

Edgar Filing: BOULDER GROWTH & INCOME FUND - Form N-PX

BOULDER GROWTH & INCOME FUND  
Form N-PX  
August 31, 2017

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number: 811-02328

BOULDER GROWTH & INCOME FUND, INC.  
(Exact name of registrant as specified in charter)

Fund Administrative Services, LLC  
2344 Spruce Street, Suite A, Boulder, CO 80302  
(Address of principal executive offices) (Zip code)

Registrant's Telephone Number, including Area Code: (303) 444-5483

Date of fiscal year end: November 30

Date of reporting period: July 1, 2016 - June 30, 2017

ITEM 1. PROXY VOTING RECORD

===== BOULDER GROWTH & INCOME FUND INC =====

3M COMPANY

Ticker: MMM Security ID: 88579Y101  
Meeting Date: MAY 09, 2017 Meeting Type: Annual  
Record Date: MAR 14, 2017

| #  | Proposal   | Mgt Rec  | Vote Cast | Sponsor     |
|----|--|----------|-----------|-------------|
| 1a | Elect Director Sondra L. Barbour                               | For      | For       | Management  |
| 1b | Elect Director Thomas "Tony" K. Brown                          | For      | For       | Management  |
| 1c | Elect Director Vance D. Coffman                                | For      | For       | Management  |
| 1d | Elect Director David B. Dillon                                 | For      | For       | Management  |
| 1e | Elect Director Michael L. Eskew                                | For      | For       | Management  |
| 1f | Elect Director Herbert L. Henkel                               | For      | For       | Management  |
| 1g | Elect Director Muhtar Kent                                     | For      | For       | Management  |
| 1h | Elect Director Edward M. Liddy                                 | For      | For       | Management  |
| 1i | Elect Director Gregory R. Page                                 | For      | For       | Management  |
| 1j | Elect Director Inge G. Thulin                                  | For      | For       | Management  |
| 1k | Elect Director Patricia A. Woertz                              | For      | For       | Management  |
| 2  | Ratify PricewaterhouseCoopers LLP as Auditors                  | For      | For       | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | For       | Management  |
| 4  | Advisory Vote on Say on Pay Frequency                          | One Year | One Year  | Management  |
| 5  | Adopt Holy Land Principles                                     | Against  | Against   | Shareholder |

## Edgar Filing: BOULDER GROWTH & INCOME FUND - Form N-PX

AMERICAN EXPRESS COMPANY

Ticker: AXP Security ID: 025816109  
 Meeting Date: MAY 01, 2017 Meeting Type: Annual  
 Record Date: MAR 03, 2017

| #  | Proposal   | Mgt Rec  | Vote Cast | Sponsor     |
|----|--|----------|-----------|-------------|
| 1a | Elect Director Charlene Barshefsky                             | For      | For       | Management  |
| 1b | Elect Director John J. Brennan                                 | For      | For       | Management  |
| 1c | Elect Director Ursula M. Burns                                 | For      | For       | Management  |
| 1d | Elect Director Kenneth I. Chenault                             | For      | For       | Management  |
| 1e | Elect Director Peter Chernin                                   | For      | For       | Management  |
| 1f | Elect Director Ralph de la Vega                                | For      | For       | Management  |
| 1g | Elect Director Anne L. Lauvergeon                              | For      | For       | Management  |
| 1h | Elect Director Michael O. Leavitt                              | For      | For       | Management  |
| 1i | Elect Director Theodore J. Leonsis                             | For      | For       | Management  |
| 1j | Elect Director Richard C. Levin                                | For      | For       | Management  |
| 1k | Elect Director Samuel J. Palmisano                             | For      | For       | Management  |
| 1l | Elect Director Daniel L. Vasella                               | For      | For       | Management  |
| 1m | Elect Director Robert D. Walter                                | For      | For       | Management  |
| 1n | Elect Director Ronald A. Williams                              | For      | For       | Management  |
| 2  | Ratify PricewaterhouseCoopers LLP as Auditors                  | For      | For       | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | Against   | Management  |
| 4  | Advisory Vote on Say on Pay Frequency                          | One Year | One Year  | Management  |
| 5  | Provide Right to Act by Written Consent                        | Against  | For       | Shareholder |
| 6  | Report on Gender Pay Gap                                       | Against  | Against   | Shareholder |

BERKSHIRE HATHAWAY INC.

Ticker: BRK.A Security ID: 084670108  
 Meeting Date: MAY 06, 2017 Meeting Type: Annual  
 Record Date: MAR 08, 2017

| #    | Proposal   | Mgt Rec     | Vote Cast | Sponsor     |
|------|--|-------------|-----------|-------------|
| 1.1  | Elect Director Warren E. Buffett                               | For         | For       | Management  |
| 1.2  | Elect Director Charles T. Munger                               | For         | For       | Management  |
| 1.3  | Elect Director Howard G. Buffett                               | For         | For       | Management  |
| 1.4  | Elect Director Stephen B. Burke                                | For         | For       | Management  |
| 1.5  | Elect Director Susan L. Decker                                 | For         | For       | Management  |
| 1.6  | Elect Director William H. Gates, III                           | For         | For       | Management  |
| 1.7  | Elect Director David S. Gottesman                              | For         | For       | Management  |
| 1.8  | Elect Director Charlotte Guyman                                | For         | For       | Management  |
| 1.9  | Elect Director Thomas S. Murphy                                | For         | For       | Management  |
| 1.10 | Elect Director Ronald L. Olson                                 | For         | For       | Management  |
| 1.11 | Elect Director Walter Scott, Jr.                               | For         | For       | Management  |
| 1.12 | Elect Director Meryl B. Witmer                                 | For         | For       | Management  |
| 2    | Advisory Vote to Ratify Named Executive Officers' Compensation | For         | For       | Management  |
| 3    | Advisory Vote on Say on Pay Frequency                          | Three Years | One Year  | Management  |
| 4    | Report on Political Contributions                              | Against     | For       | Shareholder |
| 5    | Assess and Report on Exposure to Climate Change Risks          | Against     | For       | Shareholder |
| 6    | Require Divestment from Fossil Fuels                           | Against     | Against   | Shareholder |

## Edgar Filing: BOULDER GROWTH & INCOME FUND - Form N-PX

BERKSHIRE HATHAWAY INC.

