Edgar Filing: AWARE INC /MA/ - Form 4

AWARE INC	C /MA/									
Form 4 March 20, 20	16									
March 29, 2016 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							-	PPROVAL 3235-0287		
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940						Expires: Estimated a burden hou response	irs per			
(Print or Type R	esponses)									
			2. Issuer Name and Ticker or Trading Symbol AWARE INC /MA/ [AWRE]			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) C/O AWAR TURNPIKE	^(First) E INC, 40 MI	(Middle)	(Month/Da		saction			X Director Officer (give below)	10%	6 Owner er (specify
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
BEDFORD,	MA 01730								More than One Re	
(City)	(State)	(Zip)	Table	I - Non-Der	ivative S	ecurit	ies Aco	quired, Disposed o	f, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction (Month/Day/Ye	ear) Executio any		3. Transaction Code	4. Securiti Acquired Disposed (Instr. 3, 4	ies (A) or of (D) and f (A) or	r)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock								50,832	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Unrestricted Stock Award	\$ 0	03/28/2016		А	10,000	(1)	<u>(1)</u>	Common Stock	10,00
Stock Option (right to buy)	\$ 3.09					(2)	01/18/2017	Common Stock	4,168

Reporting Owners

Reporting Owner Name / Address	Relationships s							
I State and the second	Director	10% Owner	Officer	Other				
Connolly Brian D C/O AWARE INC 40 MIDDLESEX TURNPIKE BEDFORD, MA 01730	х							
Signatures								
/s/ Brian D. 03 Connolly	03/29/2016							
<u>**</u> Signature of Reporting Person	Date							
Explanation of De	onon	0001						

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Shares of unrestricted stock awarded to reporting person under Aware, Inc. 2001 Nonqualified Stock Plan. The shares will be issued as
(1) follows; 5,000 shares on June 30, 2016, and 5,000 shares on December 31, 2016 provided the reporting person is serving as a director, officer or employee of the Company or any subsidiary of the Company on said dates.

(2) Vests in 12 substantially equal quarterly installments on the last day of each quarter from March 31, 2012 to December 31, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.