COOL TECHNOLOGIES, INC.

Form 4 July 18, 2016

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**OMB APPROVAL** 

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January 31,

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may continue.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Bibb Judson William III Issuer Symbol COOL TECHNOLOGIES, INC. (Check all applicable) [WARM] (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) C/O COOL TECHNOLOGIES. 07/14/2016 Vice President INC., 8875 HIDDEN RIVER PARKWAY, SUITE 300 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

TAMPA, FL 33637

Stock

Stock

Stock

Common

Common

07/14/2016

07/14/2016

07/14/2016

07/14/2016

07/14/2016

07/14/2016

07/14/2016

07/14/2016

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 7. Nature of 4. Securities Acquired 5. Amount of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) (Instr. 8) Owned (D) or Ownership Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) (Instr. 3 and 4) (D) Price Code V Amount Common 07/14/2016 07/14/2016 P 900 \$ 0.06 Α 1,059,100 D Stock Common

600

1,200

1,800

600

A

Α

0.0585

0.0545

1,059,700

1,060,900

1,062,700

1,063,300

D

D

D

D

P

P

P

P

4

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Common Stock						\$ 0.0515		
Common Stock	07/14/2016	07/14/2016	P	300	A	\$ 0.0519	1,063,600	D
Common Stock	07/14/2016	07/14/2016	P	300	A	\$ 0.055	1,063,900	D
Common Stock	07/14/2016	07/14/2016	P	300	A	\$ 0.0615	1,064,200	D
Common Stock	07/14/2016	07/14/2016	P	300	A	\$ 0.0605	1,064,500	D
Common Stock	07/14/2016	07/14/2016	P	300	A	\$ 0.061	1,064,800	D
Common Stock	07/14/2016	07/14/2016	P	300	A	\$ 0.0588	1,065,100	D
Common Stock	07/14/2016	07/14/2016	P	300	A	\$ 0.0587	1,065,400	D
Common Stock	07/14/2016	07/14/2016	P	300	A	\$ 0.054	1,065,700	D
Common Stock	07/14/2016	07/14/2016	P	300	A	\$ 0.0586	1,066,000	D
Common Stock	07/14/2016	07/14/2016	P	300	A	\$ 0.0641	1,066,300	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3	ate	7. Titl Amou Under Secur (Instr.	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9 11 13 14 14 17 ()
			Code V		Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

9. Nu

Deriv

Secu

Bene Own Follo Repo Trans (Instr

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Bibb Judson William III C/O COOL TECHNOLOGIES, INC.			Vice			
8875 HIDDEN RIVER PARKWAY, SUITE 300	X		President			
TAMPA, FL 33637						

#### **Signatures**

Judson William
Bibb III
07/18/2016

\*\*Signature of Reporting
Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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