Noranda Aluminum Holding CORP

Form 4 June 19, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading MIKLICH THOMAS R Issuer Symbol Noranda Aluminum Holding CORP (Check all applicable) [NOR] (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_\_ Director 10% Owner Officer (give title Other (specify (Month/Day/Year) 801 CRESCENT CENTRE 06/17/2014 DRIVE, SUITE 600 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line)

FRANKLIN, TN 37067

(State)

(Zip)

(City)

Form filed by More than One Reporting

\_X\_ Form filed by One Reporting Person

(Chy)	(State)	Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securities A oner Disposed of (Instr. 3, 4 and	(A) or	, ,	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common stock	06/17/2014		Code V M	Amount 5,113.8485	(D)	Price \$ 0 (1)	43,255.674	D		
Common stock	06/17/2014		F	1,432	D	\$ 3.5 (2)	41,823.674	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

2.	3. Transaction Date	3A. Deemed	4.	5. Number of		6. Date Exercisable and		7. Title and Amou	
Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orDerivat	tive	Expiration Date		Underlying Securit	
or Exercise		any	Code	Securities Acquired		(Month/Day/Year)		(Instr. 3 and 4)	
Price of		(Month/Day/Year)	(Instr. 8)	(A) or Disposed of					
Derivative			(D)						
Security				(Instr. 3, 4, and 5)					
			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo Num Shar
\$ 0	06/17/2014		M	5	5,113.8485	06/17/2014	06/17/2022	Common stock	5,1
	or Exercise Price of Derivative Security	Conversion (Month/Day/Year) or Exercise Price of Derivative Security	or Exercise any Price of (Month/Day/Year) Derivative Security	Conversion (Month/Day/Year) Execution Date, if Transaction Exercise any Code Price of (Month/Day/Year) (Instr. 8) Derivative Security  Code V	Conversion (Month/Day/Year) Execution Date, if TransactionDerivation or Exercise any Code Security Code Security Execution Date, if TransactionDerivation any Code Security Code Security Code Security (Instr. 8) (A) or Derivative Security (D) (Instr. 3)	Conversion or Exercise or Exercise Price of Derivative Security  Code Securities Acquired (Month/Day/Year) (Instr. 8) (A) or Disposed of (D) (Instr. 3, 4, and 5)  Code V (A) (D)	Conversion or Exercise any Code Securities Acquired Price of Derivative Security (Month/Day/Year) (Instr. 8) (A) or Disposed of (D) (Instr. 3, 4, and 5)  Expiration Date (Month/Day/Year) (Instr. 8) (A) or Disposed of (D) (Instr. 3, 4, and 5)  Date Exercisable	Conversion or Exercise or Exercise Price of Derivative Security	Conversion (Month/Day/Year) Execution Date, if or Exercise any (Month/Day/Year) (Instr. 8) (A) or Disposed of Derivative Security (Month/Day/Year) (Instr. 3, 4, and 5)  Code V (A) (D)  Solve Title  Code V (A) (D)  Solve Title  Common

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MIKLICH THOMAS R 801 CRESCENT CENTRE DRIVE SUITE 600 FRANKLIN, TN 37067



## **Signatures**

Gail E. Lehman, Attorney-in-Fact for Thomas R. Miklich

06/19/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reports the vesting of the 6/17/2013 award and any DEUs awarded thereon, as common stock of the Company.
- (2) Reflects the number of shares withheld to pay for federal taxes on the vesting of the 6/17/13 grant and all subsequent DEUs awarded thereon.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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