Ticker: BRK.B Security ID: 084670702  
 Meeting Date: MAY 06, 2017 Meeting Type: Annual  
 Record Date: MAR 08, 2017

| #    | Proposal   | Mgt Rec     | Vote Cast | Sponsor     |
|------|--|-------------|-----------|-------------|
| 1.1  | Elect Director Warren E. Buffett                               | For         | For       | Management  |
| 1.2  | Elect Director Charles T. Munger                               | For         | For       | Management  |
| 1.3  | Elect Director Howard G. Buffett                               | For         | For       | Management  |
| 1.4  | Elect Director Stephen B. Burke                                | For         | For       | Management  |
| 1.5  | Elect Director Susan L. Decker                                 | For         | For       | Management  |
| 1.6  | Elect Director William H. Gates, III                           | For         | For       | Management  |
| 1.7  | Elect Director David S. Gottesman                              | For         | For       | Management  |
| 1.8  | Elect Director Charlotte Guyman                                | For         | For       | Management  |
| 1.9  | Elect Director Thomas S. Murphy                                | For         | For       | Management  |
| 1.10 | Elect Director Ronald L. Olson                                 | For         | For       | Management  |
| 1.11 | Elect Director Walter Scott, Jr.                               | For         | For       | Management  |
| 1.12 | Elect Director Meryl B. Witmer                                 | For         | For       | Management  |
| 2    | Advisory Vote to Ratify Named Executive Officers' Compensation | For         | For       | Management  |
| 3    | Advisory Vote on Say on Pay Frequency                          | Three Years | One Year  | Management  |
| 4    | Report on Political Contributions                              | Against     | For       | Shareholder |
| 5    | Assess and Report on Exposure to Climate Change Risks          | Against     | For       | Shareholder |
| 6    | Require Divestment from Fossil Fuels                           | Against     | Against   | Shareholder |

CATERPILLAR INC.

Ticker: CAT Security ID: 149123101  
 Meeting Date: JUN 14, 2017 Meeting Type: Annual  
 Record Date: APR 17, 2017

| #    | Proposal   | Mgt Rec  | Vote Cast | Sponsor     |
|------|--|----------|-----------|-------------|
| 1.1  | Elect Director David L. Calhoun                                | For      | For       | Management  |
| 1.2  | Elect Director Daniel M. Dickinson                             | For      | For       | Management  |
| 1.3  | Elect Director Juan Gallardo                                   | For      | For       | Management  |
| 1.4  | Elect Director Jesse J. Greene, Jr.                            | For      | For       | Management  |
| 1.5  | Elect Director Jon M. Huntsman, Jr.                            | For      | For       | Management  |
| 1.6  | Elect Director Dennis A. Muilenburg                            | For      | For       | Management  |
| 1.7  | Elect Director William A. Osborn                               | For      | For       | Management  |
| 1.8  | Elect Director Debra L. Reed                                   | For      | For       | Management  |
| 1.9  | Elect Director Edward B. Rust, Jr.                             | For      | For       | Management  |
| 1.10 | Elect Director Susan C. Schwab                                 | For      | For       | Management  |
| 1.11 | Elect Director Jim Umpleby                                     | For      | For       | Management  |
| 1.12 | Elect Director Miles D. White                                  | For      | For       | Management  |
| 1.13 | Elect Director Rayford Wilkins, Jr.                            | For      | For       | Management  |
| 2    | Ratify PricewaterhouseCoopers as Auditors                      | For      | For       | Management  |
| 3    | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | For       | Management  |
| 4    | Advisory Vote on Say on Pay Frequency                          | One Year | One Year  | Management  |
| 5    | Amend Omnibus Stock Plan                                       | For      | For       | Management  |
| 6    | Report on Lobbying Payments and Policy                         | Against  | For       | Shareholder |
| 7    | Reduce Ownership Threshold for                                 | Against  | For       | Shareholder |

## Edgar Filing: BOULDER GROWTH & INCOME FUND - Form N-PX

|    |   |         |         |             |
|----|---|---------|---------|-------------|
|    | Shareholders to Call Special Meeting  |         |         |             |
| 8  | Report on Lobbying Priorities   | Against | Against | Shareholder |
| 9  | Include Sustainability as a Performance Measure for Senior Executive Compensation | Against | Against | Shareholder |
| 10 | Amend Compensation Clawback Policy  | Against | For     | Shareholder |
| 11 | Require Independent Board Chairman  | Against | For     | Shareholder |

### CHEVRON CORPORATION

Ticker: CVX                      Security ID: 166764100  
 Meeting Date: MAY 31, 2017      Meeting Type: Annual  
 Record Date: APR 03, 2017

| #  | Proposal  | Mgt Rec  | Vote Cast | Sponsor     |
|----|---|----------|-----------|-------------|
| 1a | Elect Director Wanda M. Austin  | For      | For       | Management  |
| 1b | Elect Director Linnet F. Deily  | For      | For       | Management  |
| 1c | Elect Director Robert E. Denham   | For      | For       | Management  |
| 1d | Elect Director Alice P. Gast  | For      | For       | Management  |
| 1e | Elect Director Enrique Hernandez, Jr.   | For      | For       | Management  |
| 1f | Elect Director Jon M. Huntsman, Jr.   | For      | For       | Management  |
| 1g | Elect Director Charles W. Moorman, IV   | For      | For       | Management  |
| 1h | Elect Director Dambisa F. Moyo  | For      | For       | Management  |
| 1i | Elect Director Ronald D. Sugar  | For      | For       | Management  |
| 1j | Elect Director Inge G. Thulin   | For      | For       | Management  |
| 1k | Elect Director John S. Watson   | For      | For       | Management  |
| 1l | Elect Director Michael K. Wirth   | For      | For       | Management  |
| 2  | Ratify PricewaterhouseCoopers LLP as Auditors   | For      | For       | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation                                    | For      | For       | Management  |
| 4  | Advisory Vote on Say on Pay Frequency   | One Year | One Year  | Management  |
| 5  | Report on Lobbying Payments and Policy  | Against  | For       | Shareholder |
| 6  | Report on Risks of Doing Business in Conflict-Affected Areas                                      | Against  | Against   | Shareholder |
| 7  | Annually Assess Portfolio Impacts of Policies to Meet 2-degree Scenario<br>*Withdrawn Resolution* | None     | None      | Shareholder |
| 8  | Assess and Report on Transition to a Low Carbon Economy   | Against  | For       | Shareholder |
| 9  | Require Independent Board Chairman  | Against  | For       | Shareholder |
| 10 | Require Director Nominee with Environmental Experience  | Against  | For       | Shareholder |
| 11 | Amend Articles/Bylaws/Charter -- Call Special Meetings  | Against  | For       | Shareholder |

### CISCO SYSTEMS, INC.

Ticker: CSCO                      Security ID: 17275R102  
 Meeting Date: DEC 12, 2016      Meeting Type: Annual  
 Record Date: OCT 14, 2016

| #  | Proposal                           | Mgt Rec | Vote Cast | Sponsor    |
|----|------------------------------------|---------|-----------|------------|
| 1a | Elect Director Carol A. Bartz      | For     | For       | Management |
| 1b | Elect Director M. Michele Burns    | For     | For       | Management |
| 1c | Elect Director Michael D. Capellas | For     | For       | Management |

