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| Form 4 | | | | | | | | | | |
|--|---|------------------|--|---|---------------------------|---|--|--|---|--|
| January 03, | | | | | | | | | PPROVAL | |
| FORM | A 4 UNITED | STATES | | RITIES Anshington | | | COMMISSIO | - | 3235-0287 | |
| Check t if no los subject Section Form 4 Form 5 obligati | F CHAN | NGES IN SECUI | Estimated burden hou response | Expires:January 31, 2005Estimated average burden hours per response0.5 | | | | | | |
| may co See Inst 1(b). | ntinue. Section 17(| | | - | U | npany Act 19 Act of 1 | of 1935 or Secti 940 | lon | | |
| (Print or Type | Responses) | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> LIU VIVIAN H | | | 2. Issuer Name and Ticker or Trading Symbol CESCA THERAPEUTICS INC. [KOOL] | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) (First) (Middle) 2711 CITRUS ROAD | | | 3. Date of Earliest Transaction (Month/Day/Year) 12/29/2017 | | | | _X_ Director 10% Owner _X_ Officer (give title Other (specify below) below) COO | | | |
| (Street) | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| RANCHO | CORDOVA, CA | 95742 | | | | | Form filed by Person | More than One R | eporting | |
| (City) | (State) | (Zip) | Tab | ole I - Non-l | Derivative | Securities A | cquired, Disposed | of, or Beneficia | lly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | | Date, if | 3. Transactio Code (Instr. 8) | Disposed (Instr. 3, 4 | (A) or of (D) 4 and 5) (A) or | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | Code V | Amount | (D) Price | | | | |
| Reminder: Re | port on a separate line | e for each cl | ass of sec | urities bene | Perso inform requir | ns who res nation cont red to resp | or indirectly. spond to the collection ained in this form ond unless the for ntly valid OMB co | n are not orm | SEC 1474 (9-02) | |

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number of | 6. Date Exercisable and | 7. Title and Amount of |
|-------------|-------------|---------------------|--------------------|-----------|--------------|-------------------------|------------------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transacti | orDerivative | Expiration Date | Underlying Securities |
| Security | or Exercise | | any | Code | Securities | (Month/Day/Year) | (Instr. 3 and 4) |

number.

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| (Instr. 3) | Price of Derivative Security | | (Month/Day/Year) | (Instr. 8 | | Acquired (A Disposed of (Instr. 3, 4, 5) | f (D) | | | | |
|------------------|------------------------------------|------------|------------------|-----------|---|---|-------|---------------------|--------------------|-----------------|----------------------------------|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Options | \$ 3 | 12/29/2017 | | А | | 250,000 | | (1) | 12/29/2027 | Common Stock | 250,000 |

Reporting Owners

| Reporting Owner Name / Ad | dress | Relationships | | | | | | | |
|--|------------|---------------|---------|-------|--|--|--|--|--|
| reporting o when I when the | Director | 10% Owner | Officer | Other | | | | | |
| LIU VIVIAN H | | | | | | | | | |
| 2711 CITRUS ROAD | Х | | COO | | | | | | |
| RANCHO CORDOVA, CA | 95742 | | | | | | | | |
| Signatures | | | | | | | | | |
| /s/ Vivian Liu | 01/03/2018 | | | | | | | | |
| <u>**</u> Signature of Reporting Person | Date | | | | | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Option vests in five equal installments on December 29, 2018, 2019, 2020, 2021 and 2022. Under the terms of the award agreement, no portion of the option maybe exercised unless and until the stockholders of the Company approve the November 2017 amendment to our

(1) portion of the option maybe exercised times and until the stockholders of the Company approve the rovember 2017 anendment to our 2016 Equity Incentive Plan, and only if such amendment is approved by the stockholders within one year of the adoption of the amendment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.