

ENERGY FOCUS, INC/DE  
Form SC 13D/A  
October 14, 2014

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

SCHEDULE 13D

(Amendment No. 1)

Under the Securities Exchange Act of 1934

**Energy Focus, Inc.**

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(Name of Issuer)

**Common Stock, \$0.0001 par value per share**

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(Title of Class of Securities)

**29268T300**

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(CUSIP Number)

**Jiangang Luo**

**18 Michelle Way**

**Pine Brook, NJ 07058**

**973.287.7177**

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(Name, Address and Telephone Number of Person  
Authorized to Receive Notices and Communications)

**August 6, 2014**

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(Date of Event Which Requires Filing of this Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of Rule 13d-1(e), Rule 13d-1(f) or Rule 13d-1(g), check the following box.

Note: Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See Rule 13d-7(b) for other parties to whom copies are to be sent.

\*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

**1**            **NAME OF  
REPORTING  
PERSON**  
Cleantech  
Global  
Limited  
(formerly  
Prime  
Science &  
Technology  
Inc.)

**2**            **CHECK  
THE  
APPROPRIATE  
BOX IF A (a)  
MEMBER  
OF A  
GROUP**  
(see  
instructions) **(b)**

**3**            **SEC USE  
ONLY**

**4**            **SOURCE  
OF FUNDS**  
(see  
instructions)  
WC

**5**            **CHECK IF  
DISCLOSURE  
OF LEGAL  
PROCEEDINGS  
IS  
REQUIRED  
PURSUANT  
TO ITEMS  
2(d) OR 2(e)**

**6**            **CITIZENSHIP  
OR PLACE  
OF  
ORGANIZATION**

                New Jersey  
**NUMBER OF**        **7**

<b>SHARES</b>		<b>SOLE</b>
<b>BENEFICIALLY</b>		<b>VOTING</b>
<b>OWNED BY</b>		<b>POWER</b>
		910,869
<b>EACH</b>	<b>8</b>	<b>SHARED</b>
<b>REPORTING</b>		<b>VOTING</b>
		<b>POWER</b>
		0
<b>PERSON</b>		<b>SOLE</b>
<b>WITH:</b>	<b>9</b>	<b>DISPOSITIVE</b>
		<b>POWER</b>
		910,869
		<b>SHARED</b>
	<b>10</b>	<b>DISPOSITIVE</b>
		<b>POWER</b>
		0

**11**      **AGGREGATE AMOUNT**  
**BENEFICIALLY**  
**OWNED BY EACH**  
**REPORTING PERSON**  
910,869

**12**      **CHECK IF**  
**THE**  
**AGGREGATE**  
**AMOUNT**  
**IN ROW**  
**(11)**  
**EXCLUDES**  
**CERTAIN**  
**SHARES**  
(see  
instructions)

**13**      **PERCENT OF CLASS**  
**REPRESENTED BY**  
**AMOUNT IN ROW 11**  
9.7%

**14**      **TYPE OF REPORTING**  
**PERSON (see instructions)**  
CO



CUSIP No. 811065101

**1**            **NAME OF  
REPORTING  
PERSON**

Jiangang Luo

**2**            **CHECK  
THE  
APPROPRIATE  
BOX IF A (a)  
MEMBER  
OF A  
GROUP**

(see  
instructions) **(b)**

**3**            **SEC USE  
ONLY**

**4**            **SOURCE  
OF FUNDS**

(see  
instructions)  
AF

**5**            **CHECK IF  
DISCLOSURE  
OF LEGAL  
PROCEEDINGS  
IS  
REQUIRED  
PURSUANT  
TO ITEMS  
2(d) OR 2(e)**

**6**            **CITIZENSHIP  
OR PLACE  
OF  
ORGANIZATION**

People's  
Republic of  
China

<b>NUMBER OF SHARES BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH:</b>	<b>7</b>	<b>SOLE VOTING POWER</b> 913,371
	<b>8</b>	<b>SHARED VOTING POWER</b> 0
	<b>9</b>	<b>SOLE DISPOSITIVE POWER</b> 913,371
	<b>10</b>	<b>SHARED DISPOSITIVE POWER</b> 0

**11**      **AGGREGATE AMOUNT  
BENEFICIALLY  
OWNED BY EACH  
REPORTING PERSON**  
913,371

**12**      **CHECK IF  
THE  
AGGREGATE  
AMOUNT  
IN ROW  
(11)  
EXCLUDES  
CERTAIN  
SHARES**  
(see  
instructions)

**13**      **PERCENT OF CLASS  
REPRESENTED BY  
AMOUNT IN ROW 11**  
9.7%

**14**      **TYPE OF REPORTING  
PERSON (see instructions)  
IN**





CUSIP No. 811065101

## EXPLANATORY NOTE

This Amendment No. 1 to Schedule 13D amends the statement on Schedule 13D filed on April 24, 2014 (the “Original 13D”), by Mr. Luo and Cleantech Global Limited (formerly Prime Science and Technology Inc.) and amends the Items of the Original 13D set forth below with respect to the Common Stock, \$0.0001 par value per share (“Common Stock”), of Energy Focus, Inc. (the “Company”). This Amendment is being filed to report a change in Mr. Luo’s and Cleantech’s ownership percentage as a result of a change in the number of the outstanding shares following the Company’s public offering in August 2014. All share amounts in this Amendment reflect the stock split the Company effected in July 2014.

### Item 5. Interest in Securities of the Issuer.

(a) As of the date hereof, Mr. Luo beneficially owns 913,371 and Cleantech beneficially owns 910,869 shares of Common Stock. Each of Mr. Luo’s and Cleantech’s beneficial ownership represents 9.7% of the outstanding shares of Common Stock based on information set forth in the Company’s Quarter Report on Form 10-Q for the quarter ended June 30, 2014, filed on August 13, 2014 (which reported that there were 9,376,818 shares outstanding as of August 12, 2014). Mr. Luo is the Managing Partner of Cleantech and thus is deemed to have beneficial ownership of Cleantech’s shares of Common Stock.

(b) Each of Mr. Luo and Cleantech has sole voting and dispositive power over the shares of Common Stock reported as beneficially by it or her in response to Item 5(a) above.

(c) None.

(d) Inapplicable.

(e) Inapplicable.

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**SIGNATURES**

After reasonable inquiry and to the best of my knowledge and belief, I hereby certify that the information set forth in this statement is true, complete and correct.

Date: October 10, 2014

/s/ Jiangang Luo  
Name: Jiangang Luo

CLEANTECH GLOBAL LIMITED

By: /s/ Jiangang Luo  
Name: Jiangang Luo  
Title: Managing Partner