## Edgar Filing: BOULDER GROWTH & INCOME FUND - Form N-PX

|    |  |         |         |             |
|----|--|---------|---------|-------------|
| 1d | Elect Director John T. Chambers                                | For     | For     | Management  |
| 1e | Elect Director Amy L. Chang                                    | For     | For     | Management  |
| 1f | Elect Director John L. Hennessy                                | For     | For     | Management  |
| 1g | Elect Director Kristina M. Johnson                             | For     | For     | Management  |
| 1h | Elect Director Roderick C. McGeary                             | For     | For     | Management  |
| 1i | Elect Director Charles H. Robbins                              | For     | For     | Management  |
| 1j | Elect Director Arun Sarin                                      | For     | For     | Management  |
| 1k | Elect Director Steven M. West                                  | For     | For     | Management  |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For     | Management  |
| 3  | Ratify PricewaterhouseCoopers LLP as Auditors                  | For     | For     | Management  |
| 4  | Report on Lobbying Payments and Policy                         | Against | For     | Shareholder |
| 5  | Report on Arab and non-Arab Employees using EEO-1 Categories   | Against | Against | Shareholder |
| 6  | Establish Board Committee on Operations in Israeli Settlements | Against | Against | Shareholder |

---

### CK HUTCHISON HOLDINGS LIMITED

Ticker: 1 Security ID: G21765105  
 Meeting Date: MAY 11, 2017 Meeting Type: Annual  
 Record Date: MAY 05, 2017

| #   | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|-----|--|---------|-----------|------------|
| 1   | Accept Financial Statements and Statutory Reports                                | For     | For       | Management |
| 2   | Approve Final Dividend   | For     | For       | Management |
| 3a  | Elect Kam Hing Lam as Director   | For     | Against   | Management |
| 3b  | Elect Edith Shih as Director   | For     | Against   | Management |
| 3c  | Elect Chow Kun Chee, Roland as Director  | For     | Against   | Management |
| 3d  | Elect Chow Woo Mo Fong, Susan as Director  | For     | Against   | Management |
| 3e  | Elect Cheng Hoi Chuen, Vincent as Director                                       | For     | Against   | Management |
| 3f  | Elect Lee Wai Mun, Rose as Director  | For     | Against   | Management |
| 3g  | Elect William Shurniak as Director   | For     | For       | Management |
| 3h  | Elect Wong Chung Hin as Director   | For     | For       | Management |
| 4   | Approve Auditor and Authorize Board to Fix Their Remuneration                    | For     | For       | Management |
| 5.1 | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For     | Against   | Management |
| 5.2 | Authorize Repurchase of Issued Share Capital                                     | For     | For       | Management |
| 5.3 | Authorize Reissuance of Repurchased Shares                                       | For     | Against   | Management |

---

### COHEN & STEERS INFRASTRUCTURE FUND, INC.

Ticker: UTF Security ID: 19248A109  
 Meeting Date: APR 27, 2017 Meeting Type: Annual  
 Record Date: MAR 01, 2017

| #   | Proposal                     | Mgt Rec | Vote Cast | Sponsor    |
|-----|------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Michael Clark | For     | For       | Management |

## Edgar Filing: BOULDER GROWTH & INCOME FUND - Form N-PX

|     |                                 |     |     |            |
|-----|---------------------------------|-----|-----|------------|
| 1.2 | Elect Director Bonnie Cohen     | For | For | Management |
| 1.3 | Elect Director Dean Junkans     | For | For | Management |
| 1.4 | Elect Director Richard E. Kroon | For | For | Management |

---

### COMMUNITY BK PASADENA CALIF

Ticker: CYHT Security ID: 203507108  
 Meeting Date: APR 27, 2017 Meeting Type: Annual  
 Record Date: MAR 08, 2017

| #    | Proposal                            | Mgt Rec | Vote Cast | Sponsor    |
|------|-------------------------------------|---------|-----------|------------|
| 1.1  | Elect Director William L. Browning  | For     | For       | Management |
| 1.2  | Elect Director Charles E. Cook      | For     | For       | Management |
| 1.3  | Elect Director Matthew Denmark      | For     | For       | Management |
| 1.4  | Elect Director Kyle R. Jones        | For     | For       | Management |
| 1.5  | Elect Director Lyle R. Knight       | For     | For       | Management |
| 1.6  | Elect Director Robert J. Kushner    | For     | For       | Management |
| 1.7  | Elect Director Marshall V. Laitsch  | For     | For       | Management |
| 1.8  | Elect Director Charles D. McCluer   | For     | For       | Management |
| 1.9  | Elect Director David R. Misch       | For     | For       | Management |
| 1.10 | Elect Director Craig H. Stewart     | For     | For       | Management |
| 1.11 | Elect Director Kristen D. Stovesand | For     | For       | Management |

---

### FREEMPORT-MCMORAN INC.

Ticker: FCX Security ID: 35671D857  
 Meeting Date: JUN 06, 2017 Meeting Type: Annual  
 Record Date: APR 11, 2017

| #   | Proposal   | Mgt Rec  | Vote Cast | Sponsor    |
|-----|--|----------|-----------|------------|
| 1.1 | Elect Director Richard C. Adkerson                             | For      | For       | Management |
| 1.2 | Elect Director Gerald J. Ford                                  | For      | For       | Management |
| 1.3 | Elect Director Lydia H. Kennard                                | For      | For       | Management |
| 1.4 | Elect Director Andrew Langham                                  | For      | For       | Management |
| 1.5 | Elect Director Jon C. Madonna                                  | For      | For       | Management |
| 1.6 | Elect Director Courtney Mather                                 | For      | For       | Management |
| 1.7 | Elect Director Dustan E. McCoy                                 | For      | For       | Management |
| 1.8 | Elect Director Frances Fragos Townsend                         | For      | For       | Management |
| 2   | RatifyErnst & Young LLP as Auditors                            | For      | For       | Management |
| 3   | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | Against   | Management |
| 4   | Advisory Vote on Say on Pay Frequency                          | One Year | One Year  | Management |

---

### HARRIS CORPORATION

Ticker: HRS Security ID: 413875105  
 Meeting Date: OCT 28, 2016 Meeting Type: Annual  
 Record Date: SEP 02, 2016

| #  | Proposal                          | Mgt Rec | Vote Cast | Sponsor    |
|----|-----------------------------------|---------|-----------|------------|
| 1a | Elect Director James F. Albaugh   | For     | For       | Management |
| 1b | Elect Director William M. Brown   | For     | For       | Management |
| 1c | Elect Director Peter W. Chiarelli | For     | For       | Management |

