

Edgar Filing: H&Q LIFE SCIENCES INVESTORS - Form NSAR-B

H&Q LIFE SCIENCES INVESTORS

Form NSAR-B

November 29, 2012

PAGE 1  
000 B000000 09/30/2012  
000 C000000 0000884121  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 H&Q LIFE SCIENCES INVESTORS  
001 B000000 811-6565  
001 C000000 6177728500  
002 A000000 2 LIBERTY SQUARE 9TH FLOOR  
002 B000000 BOSTON  
002 C000000 MA  
002 D010000 02109  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 TEKLA CAPITAL MANAGEMENT LLC  
008 B000001 A  
008 C000001 801-000000  
008 D010001 BOSTON  
008 D020001 MA  
008 D030001 02109  
010 A000001 STATE STREET BANK AND TRUST CO.  
010 B000001 85-85003  
010 C010001 BOSTON  
010 C020001 MA  
010 C030001 02111  
012 A000001 COMPUTERSHARE TRUST CO. N.A.  
012 B000001 85-113400  
012 C010001 CANTON  
012 C020001 MA  
012 C030001 02021  
013 A000001 DELOITTE & TOUCHE LLP  
013 B010001 BOSTON  
013 B020001 MA  
013 B030001 02110  
015 A000001 STATE STREET BANK & TRUST CO.  
015 B000001 C  
015 C010001 BOSTON  
015 C020001 MA  
015 C030001 02110  
015 E010001 X  
018 000000 Y  
019 A000000 Y  
PAGE 2  
019 B000000 2  
019 C000000 HAMBRECHTQ  
020 A000001 J P MORGAN SECURITIES INC  
020 B000001 13-3224013  
020 C000001 85  
020 A000002 NATIONAL FINANCIAL SERVICES CORP.

Edgar Filing: H&Q LIFE SCIENCES INVESTORS - Form NSAR-B

020 B000002 04-2785576  
020 C000002 67  
020 A000003 BANK OF NEW YORK MELLON  
020 B000003 13-5160382  
020 C000003 50  
020 A000004 DEUTSCHE BANK  
020 B000004 13-2730328  
020 C000004 45  
020 A000005 MERRILL LYNCH & CO.  
020 B000005 13-3247006  
020 C000005 43  
020 A000006 WEDBUSH MORGAN SECURITIES, INC.  
020 B000006 95-2495390  
020 C000006 42  
020 A000007 WELLS FARGO SECURITIES, LLC  
020 B000007 56-2326000  
020 C000007 36  
020 A000008 BARCLAYS CAPITAL, INC.  
020 B000008 06-1031656  
020 C000008 31  
020 A000009 STIFEL NICOLAUS & CO. INC.  
020 B000009 43-0538770  
020 C000009 25  
020 A000010 JEFFERIES & CO., INC.  
020 B000010 95-2622900  
020 C000010 22  
021 000000 556  
022 A000001 STATE STREET BANK & TRUST CO.  
022 B000001 04-1867445  
022 C000001 2238962  
022 D000001 6779  
022 A000002 CITIBANK, N.A.  
022 B000002 13-5266470  
022 C000002 48032  
022 D000002 0  
022 A000003 TENDER OFFER  
022 C000003 0  
022 D000003 14448  
022 A000004 BANK OF NEW YORK MELLON  
022 B000004 13-5160382  
022 C000004 988  
022 D000004 0  
022 A000005 J P MORGAN SECURITIES  
022 B000005 13-3224013  
022 C000005 523  
PAGE 3  
022 D000005 0  
022 A000006 JEFFERIES & CO., INC.  
022 B000006 95-2622900  
022 C000006 295  
022 D000006 0  
022 A000007 STIFEL NICOLAUS & CO. INC.  
022 B000007 43-0538770  
022 C000007 240  
022 D000007 0  
022 A000008 NEUROVANCE, INC  
022 C000008 158  
022 D000008 0  
022 A000009 EBI LIFE SCIENCES  
022 C000009 39  
022 D000009 0  
023 C000000 2289347

