**NBT BANCORP INC** 

Form 4 July 26, 2013

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per 0.5 response...

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and AcCHEWENS	•	_	2. Issuer Name and Ticker or Trading Symbol NBT BANCORP INC [NBTB]	5. Relationship of Reporting Person(s) to Issuer		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Check all applicable)		
52 SOUTH BROAD STREET			(Month/Day/Year) 07/25/2013	Director 10% Owner Selow) Other (specify below) Cr EVP & CFO		
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person		
NORWICH, NY 13815				Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Acc	quired, Disposed of, or Beneficially Owned		

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative	Secu	rities Acqui	red, Disposed of,	or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		sed of 4 and (A)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
NIDT			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
NBT Bancorp Inc. Common Stock	07/25/2013		M	715	A	\$ 22.1715	45,635	D	
NBT Bancorp Inc. Common Stock	07/25/2013		S	715	D	\$ 23.11	44,920	D	
NBT Bancorp							14,355.939	I	401k

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Inc.

Common

Stock

NBT

Bancorp
Inc. 3,922.861 I Bancorp
Common ESOP

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)		6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and a Underlying S (Instr. 3 and	Securi
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sl
Non-Qualified Stock Option (right to buy)	\$ 22.1715	07/25/2013		M	715	01/01/2005(1)	01/01/2014	NBT Bancorp Inc. Common Stock	7
Non-Qualified Stock Option (right to buy)	\$ 20.19					01/15/2011(2)	01/15/2020	NBT Bancorp Inc. Common Stock	18,
Non-Qualified Stock Option (right to buy)	\$ 20.3617					01/15/2009(1)	01/15/2018	NBT Bancorp Inc. Common Stock	18,
Non-Qualified Stock Option (right to buy)	\$ 22.352					01/01/2007(1)	01/01/2016	NBT Bancorp Inc.	22,

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				Common Stock	
Non-Qualified Stock Option (right to buy)	\$ 23.2708	01/20/2006(1)	01/20/2015	NBT Bancorp Inc. Common Stock	17,
Non-Qualified Stock Option (right to buy)	\$ 25.38	01/15/2010(2)	01/15/2019	NBT Bancorp Inc. Common Stock	18,
Non-Qualified Stock Option (right to buy)	\$ 25.762	01/01/2008(1)	01/01/2017	NBT Bancorp Inc. Common Stock	20,

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

CHEWENS MICHAEL J 52 SOUTH BROAD STREET NORWICH, NY 13815

Sr EVP & CFO

### **Signatures**

By: F. Sheldon Prentice, Power of Attorney For: Michael J. Chewens

07/26/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Pursuant to NBT Bancorp Inc. 1993 Stock Option Plan grant vests 40% for first year, 20% annually for following years.
- (2) Pursuant to NBT Bancorp Inc. Omnibus Stock Option Agreement the grant vests 40% for first year, 20% annually for following years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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