## Edgar Filing: BOULDER GROWTH & INCOME FUND - Form N-PX

|    |  |     |     |            |
|----|--|-----|-----|------------|
| 1d | Elect Director Thomas A. Dattilo                               | For | For | Management |
| 1e | Elect Director Roger B. Fradin                                 | For | For | Management |
| 1f | Elect Director Terry D. Growcock                               | For | For | Management |
| 1g | Elect Director Lewis Hay, III                                  | For | For | Management |
| 1h | Elect Director Vyomesh I. Joshi                                | For | For | Management |
| 1i | Elect Director Leslie F. Kenne                                 | For | For | Management |
| 1j | Elect Director James C. Stoffel                                | For | For | Management |
| 1k | Elect Director Gregory T. Swienton                             | For | For | Management |
| 1l | Elect Director Hansel E. Tookes, II                            | For | For | Management |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3  | Ratify Ernst & Young LLP as Auditors                           | For | For | Management |

---

### HEINEKEN HOLDING NV

Ticker: HEIO Security ID: N39338194  
 Meeting Date: APR 20, 2017 Meeting Type: Annual  
 Record Date: MAR 23, 2017

| #   | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|-----|--|---------|-----------|------------|
| 1   | Receive Report of Management Board (Non-Voting)                          | None    | None      | Management |
| 2   | Discuss Remuneration Report  | None    | None      | Management |
| 3   | Adopt Financial Statements   | For     | For       | Management |
| 4   | Receive Explanation on Company's Reserves and Dividend Policy            | None    | None      | Management |
| 5   | Approve Discharge of the Board of Directors                              | For     | For       | Management |
| 6.a | Authorize Repurchase of Up to 10 Percent of Issued Share Capital         | For     | For       | Management |
| 6.b | Grant Board Authority to Issue Shares Up To 10 Percent of Issued Capital | For     | For       | Management |
| 6.c | Authorize Board to Exclude Preemptive Rights from Issuance under Item 6b | For     | For       | Management |
| 7   | Ratify Deloitte as Auditors  | For     | For       | Management |
| 8.a | Reelect M. Das as Non-Executive Director                                 | For     | Against   | Management |
| 8.b | Reelect A.A.C. de Carvalho as Non-Executive Director                     | For     | Against   | Management |

---

### HEINEKEN NV

Ticker: HEIA Security ID: N39427211  
 Meeting Date: APR 20, 2017 Meeting Type: Annual  
 Record Date: MAR 23, 2017

| #   | Proposal  | Mgt Rec | Vote Cast | Sponsor    |
|-----|---|---------|-----------|------------|
| 1.a | Receive Report of Management Board (Non-Voting)   | None    | None      | Management |
| 1.b | Discuss Remuneration Report Containing Remuneration Policy for Management Board Members | None    | None      | Management |
| 1.c | Adopt Financial Statements and Statutory Reports  | For     | For       | Management |
| 1.d | Receive Explanation on Dividend Policy  | None    | None      | Management |
| 1.e | Approve Dividends of EUR1.34 Per Share  | For     | For       | Management |

## Edgar Filing: BOULDER GROWTH & INCOME FUND - Form N-PX

|     |   |     |     |            |
|-----|---|-----|-----|------------|
| 1.f | Approve Discharge of Management Board                                       | For | For | Management |
| 1.g | Approve Discharge of Supervisory Board                                      | For | For | Management |
| 2.a | Authorize Repurchase of Up to 10<br>Percent of Issued Share Capital         | For | For | Management |
| 2.b | Grant Board Authority to Issue Shares<br>Up To 10 Percent of Issued Capital | For | For | Management |
| 2.c | Authorize Board to Exclude Preemptive<br>Rights from Issuance under Item 2b | For | For | Management |
| 3   | Amend Performance Criteria of<br>Long-Term Incentive Plan                   | For | For | Management |
| 4   | Ratify Deloitte as Auditors   | For | For | Management |
| 5   | Reelect J.F.M.L. van Boxmeer to<br>Management Board                         | For | For | Management |
| 6.a | Reelect M. Das to Supervisory Board   | For | For | Management |
| 6.b | Reelect V.C.O.B.J. Navarre to<br>Supervisory Board                          | For | For | Management |

### INTERNATIONAL BUSINESS MACHINES CORPORATION

Ticker: IBM Security ID: 459200101  
 Meeting Date: APR 25, 2017 Meeting Type: Annual  
 Record Date: FEB 24, 2017

| #    | Proposal   | Mgt Rec  | Vote Cast | Sponsor     |
|------|--|----------|-----------|-------------|
| 1.1  | Elect Director Kenneth I. Chenault                                     | For      | For       | Management  |
| 1.2  | Elect Director Michael L. Eskew  | For      | For       | Management  |
| 1.3  | Elect Director David N. Farr   | For      | For       | Management  |
| 1.4  | Elect Director Mark Fields   | For      | For       | Management  |
| 1.5  | Elect Director Alex Gorsky   | For      | For       | Management  |
| 1.6  | Elect Director Shirley Ann Jackson                                     | For      | For       | Management  |
| 1.7  | Elect Director Andrew N. Liveris                                       | For      | For       | Management  |
| 1.8  | Elect Director W. James McNerney, Jr.                                  | For      | For       | Management  |
| 1.9  | Elect Director Hutham S. Olayan  | For      | For       | Management  |
| 1.10 | Elect Director James W. Owens  | For      | For       | Management  |
| 1.11 | Elect Director Virginia M. Rometty                                     | For      | For       | Management  |
| 1.12 | Elect Director Sidney Taurel   | For      | For       | Management  |
| 1.13 | Elect Director Peter R. Voser  | For      | For       | Management  |
| 2    | Ratify PricewaterhouseCoopers LLP as<br>Auditors                       | For      | For       | Management  |
| 3    | Advisory Vote to Ratify Named<br>Executive Officers' Compensation      | For      | Against   | Management  |
| 4    | Advisory Vote on Say on Pay Frequency                                  | One Year | One Year  | Management  |
| 5    | Report on Lobbying Payments and Policy                                 | Against  | For       | Shareholder |
| 6    | Reduce Ownership Threshold for<br>Shareholders to Call Special Meeting | Against  | For       | Shareholder |
| 7    | Adopt Proxy Access Right   | Against  | For       | Shareholder |

### JOHNSON & JOHNSON

Ticker: JNJ Security ID: 478160104  
 Meeting Date: APR 27, 2017 Meeting Type: Annual  
 Record Date: FEB 28, 2017

| #  | Proposal                        | Mgt Rec | Vote Cast | Sponsor    |
|----|---------------------------------|---------|-----------|------------|
| 1a | Elect Director Mary C. Beckerle | For     | For       | Management |
| 1b | Elect Director D. Scott Davis   | For     | For       | Management |