Edgar Filing: H&Q LIFE SCIENCES INVESTORS - Form NSAR-B

023	D000000	24891
024	000000	N
026	A000000	N
026	B000000	Y
026	C000000	N
026	D000000	Y
026	E000000	N
026	F000000	N
026	G010000	N
026	G020000	N
026	H000000	N
027	000000	N
028	A010000	0
028	A020000	0
028	A030000	0
028	A040000	0
028	B010000	0
028	B020000	0
028	B030000	0
028	B040000	0
028	C010000	0
028	C020000	0
028	C030000	0
028	C040000	0
028	D010000	0
028	D020000	0
028	D030000	0
028	D040000	0
028	E010000	0
028	E020000	0
028	E030000	0
028	E040000	0
028	F010000	0
028	F020000	0
028	F030000	0
	PAGE	4
028	F040000	0
028	G010000	0
028	G020000	0
028	G030000	0
028	G040000	0
028	H000000	0
030	A000000	0
030	B000000	0.00
030	C000000	0.00
031	A000000	0
031	B000000	0
032	000000	0
033	000000	0
035	000000	0
036	B000000	0
038	000000	0
042	A000000	0
042	B000000	0
042	C000000	0
042	D000000	0
042	E000000	0
042	F000000	0
042	G000000	0
042	H000000	0
043	000000	0
044	000000	0

Edgar Filing: H&Q LIFE SCIENCES INVESTORS - Form NSAR-B

045 000000 Y  
 046 000000 N  
 047 000000 N  
 048 000000 0.000  
 048 A010000 0  
 048 A020000 0.000  
 048 B010000 0  
 048 B020000 0.000  
 048 C010000 0  
 048 C020000 0.000  
 048 D010000 0  
 048 D020000 0.000  
 048 E010000 0  
 048 E020000 0.000  
 048 F010000 0  
 048 F020000 0.000  
 048 G010000 0  
 048 G020000 0.000  
 048 H010000 0  
 048 H020000 0.000  
 048 I010000 0  
 048 I020000 0.000  
 048 J010000 0  
 048 J020000 0.000  
 048 K010000 0  
 PAGE 5  
 048 K020000 0.000  
 049 000000 N  
 050 000000 N  
 051 000000 N  
 052 000000 N  
 053 A000000 N  
 054 A000000 Y  
 054 B000000 N  
 054 C000000 Y  
 054 D000000 N  
 054 E000000 N  
 054 F000000 N  
 054 G000000 N  
 054 H000000 N  
 054 I000000 N  
 054 J000000 Y  
 054 K000000 N  
 054 L000000 N  
 054 M000000 Y  
 054 N000000 N  
 054 O000000 N  
 055 A000000 N  
 055 B000000 N  
 056 000000 N  
 057 000000 N  
 058 A000000 N  
 059 000000 Y  
 060 A000000 Y  
 060 B000000 Y  
 061 000000 0  
 062 A000000 N  
 062 B000000 0.0  
 062 C000000 0.0  
 062 D000000 0.0  
 062 E000000 0.0  
 062 F000000 0.0

Edgar Filing: H&Q LIFE SCIENCES INVESTORS - Form NSAR-B

062 G000000 0.0  
062 H000000 0.0  
062 I000000 0.0  
062 J000000 0.0  
062 K000000 0.0  
062 L000000 0.0  
062 M000000 0.0  
062 N000000 0.0  
062 O000000 0.0  
062 P000000 0.0  
062 Q000000 0.0  
062 R000000 0.0  
063 A000000 0  
063 B000000 0.0  
066 A000000 Y

