

Chan Kin  
Form 4  
September 14, 2018

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Chan Kin

2. Issuer Name and Ticker or Trading Symbol  
ONE Group Hospitality, Inc. [stks]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

(Last) (First) (Middle)  
UNIT 601-2, 6TH FLOOR, ST.  
GEORGE'S BUILDING, 2 ICE  
HOUSE ST.

3. Date of Earliest Transaction  
(Month/Day/Year)  
09/13/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)

(Street)  
CENTRAL, K3 00000

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock, par value \$0.0001 per share	09/13/2018		X	60,000 (1) A	\$ 1.63 180,000	I	By ASM Co-Investment Term Trust I (2)
Common Stock, par value \$0.0001 per share	09/13/2018		X	260,000 (1) A	\$ 1.63 780,000	I	By ASM Connaught House Fund LP (2)
	09/13/2018		X		540,000	I	

Edgar Filing: Chan Kin - Form 4

Common Stock, par value \$0.0001 per share	180,000 <u>(1)</u>	\$ 1.63	By ASM Connaught House (Master) Fund II LP <u>(2)</u>
--	-----------------------	------------	--

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					V	(A)	(D)	Date Exercisable	Expiration Date	Title
Warrants	\$ 1.63	09/13/2018		X		60,000	05/15/2018	05/15/2023	Common Stock	60,000
Warrants	\$ 1.63	09/13/2018		X		260,000	05/15/2018	05/15/2023	Common Stock	260,000
Warrants	\$ 1.63	09/13/2018		X		180,000	05/15/2018	05/15/2023	Common Stock	180,000

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Chan Kin UNIT 601-2, 6TH FLOOR ST. GEORGE'S BUILDING, 2 ICE HOUSE ST. CENTRAL, K3 00000	X			

## Signatures

Kin Chan

09/14/2018

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of common stock acquired through the exercise of warrants on September 3, 2018 at a price of \$1.63 per share.
- (2) Argyle Street Management Limited is the investment manager of each of ASM Co-Investment Term Trust I, ASM Connaught House Fund LP and ASM Connaught House (Master) Fund II LP. Kin Chan is the Chief Investment Officer of Argyle Street Management.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.