## Edgar Filing: BOULDER GROWTH & INCOME FUND - Form N-PX

|    |  |          |          |             |
|----|--|----------|----------|-------------|
| 1c | Elect Director Ian E. L. Davis                                 | For      | For      | Management  |
| 1d | Elect Director Alex Gorsky                                     | For      | For      | Management  |
| 1e | Elect Director Mark B. McClellan                               | For      | For      | Management  |
| 1f | Elect Director Anne M. Mulcahy                                 | For      | For      | Management  |
| 1g | Elect Director William D. Perez                                | For      | For      | Management  |
| 1h | Elect Director Charles Prince                                  | For      | For      | Management  |
| 1i | Elect Director A. Eugene Washington                            | For      | For      | Management  |
| 1j | Elect Director Ronald A. Williams                              | For      | For      | Management  |
| 2  | Advisory Vote on Say on Pay Frequency                          | One Year | One Year | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | For      | Management  |
| 4  | Amend Omnibus Stock Plan                                       | For      | For      | Management  |
| 5  | Ratify PricewaterhouseCoopers LLP as Auditors                  | For      | For      | Management  |
| 6  | Require Independent Board Chairman                             | Against  | For      | Shareholder |

-----

JPMORGAN CHASE & CO.

Ticker: JPM Security ID: 46625H100

Meeting Date: MAY 16, 2017 Meeting Type: Annual

Record Date: MAR 17, 2017

| #  | Proposal  | Mgt Rec  | Vote Cast | Sponsor     |
|----|---|----------|-----------|-------------|
| 1a | Elect Director Linda B. Bammann                                       | For      | For       | Management  |
| 1b | Elect Director James A. Bell  | For      | For       | Management  |
| 1c | Elect Director Crandall C. Bowles                                     | For      | For       | Management  |
| 1d | Elect Director Stephen B. Burke                                       | For      | For       | Management  |
| 1e | Elect Director Todd A. Combs  | For      | For       | Management  |
| 1f | Elect Director James S. Crown   | For      | For       | Management  |
| 1g | Elect Director James Dimon  | For      | For       | Management  |
| 1h | Elect Director Timothy P. Flynn                                       | For      | For       | Management  |
| 1i | Elect Director Laban P. Jackson, Jr.                                  | For      | For       | Management  |
| 1j | Elect Director Michael A. Neal  | For      | For       | Management  |
| 1k | Elect Director Lee R. Raymond   | For      | For       | Management  |
| 1l | Elect Director William C. Weldon                                      | For      | For       | Management  |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation        | For      | For       | Management  |
| 3  | Ratify PricewaterhouseCoopers LLP as Auditors                         | For      | For       | Management  |
| 4  | Advisory Vote on Say on Pay Frequency                                 | One Year | One Year  | Management  |
| 5  | Require Independent Board Chairman                                    | Against  | For       | Shareholder |
| 6  | Prohibit Accelerated Vesting of Awards to Pursue Government Service   | Against  | For       | Shareholder |
| 7  | Clawback Amendment  | Against  | Against   | Shareholder |
| 8  | Report on Gender Pay Gap  | Against  | Against   | Shareholder |
| 9  | Provide Vote Counting to Exclude Abstentions                          | Against  | Against   | Shareholder |
| 10 | Reduce Ownership Threshold for Shareholders to Call a Special Meeting | Against  | For       | Shareholder |

-----

LTC PROPERTIES, INC.

Ticker: LTC Security ID: 502175102

Meeting Date: JUN 01, 2017 Meeting Type: Annual

Record Date: APR 17, 2017

## Edgar Filing: BOULDER GROWTH & INCOME FUND - Form N-PX

| #   | Proposal   | Mgt Rec  | Vote Cast | Sponsor    |
|-----|--|----------|-----------|------------|
| 1.1 | Elect Director Boyd W. Hendrickson                             | For      | For       | Management |
| 1.2 | Elect Director James J. Pieczynski                             | For      | For       | Management |
| 1.3 | Elect Director Devra G. Shapiro                                | For      | For       | Management |
| 1.4 | Elect Director Wendy L. Simpson                                | For      | For       | Management |
| 1.5 | Elect Director Timothy J. Triche                               | For      | For       | Management |
| 2   | Ratify Ernst & Young LLP as Auditors                           | For      | For       | Management |
| 3   | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | For       | Management |
| 4   | Advisory Vote on Say on Pay Frequency                          | One Year | One Year  | Management |

-----

MATTEL, INC.

Ticker: MAT Security ID: 577081102  
 Meeting Date: MAY 19, 2017 Meeting Type: Annual  
 Record Date: MAR 24, 2017

| #  | Proposal   | Mgt Rec  | Vote Cast | Sponsor    |
|----|--|----------|-----------|------------|
| 1a | Elect Director Michael J. Dolan                                | For      | For       | Management |
| 1b | Elect Director Trevor A. Edwards                               | For      | For       | Management |
| 1c | Elect Director Frances D. Fergusson                            | For      | For       | Management |
| 1d | Elect Director Margaret H. Georgiadis                          | For      | For       | Management |
| 1e | Elect Director Ann Lewnes                                      | For      | For       | Management |
| 1f | Elect Director Dominic Ng                                      | For      | For       | Management |
| 1g | Elect Director Vasant M. Prabhu                                | For      | For       | Management |
| 1h | Elect Director Dean A. Scarborough                             | For      | For       | Management |
| 1i | Elect Director Christopher A. Sinclair                         | For      | For       | Management |
| 1j | Elect Director Dirk Van de Put                                 | For      | For       | Management |
| 1k | Elect Director Kathy White Loyd                                | For      | For       | Management |
| 2  | Ratify PricewaterhouseCoopers LLP as Auditors                  | For      | For       | Management |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | For       | Management |
| 4  | Advisory Vote on Say on Pay Frequency                          | One Year | One Year  | Management |
| 5  | Approve Executive Incentive Bonus Plan                         | For      | For       | Management |

-----

ORACLE CORPORATION

Ticker: ORCL Security ID: 68389X105  
 Meeting Date: NOV 16, 2016 Meeting Type: Annual  
 Record Date: SEP 19, 2016

| #    | Proposal                            | Mgt Rec | Vote Cast | Sponsor    |
|------|-------------------------------------|---------|-----------|------------|
| 1.1  | Elect Director Jeffrey S. Berg      | For     | Withhold  | Management |
| 1.2  | Elect Director H. Raymond Bingham   | For     | Withhold  | Management |
| 1.3  | Elect Director Michael J. Boskin    | For     | Withhold  | Management |
| 1.4  | Elect Director Safra A. Catz        | For     | For       | Management |
| 1.5  | Elect Director Bruce R. Chizen      | For     | Withhold  | Management |
| 1.6  | Elect Director George H. Conrades   | For     | Withhold  | Management |
| 1.7  | Elect Director Lawrence J. Ellison  | For     | For       | Management |
| 1.8  | Elect Director Hector Garcia-Molina | For     | For       | Management |
| 1.9  | Elect Director Jeffrey O. Henley    | For     | For       | Management |
| 1.10 | Elect Director Mark V. Hurd         | For     | For       | Management |
| 1.11 | Elect Director Renee J. James       | For     | For       | Management |
| 1.12 | Elect Director Leon E. Panetta      | For     | Withhold  | Management |
| 1.13 | Elect Director Naomi O. Seligman    | For     | Withhold  | Management |