PAGE 6

066 B000000 N  
066 C000000 Y  
066 D000000 N  
066 E000000 N  
066 F000000 N  
066 G000000 N  
067 000000 N  
068 A000000 N  
068 B000000 N  
069 000000 N  
070 A010000 Y  
070 A020000 Y  
070 B010000 Y  
070 B020000 Y  
070 C010000 N  
070 C020000 N  
070 D010000 Y  
070 D020000 N  
070 E010000 N  
070 E020000 N  
070 F010000 Y  
070 F020000 N  
070 G010000 Y  
070 G020000 N  
070 H010000 Y  
070 H020000 N  
070 I010000 N  
070 I020000 N  
070 J010000 Y  
070 J020000 Y  
070 K010000 Y  
070 K020000 Y  
070 L010000 Y  
070 L020000 Y  
070 M010000 Y  
070 M020000 N  
070 N010000 Y  
070 N020000 N  
070 O010000 Y  
070 O020000 N  
070 P010000 N  
070 P020000 N  
070 Q010000 N  
070 Q020000 N  
070 R010000 Y  
070 R020000 N

Edgar Filing: H&Q LIFE SCIENCES INVESTORS - Form NSAR-B

071 A000000 148878  
 071 B000000 169099  
 071 C000000 191615  
 071 D000000 78  
 072 A000000 12

PAGE 7

072 B000000 22  
 072 C000000 2122  
 072 D000000 0  
 072 E000000 0  
 072 F000000 2335  
 072 G000000 55  
 072 H000000 0  
 072 I000000 55  
 072 J000000 89  
 072 K000000 107  
 072 L000000 45  
 072 M000000 191  
 072 N000000 0  
 072 O000000 0  
 072 P000000 0  
 072 Q000000 0  
 072 R000000 128  
 072 S000000 221  
 072 T000000 0  
 072 U000000 0  
 072 V000000 0  
 072 W000000 202  
 072 X000000 3428  
 072 Y000000 0  
 072 Z000000 -1283  
 072AA000000 23574  
 072BB000000 0  
 072CC010000 55340  
 072CC020000 0  
 072DD010000 0  
 072DD020000 0  
 072EE000000 20970  
 073 A010000 0.0000  
 073 A020000 0.0000  
 073 B000000 1.4900  
 073 C000000 0.0000  
 074 A000000 1  
 074 B000000 3634  
 074 C000000 0  
 074 D000000 0  
 074 E000000 20383  
 074 F000000 193560  
 074 G000000 0  
 074 H000000 0  
 074 I000000 8466  
 074 J000000 146  
 074 K000000 0  
 074 L000000 30  
 074 M000000 1265  
 074 N000000 227485  
 074 O000000 0

PAGE 8

074 P000000 208  
 074 Q000000 0  
 074 R010000 0  
 074 R020000 0

Edgar Filing: H&Q LIFE SCIENCES INVESTORS - Form NSAR-B

074	R030000	0
074	R040000	197
074	S000000	0
074	T000000	227080
074	U010000	14429
074	U020000	0
074	V010000	15.74
074	V020000	0.00
074	W000000	0.0000
074	X000000	0
074	Y000000	0
075	A000000	0
075	B000000	200141
076	000000	15.39
077	A000000	Y
077	B000000	Y
077	C000000	Y
077	Q010000	N
077	Q020000	N
077	Q030000	N
078	000000	N
080	A000000	ICI MUTUAL INSURANCE COMPANY
080	C000000	1500
081	A000000	Y
081	B000000	2
082	A000000	Y
082	B000000	25
083	A000000	N
083	B000000	0
084	A000000	N
084	B000000	0
085	A000000	Y
085	B000000	N
086	A010000	825
086	A020000	10742
086	B010000	975
086	B020000	10878
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	H&Q LIFE SCIENCES INVESTORS
087	A020000	404053100
	PAGE	9
087	A030000	HQL
088	A000000	N
088	B000000	N
088	C000000	N
088	D000000	N
SIGNATURE	LAURA WOODWARD	
TITLE	TREASURER	