

AMAZON COM INC
Form 4
November 05, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
WILKE JEFFREY A

(Last) (First) (Middle)

P.O. BOX 81226

(Street)

SEATTLE, WA 98108-1226

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
AMAZON COM INC [AMZN]

3. Date of Earliest Transaction
(Month/Day/Year)
11/01/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

Senior Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) | |
|--|--------------------------------------|--|--------------------------------|---|---|--|--|---|
| | | | | (A) or (D) | Price | | | |
| Common Stock, par value \$0.01 per share | 11/01/2013 | | S ⁽¹⁾ | 295 | D | \$ 361.0236 (2) | 50,138 | D |
| Common Stock, par value \$0.01 per share | 11/01/2013 | | S ⁽¹⁾ | 705 | D | \$ 359.9526 (3) | 49,433 | D |
| Common Stock, par | 11/01/2013 | | S ⁽¹⁾ | 2,278 | D | \$ 359.0917 | 47,155 | D |

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| | | | | | | | | |
|-------------------------|------------|-------------|-------|---|-------------|--------|---|----------|
| value | | | | | <u>(4)</u> | | | |
| \$.01 per share | | | | | | | | |
| Common Stock, par value | 11/01/2013 | <u>S(1)</u> | 3,022 | D | \$ 357.9653 | 44,133 | | D |
| \$.01 per share | | | | | <u>(5)</u> | | | |
| Common Stock, par value | 11/01/2013 | <u>S(1)</u> | 700 | D | \$ 357.126 | 43,433 | | D |
| \$.01 per share | | | | | <u>(6)</u> | | | |
| Common Stock, par value | 11/01/2013 | <u>S(1)</u> | 500 | D | \$ 356.416 | 42,933 | | D |
| \$.01 per share | | | | | <u>(7)</u> | | | |
| Common Stock, par value | 11/01/2013 | <u>S(1)</u> | 2,042 | D | \$ 364.8449 | 19,179 | I | In trust |
| \$.01 per share | | | | | <u>(8)</u> | | | |
| Common Stock, par value | 11/04/2013 | <u>S(1)</u> | 1,042 | D | \$ 357.7412 | 18,137 | I | In trust |
| \$.01 per share | | | | | <u>(9)</u> | | | |
| Common Stock, par value | 11/04/2013 | <u>S(1)</u> | 600 | D | \$ 356.561 | 17,537 | I | In trust |
| \$.01 per share | | | | | <u>(10)</u> | | | |
| Common Stock, par value | 11/04/2013 | <u>S(1)</u> | 300 | D | \$ 355.9283 | 17,237 | I | In trust |
| \$.01 per share | | | | | <u>(11)</u> | | | |
| Common Stock, par value | 11/04/2013 | <u>S(1)</u> | 100 | D | \$ 354.42 | 17,137 | I | In trust |
| \$.01 per share | | | | | | | | |
| Common Stock, par value | 11/05/2013 | <u>S(1)</u> | 542 | D | \$ 359.7162 | 16,595 | I | In trust |
| value | | | | | <u>(12)</u> | | | |

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\$.01 per share

Common Stock, par value
\$.01 per share

| | | | | | | | |
|------------|------------------|-----|---|----------------------------|--------|---|----------|
| 11/05/2013 | S ⁽¹⁾ | 600 | D | \$ 358.2233 <u>(13)</u> | 15,995 | I | In trust |
|------------|------------------|-----|---|----------------------------|--------|---|----------|

Common Stock, par value
\$.01 per share

| | | | | | | | |
|------------|------------------|-----|---|---------------------------|--------|---|----------|
| 11/05/2013 | S ⁽¹⁾ | 800 | D | \$ 357.145 <u>(14)</u> | 15,195 | I | In trust |
|------------|------------------|-----|---|---------------------------|--------|---|----------|

Common Stock, par value
\$.01 per share

| | | | | | | | |
|------------|------------------|-----|---|-----------|--------|---|----------|
| 11/05/2013 | S ⁽¹⁾ | 100 | D | \$ 356.56 | 15,095 | I | In trust |
|------------|------------------|-----|---|-----------|--------|---|----------|

Common Stock, par value
\$.01 per share

| | | | | | | | |
|--|--|--|--|--|--------|---|----------|
| | | | | | 15,000 | I | In trust |
|--|--|--|--|--|--------|---|----------|

Common Stock, par value
\$.01 per share

| | | | | | | | |
|--|--|--|--|--|---------|---|---|
| | | | | | 504.047 | I | Held by the reporting person's Amazon.com 401(k) plan account |
|--|--|--|--|--|---------|---|---|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|

| | | | | | | |
|------|---|---------------------|--------------------|-------|--|--|
| | | | | | | Amount or Number of Shares |
| | | Date Exercisable | Expiration Date | Title | | |
| Code | V | (A) | (D) | | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-----------------------|-------|
| | Director | 10% Owner | Officer | Other |
| WILKE JEFFREY A P.O. BOX 81226 SEATTLE, WA 98108-1226 | | | Senior Vice President | |

Signatures

| | |
|--|------------|
| /s/ JEFFREY A. WILKE, Senior Vice President | 11/05/2013 |
| __Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was effected pursuant to a Rule 10b5-1 trading plan.
- (2) Represents the weighted average sale price. The highest price at which shares were sold was \$361.51 and the lowest price at which shares were sold was \$360.53.
- (3) Represents the weighted average sale price. The highest price at which shares were sold was \$360.51 and the lowest price at which shares were sold was \$359.60.
- (4) Represents the weighted average sale price. The highest price at which shares were sold was \$359.50 and the lowest price at which shares were sold was \$358.51.
- (5) Represents the weighted average sale price. The highest price at which shares were sold was \$358.50 and the lowest price at which shares were sold was \$357.56.
- (6) Represents the weighted average sale price. The highest price at which shares were sold was \$357.50 and the lowest price at which shares were sold was \$356.54.
- (7) Represents the weighted average sale price. The highest price at which shares were sold was \$356.50 and the lowest price at which shares were sold was \$356.20.
- (8) Represents the weighted average sale price. The highest price at which shares were sold was \$365.43 and the lowest price at which shares were sold was \$364.61.
- (9) Represents the weighted average sale price. The highest price at which shares were sold was \$358.19 and the lowest price at which shares were sold was \$357.30.
- (10) Represents the weighted average sale price. The highest price at which shares were sold was \$357.115 and the lowest price at which shares were sold was \$356.24.
- (11) Represents the weighted average sale price. The highest price at which shares were sold was \$356.055 and the lowest price at which shares were sold was \$355.79.
- (12) Represents the weighted average sale price. The highest price at which shares were sold was \$359.87 and the lowest price at which shares were sold was \$359.60.
- (13) Represents the weighted average sale price. The highest price at which shares were sold was \$358.69 and the lowest price at which shares were sold was \$357.77.

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- (14) Represents the weighted average sale price. The highest price at which shares were sold was \$357.69 and the lowest price at which shares were sold was \$356.70.

Remarks:

REMARKS:

The reporting person undertakes to provide, upon request by the staff of the SEC, the issuer, or a security holder of the issuer,

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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