## Edgar Filing: BOULDER GROWTH & INCOME FUND - Form N-PX

|   |  |         |         |             |
|---|--|---------|---------|-------------|
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against | Management  |
| 3 | Ratify Ernst & Young LLP as Auditors                           | For     | For     | Management  |
| 4 | Report on Lobbying Payments and Policy                         | Against | For     | Shareholder |

---

### PERPETUAL FEDERAL SAVINGS BANK

Ticker: PFOH                      Security ID: 714273109  
 Meeting Date: JAN 25, 2017      Meeting Type: Annual  
 Record Date: DEC 09, 2016

| #   | Proposal                             | Mgt Rec | Vote Cast | Sponsor    |
|-----|--------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Charles L. Sweeting   | For     | Withhold  | Management |
| 1.2 | Elect Director David P. Vernon       | For     | Withhold  | Management |
| 1.3 | Elect Director Scott A. Fannin       | For     | For       | Management |
| 2   | Ratify Crowe Horwath LLP as Auditors | For     | Against   | Management |

---

### PFIZER INC.

Ticker: PFE                      Security ID: 717081103  
 Meeting Date: APR 27, 2017      Meeting Type: Annual  
 Record Date: FEB 28, 2017

| #    | Proposal   | Mgt Rec  | Vote Cast | Sponsor     |
|------|--|----------|-----------|-------------|
| 1.1  | Elect Director Dennis A. Ausiello                              | For      | For       | Management  |
| 1.2  | Elect Director Ronald E. Blaylock                              | For      | For       | Management  |
| 1.3  | Elect Director W. Don Cornwell                                 | For      | For       | Management  |
| 1.4  | Elect Director Joseph J. Echevarria                            | For      | For       | Management  |
| 1.5  | Elect Director Frances D. Fergusson                            | For      | For       | Management  |
| 1.6  | Elect Director Helen H. Hobbs                                  | For      | For       | Management  |
| 1.7  | Elect Director James M. Kilts                                  | For      | For       | Management  |
| 1.8  | Elect Director Shantanu Narayan                                | For      | For       | Management  |
| 1.9  | Elect Director Suzanne Nora Johnson                            | For      | For       | Management  |
| 1.10 | Elect Director Ian C. Read                                     | For      | For       | Management  |
| 1.11 | Elect Director Stephen W. Sanger                               | For      | For       | Management  |
| 1.12 | Elect Director James C. Smith                                  | For      | For       | Management  |
| 2    | Ratify KPMG LLP as Auditors                                    | For      | For       | Management  |
| 3    | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | For       | Management  |
| 4    | Advisory Vote on Say on Pay Frequency                          | One Year | One Year  | Management  |
| 5    | Adopt Holy Land Principles                                     | Against  | Against   | Shareholder |
| 6    | Amend Bylaws - Call Special Meetings                           | Against  | For       | Shareholder |
| 7    | Require Independent Board Chairman                             | Against  | Against   | Shareholder |

---

### SANOFI

Ticker: SAN                      Security ID: F5548N101  
 Meeting Date: MAY 10, 2017      Meeting Type: Annual/Special  
 Record Date: MAY 05, 2017

| # | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|---|--|---------|-----------|------------|
| 1 | Approve Financial Statements and Statutory Reports | For     | For       | Management |

## Edgar Filing: BOULDER GROWTH & INCOME FUND - Form N-PX

|    |  |     |     |            |
|----|--|-----|-----|------------|
| 2  | Approve Consolidated Financial Statements and Statutory Reports  | For | For | Management |
| 3  | Approve Allocation of Income and Dividends of EUR 2.96 per Share   | For | For | Management |
| 4  | Approve Auditors' Special Report on New Related-Party Transactions   | For | For | Management |
| 5  | Approve Remuneration of Directors in the Aggregate Amount of EUR 1.750 Million   | For | For | Management |
| 6  | Reelect Fabienne Lecorvaisier as Director  | For | For | Management |
| 7  | Elect Bernard Charles as Director  | For | For | Management |
| 8  | Elect Melanie Lee as Director  | For | For | Management |
| 9  | Approve Remuneration Policy for Chairman of the Board  | For | For | Management |
| 10 | Approve Remuneration Policy for CEO  | For | For | Management |
| 11 | Non-Binding Vote on Compensation of Serge Weinberg, Chairman of the Board  | For | For | Management |
| 12 | Non-Binding Vote on Compensation of Olivier Brandicourt, CEO   | For | For | Management |
| 13 | Renew Appointment of PricewaterhouseCoopers Audit as Auditor   | For | For | Management |
| 14 | Authorize Repurchase of Up to 10 Percent of Issued Share Capital   | For | For | Management |
| 15 | Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights up to Aggregate Nominal Amount of EUR 1.289 Billion                                    | For | For | Management |
| 16 | Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 240 Million                                   | For | For | Management |
| 17 | Approve Issuance of Equity or Equity-Linked Securities for Private Placements, up to Aggregate Nominal Amount of EUR 240 Million                                       | For | For | Management |
| 18 | Approve Issuance of Debt Securities Giving Access to New Shares of Subsidiaries and/or Existing Shares and/or Debt Securities, up to Aggregate Amount of EUR 7 Billion | For | For | Management |
| 19 | Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Above  | For | For | Management |
| 20 | Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind   | For | For | Management |
| 21 | Authorize Capitalization of Reserves of Up to EUR 500 Million for Bonus Issue or Increase in Par Value   | For | For | Management |
| 22 | Authorize Capital Issuances for Use in Employee Stock Purchase Plans   | For | For | Management |
| 23 | Authorize Decrease in Share Capital via Cancellation of Repurchased Shares   | For | For | Management |
| 24 | Amend Article 11 of Bylaws Re: Board of Directors  | For | For | Management |
| 25 | Authorize Filing of Required Documents/Other Formalities   | For | For | Management |

## Edgar Filing: BOULDER GROWTH & INCOME FUND - Form N-PX

SANOFI

Ticker: SNY Security ID: 80105N105  
 Meeting Date: MAY 10, 2017 Meeting Type: Annual/Special  
 Record Date: MAR 30, 2017

