 8-K

#### **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

November 14, 2012

Date of Report (Date of Earliest Event Reported):

[ ] Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425) [ ] Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

[ ] Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b)) [ ] Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

# Hawthorn Bancshares, Inc.

(Exact name of registrant as specified in its charter)

IVIISSOUII	0-23030	451020550
(State or other jurisdiction	(Commission	(I.R.S. Employer
of incorporation)	File Number)	Identification No.)
300 Southwest Longview Blvd., Lee's Summit, Missouri		64081
(Address of principal executive offices)		(Zip Code)
Registrant s telephone number, including area code:		573.761.6179
	Not Applicable	
Former nam	e or former address, if changed since la	ast report
Check the appropriate box below if the Form 8-K filing	g is intended to simultaneously satisfy	the filing obligation of the registrant under any

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#### Item 2.02 Results of Operations and Financial Condition.

On November 14, 2012, Hawthorn Bancshares, Inc. issued a press release announcing the financial results for the fiscal quarter ending September 30, 2012. A copy of the press release is attached as Exhibit 99.1.

On November 9, 2012, Hawthorn Bancshares, Inc. issued a press release announcing that its Board of Directors approved a quarterly cash dividend of 5 cents per common share, payable January 1, 2013 to shareholders of record at the close of business December 14, 2012. A copy of the press release is attached as Exhibit 99.2.

The information contained in Item 2.02 of this Current Report and in Exhibits 99.1 and 99.2 of this Current Report is being furnished and shall not be deemed "filed" with the SEC for purposes of Section 18 of the Exchange Act of 1934, as amended, or otherwise subject to the liabilities of that section.

#### Item 9.01 Financial Statements and Exhibits.

Exhibit 99.1 Hawthorn Bancshares, Inc. press release dated November 14, 2012. Exhibit 99.2 Hawthorn Bancshares, Inc. press release dated November 9, 2012.

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#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Hawthorn Bancshares, Inc.

November 14, 2012 By: David T. Turner

Name: David T. Turner

Title: Chairman, CEO & President

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# Exhibit Index

Exhibit No.	Description
99.1 99.2	Hawthorn Bancshares Announces Financial Results Hawthorn Bancshares Declares Quarterly Dividend of \$0.05 per Common Share