COOL TECHNOLOGIES, INC.

Form 4 July 25, 2016

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

5 D 1 (\* 1 \* CD - (\* D - ( ) (

January 31, 2005

0.5

Estimated average

burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1 Name and Address of Departing D

1. Name and Address of Reporting Person * Bibb Judson William III			2. Issuer Name and Ticker or Trading Symbol COOL TECHNOLOGIES, INC. [WARM]				5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First) (N		3. Date of Earliest Transaction (Month/Day/Year)					X Officer (give title Other (specify		
C/O COOL TECHNOLOGIES,			07/22/2016				below) below)  Vice President			
	HIDDEN RIVER									
PARKWAY	Y, SUITE 300									
(Street)			4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
		Filed	· · · · · · · · · · · · · · · · · · ·				Applicable Line) _X_ Form filed by One Reporting Person			
TAMPA, FL 33637			_				Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table I - Non-l	Derivative	Secu	rities Acqu	iired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date any (Month/Day/Ye	Code	Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)		d of (D)	5. Amount of 6.  Securities Ownership Beneficially Form: Directory Owned (D) or Following Indirect (I) Reported (Instr. 4)		7. Nature of Indirect t Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(11311 1)		
Common Stock	07/22/2016	07/22/2016	P	400	A	\$ 0.065	1,082,500	D		
Common Stock	07/22/2016	07/22/2016	P	200	A	\$ 0.0675	1,082,700	D		
Common Stock	07/22/2016	07/22/2016	P	200	A	\$ 0.068	1,082,900	D		
Common Stock	07/22/2016	07/22/2016	P	200	A	\$ 0.069	1,083,100	D		

#### Edgar Filing: COOL TECHNOLOGIES, INC. - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

**SEC 1474** 

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Titl	e and	8. Price of	9
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration Da	ate	Amou	nt of	Derivative	J
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	,
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	]
	Derivative				Securities			(Instr.	3 and 4)		(
	Security				Acquired						J
					(A) or						]
					Disposed						-
					of (D)						(
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration	m	or		
						Exercisable Date	Title Number				
				~	<del></del>				of		
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
Bibb Judson William III						
C/O COOL TECHNOLOGIES, INC.	X		Vice			
8875 HIDDEN RIVER PARKWAY, SUITE 300	Λ		President			
TAMPA, FL 33637						

# **Signatures**

Judson William
Bibb III
07/25/2016

\*\*Signature of Reporting
Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).