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|----|--|---------|-----------|------------|
| 1  | Approve Financial Statements and Statutory Reports   | For     | For       | Management |
| 2  | Approve Consolidated Financial Statements and Statutory Reports  | For     | For       | Management |
| 3  | Approve Allocation of Income and Dividends of EUR 2.96 per Share   | For     | For       | Management |
| 4  | Approve Auditors' Special Report on New Related-Party Transactions   | For     | For       | Management |
| 5  | Approve Remuneration of Directors in the Aggregate Amount of EUR 1.750 Million   | For     | For       | Management |
| 6  | Reelect Fabienne Lecorvaisier as Director  | For     | For       | Management |
| 7  | Elect Bernard Charles as Director  | For     | For       | Management |
| 8  | Elect Melanie Lee as Director  | For     | For       | Management |
| 9  | Approve Remuneration Policy for Chairman of the Board  | For     | For       | Management |
| 10 | Approve Remuneration Policy for CEO  | For     | For       | Management |
| 11 | Non-Binding Vote on Compensation of Serge Weinberg, Chairman of the Board  | For     | For       | Management |
| 12 | Non-Binding Vote on Compensation of Olivier Brandicourt, CEO   | For     | For       | Management |
| 13 | Renew Appointment of PricewaterhouseCoopers Audit as Auditor   | For     | For       | Management |
| 14 | Authorize Repurchase of Up to 10 Percent of Issued Share Capital   | For     | For       | Management |
| 1  | Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights up to Aggregate Nominal Amount of EUR 1.289 Billion                                    | For     | For       | Management |
| 2  | Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 240 Million                                   | For     | For       | Management |
| 3  | Approve Issuance of Equity or Equity-Linked Securities for Private Placements, up to Aggregate Nominal Amount of EUR 240 Million                                       | For     | For       | Management |
| 4  | Approve Issuance of Debt Securities Giving Access to New Shares of Subsidiaries and/or Existing Shares and/or Debt Securities, up to Aggregate Amount of EUR 7 Billion | For     | For       | Management |
| 5  | Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Above  | For     | For       | Management |
| 6  | Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind   | For     | For       | Management |
| 7  | Authorize Capitalization of Reserves of Up to EUR 500 Million for Bonus Issue or Increase in Par Value   | For     | For       | Management |
| 8  | Authorize Capital Issuances for Use in   | For     | For       | Management |

## Edgar Filing: BOULDER GROWTH & INCOME FUND - Form N-PX

|    |  |     |     |            |
|----|--|-----|-----|------------|
| 9  | Employee Stock Purchase Plans<br>Authorize Decrease in Share Capital<br>via Cancellation of Repurchased Shares | For | For | Management |
| 10 | Amend Article 11 of Bylaws Re: Board<br>of Directors   | For | For | Management |
| 11 | Authorize Filing of Required<br>Documents/Other Formalities  | For | For | Management |

---

### SOUTH STREET SECURITIES HOLDINGS, INC.

Ticker: Security ID: US1897589800  
 Meeting Date: MAY 17, 2017 Meeting Type: Annual  
 Record Date: APR 01, 2017

| #   | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director Karsten Berlage                   | For     | For       | Management |
| 1.2 | Elect Director Art Certosimo                     | For     | For       | Management |
| 2   | Ratify PricewaterhouseCoopers LLP as<br>Auditors | For     | For       | Management |
| 3   | Other Business                                   | For     | Abstain   | Management |

---

### THE GOLDMAN SACHS GROUP, INC.

Ticker: GS Security ID: 38141G104  
 Meeting Date: APR 28, 2017 Meeting Type: Annual  
 Record Date: FEB 27, 2017

| #  | Proposal  | Mgt Rec  | Vote Cast | Sponsor    |
|----|---|----------|-----------|------------|
| 1a | Elect Director Lloyd C. Blankfein                                 | For      | For       | Management |
| 1b | Elect Director M. Michele Burns                                   | For      | For       | Management |
| 1c | Elect Director Mark A. Flaherty                                   | For      | For       | Management |
| 1d | Elect Director William W. George                                  | For      | For       | Management |
| 1e | Elect Director James A. Johnson                                   | For      | For       | Management |
| 1f | Elect Director Ellen J. Kullman                                   | For      | For       | Management |
| 1g | Elect Director Lakshmi N. Mittal                                  | For      | For       | Management |
| 1h | Elect Director Adebayo O. Ogunlesi                                | For      | For       | Management |
| 1i | Elect Director Peter Oppenheimer                                  | For      | For       | Management |
| 1j | Elect Director David A. Viniar                                    | For      | For       | Management |
| 1k | Elect Director Mark O. Winkelman                                  | For      | For       | Management |
| 2  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | For      | For       | Management |
| 3  | Advisory Vote on Say on Pay Frequency                             | One Year | One Year  | Management |
| 4  | Ratify PricewaterhouseCoopers LLP as<br>Auditors                  | For      | For       | Management |

---

### VENTAS, INC.

Ticker: VTR Security ID: 92276F100  
 Meeting Date: MAY 18, 2017 Meeting Type: Annual  
 Record Date: MAR 22, 2017

| #  | Proposal                        | Mgt Rec | Vote Cast | Sponsor    |
|----|---------------------------------|---------|-----------|------------|
| 1a | Elect Director Melody C. Barnes | For     | For       | Management |

## Edgar Filing: BOULDER GROWTH & INCOME FUND - Form N-PX

|    |  |          |          |            |
|----|--|----------|----------|------------|
| 1b | Elect Director Debra A. Cafaro                                 | For      | For      | Management |
| 1c | Elect Director Jay M. Gellert                                  | For      | For      | Management |
| 1d | Elect Director Richard I. Gilchrist                            | For      | For      | Management |
| 1e | Elect Director Matthew J. Lustig                               | For      | For      | Management |
| 1f | Elect Director Roxanne M. Martino                              | For      | For      | Management |
| 1g | Elect Director Walter C. Rakowich                              | For      | For      | Management |
| 1h | Elect Director Robert D. Reed                                  | For      | For      | Management |
| 1i | Elect Director Glenn J. Rufrano                                | For      | For      | Management |
| 1j | Elect Director James D. Shelton                                | For      | For      | Management |
| 2  | Ratify KPMG LLP as Auditors                                    | For      | For      | Management |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | For      | Management |
| 4  | Advisory Vote on Say on Pay Frequency                          | One Year | One Year | Management |

