

NetApp, Inc.  
Form 4  
June 05, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Noviello Nicholas R

(Last) (First) (Middle)  
495 EAST JAVA DRIVE  
(Street)

SUNNYVALE, CA 94089

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
NetApp, Inc. [NTAP]

3. Date of Earliest Transaction  
(Month/Day/Year)  
06/01/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Chief Financial Officer

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price   |
| Common Stock                    | 06/01/2013                           |  | M                              |   | 1,875   | A  | \$ 0  |
| Common Stock                    | 06/01/2013                           |  | M                              |   | 3,750   | A  | \$ 0  |
| Common Stock                    | 06/01/2013                           |  | M                              |   | 6,675   | A  | \$ 0  |
| Common Stock                    | 06/01/2013                           |  | M                              |   | 1,575   | A  | \$ 0  |
| Common Stock                    | 06/01/2013                           |  | F                              |   | 704   | D  | \$ 37.53  |
|                                 |                                      |  |                                |   | 30,136  |  |   |

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|              |            |                         |       |   |                             |                       |   |
|--------------|------------|-------------------------|-------|---|-----------------------------|-----------------------|---|
| Common Stock | 06/01/2013 | F                       | 1,409 | D | \$ 37.53                    | 28,727                | D |
| Common Stock | 06/01/2013 | F                       | 2,508 | D | \$ 37.53                    | 26,219                | D |
| Common Stock | 06/01/2013 | F                       | 591   | D | \$ 37.53                    | 25,628                | D |
| Common Stock | 06/04/2013 | <u>S</u> <sup>(1)</sup> | 4,496 | D | \$<br>37.5708<br><u>(2)</u> | 21,132 <sup>(3)</sup> | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Underlying Security (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable Expiration Date                         | Title   |
| Non-Qualified Stock Option (right to buy)  | \$ 37.64   | 06/03/2013                           |  | A                              | 100,000   | <u>(4)</u> 06/02/2020                                    | Common Stock                                      |
| Restricted Stock Unit                      | \$ 0   | 06/01/2013                           |  | M                              | 1,875   | <u>(5)</u> <u>(5)</u>                                    | Common Stock                                      |
| Restricted Stock Unit                      | \$ 0   | 06/01/2013                           |  | M                              | 3,750   | <u>(5)</u> <u>(5)</u>                                    | Common Stock                                      |
| Restricted Stock Unit                      | \$ 0   | 06/01/2013                           |  | M                              | 6,675   | <u>(5)</u> <u>(5)</u>                                    | Common Stock                                      |
| Restricted Stock Unit                      | \$ 0   | 06/01/2013                           |  | M                              | 1,575   | <u>(5)</u> <u>(5)</u>                                    | Common Stock                                      |
| Restricted Stock Unit                      | \$ 0   | 06/03/2013                           |  | A                              | 33,300  | <u>(5)</u> <u>(5)</u>                                    | Common Stock                                      |

## Reporting Owners

Reporting Owner Name / Address

Relationships

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Director      10% Owner      Officer      Other

Noviello Nicholas R  
495 EAST JAVA DRIVE  
SUNNYVALE, CA 94089

Chief  
Financial  
Officer

## Signatures

By: Haleh Carrillo, Attorney-in-Fact For: Nicholas  
Noviello

06/05/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transaction(s) reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- (2) Sale prices for the reported transaction were in a range of \$37.44 to \$37.63.
- (3) Non-Derivative Securities Beneficially Owned includes shares acquired under NetApp Employee Stock Purchase Plan on May 31, 2013.
- (4) Option vests in a series of equal monthly installments over 48 months of service beginning with the one-month anniversary of the grant date.
- (5) The restricted stock unit shares vest as to 25% of the shares on the one-year anniversary of the grant date, and 25% of the shares on each annual anniversary thereafter for the next 3 years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.