---

### WAL-MART STORES, INC.

Ticker: WMT Security ID: 931142103  
 Meeting Date: JUN 02, 2017 Meeting Type: Annual  
 Record Date: APR 07, 2017

| #  | Proposal   | Mgt Rec  | Vote Cast | Sponsor     |
|----|--|----------|-----------|-------------|
| 1a | Elect Director James I. Cash, Jr.                                  | For      | For       | Management  |
| 1b | Elect Director Timothy P. Flynn                                    | For      | For       | Management  |
| 1c | Elect Director Carla A. Harris                                     | For      | For       | Management  |
| 1d | Elect Director Thomas W. Horton                                    | For      | For       | Management  |
| 1e | Elect Director Marissa A. Mayer                                    | For      | For       | Management  |
| 1f | Elect Director C. Douglas McMillon                                 | For      | For       | Management  |
| 1g | Elect Director Gregory B. Penner                                   | For      | For       | Management  |
| 1h | Elect Director Steven S Reinemund                                  | For      | For       | Management  |
| 1i | Elect Director Kevin Y. Systrom                                    | For      | For       | Management  |
| 1j | Elect Director S. Robson Walton                                    | For      | For       | Management  |
| 1k | Elect Director Steuart L. Walton                                   | For      | For       | Management  |
| 2  | Advisory Vote on Say on Pay Frequency                              | One Year | One Year  | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation     | For      | Against   | Management  |
| 4  | Ratify Ernst & Young LLP as Auditors                               | For      | For       | Management  |
| 5  | Require Independent Board Chairman                                 | Against  | For       | Shareholder |
| 6  | Provide Proxy Access Right   | Against  | For       | Shareholder |
| 7  | Require Independent Director Nominee with Environmental Experience | Against  | Against   | Shareholder |

---

### WELLS FARGO & COMPANY

Ticker: WFC Security ID: 949746101  
 Meeting Date: APR 25, 2017 Meeting Type: Annual  
 Record Date: MAR 01, 2017

| #  | Proposal                              | Mgt Rec | Vote Cast | Sponsor    |
|----|---------------------------------------|---------|-----------|------------|
| 1a | Elect Director John D. Baker, II      | For     | Against   | Management |
| 1b | Elect Director John S. Chen           | For     | Against   | Management |
| 1c | Elect Director Lloyd H. Dean          | For     | Against   | Management |
| 1d | Elect Director Elizabeth A. Duke      | For     | Against   | Management |
| 1e | Elect Director Enrique Hernandez, Jr. | For     | Against   | Management |
| 1f | Elect Director Donald M. James        | For     | Against   | Management |
| 1g | Elect Director Cynthia H. Milligan    | For     | Against   | Management |
| 1h | Elect Director Karen B. Peetz         | For     | For       | Management |

## Edgar Filing: BOULDER GROWTH & INCOME FUND - Form N-PX

|    |  |          |          |             |
|----|--|----------|----------|-------------|
| 1i | Elect Director Federico F. Pena                                | For      | Against  | Management  |
| 1j | Elect Director James H. Quigley                                | For      | Against  | Management  |
| 1k | Elect Director Stephen W. Sanger                               | For      | Against  | Management  |
| 1l | Elect Director Ronald L. Sargent                               | For      | For      | Management  |
| 1m | Elect Director Timothy J. Sloan                                | For      | For      | Management  |
| 1n | Elect Director Susan G. Swenson                                | For      | Against  | Management  |
| 1o | Elect Director Suzanne M. Vautrinot                            | For      | Against  | Management  |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | For      | Management  |
| 3  | Advisory Vote on Say on Pay Frequency                          | One Year | One Year | Management  |
| 4  | Ratify KPMG LLP as Auditors                                    | For      | For      | Management  |
| 5  | Review and Report on Business Standards                        | Against  | For      | Shareholder |
| 6  | Provide for Cumulative Voting                                  | Against  | Against  | Shareholder |
| 7  | Report on Divesting Non-Core Business                          | Against  | Against  | Shareholder |
| 8  | Report on Gender Pay Gap                                       | Against  | Against  | Shareholder |
| 9  | Report on Lobbying Payments and Policy                         | Against  | Against  | Shareholder |
| 10 | Adopt Global Policy Regarding the Rights of Indigenous People  | Against  | For      | Shareholder |

---

### YUM CHINA HOLDINGS, INC.

Ticker: YUMC Security ID: 98850P109  
 Meeting Date: APR 28, 2017 Meeting Type: Annual  
 Record Date: FEB 28, 2017

| #  | Proposal   | Mgt Rec  | Vote Cast | Sponsor    |
|----|--|----------|-----------|------------|
| 1a | Elect Director Peter A. Bassi                                  | For      | For       | Management |
| 1b | Elect Director Ed Yiu-Cheong Chan                              | For      | For       | Management |
| 1c | Elect Director Edouard Ettedgui                                | For      | For       | Management |
| 2  | Ratify KPMG Huazhen LLP as Auditors                            | For      | For       | Management |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | For       | Management |
| 4  | Advisory Vote on Say on Pay Frequency                          | One Year | One Year  | Management |

---

### YUM! BRANDS, INC.

Ticker: YUM Security ID: 988498101  
 Meeting Date: MAY 19, 2017 Meeting Type: Annual  
 Record Date: MAR 21, 2017

| #  | Proposal   | Mgt Rec  | Vote Cast | Sponsor     |
|----|--|----------|-----------|-------------|
| 1a | Elect Director Paget L. Alves                                  | For      | For       | Management  |
| 1b | Elect Director Michael J. Cavanagh                             | For      | For       | Management  |
| 1c | Elect Director Christopher M. Connor                           | For      | For       | Management  |
| 1d | Elect Director Brian C. Cornell                                | For      | For       | Management  |
| 1e | Elect Director Greg Creed                                      | For      | For       | Management  |
| 1f | Elect Director Mirian M. Graddick-Weir                         | For      | For       | Management  |
| 1g | Elect Director Thomas C. Nelson                                | For      | For       | Management  |
| 1h | Elect Director P. Justin Skala                                 | For      | For       | Management  |
| 1i | Elect Director Elane B. Stock                                  | For      | For       | Management  |
| 1j | Elect Director Robert D. Walter                                | For      | For       | Management  |
| 2  | Ratify KPMG LLP as Auditors                                    | For      | For       | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | For       | Management  |
| 4  | Advisory Vote on Say on Pay Frequency                          | One Year | One Year  | Management  |
| 5  | Adopt Policy and Plan to Eliminate                             | Against  | For       | Shareholder |



Edgar Filing: BOULDER GROWTH & INCOME FUND - Form N-PX

Deforestation in Supply Chain

SIGNATURE

Pursuant to the requirements of the Investment Company Act of 1940, the Registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) Boulder Growth & Income Fund, Inc.

By:            /s/ Stephen C. Miller  
                 -----  
                 Stephen C. Miller  
                 President (Principal Executive Officer)

Date:           August 31, 2017