CNA FINANCIAL CORP

Form 4

January 05, 2017

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per

response... 0.5

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1 N *									5 D-1-4:				
MOTAMED THOMAS E				ner Name <b>and</b> Ticker or Trading					5. Relationship of Reporting Person(s) to Issuer				
			•	Symbol CNA FINANCIAL CORP [CNA]									
(Last)	(First)	(Middle)		of Earliest Transaction					(Check all applicable)				
						ansaction			Director	10%	Owner		
			•	(Month/Day/Year) 01/03/2017					X_ Officer (give title Other (specify				
									below) Former (	below) Chairman and C	EO.		
(Street) 4. If A				mandmant Data Original					6. Individual or Joint/Group Filing(Check				
, , ,				Amendment, Date Original d(Month/Day/Year)					Applicable Line)				
CHICAGO, IL 60604						,			_X_ Form filed by 0	1 0			
CHICAGO, IL 60604									Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	T-1-1	. T N.	T	· · · · · · · · · · · · · · · · · · ·	g			e De	L 01		
(- 3)	(,	( 1)	Tabi		n-L			-	uired, Disposed of	i, or Beneficial	ly Owned		
1.Title of 2. Transaction Date 2A. Deeme Security (Month/Day/Year) Execution (Instr. 3) any									5. Amount of Securities	6. Ownership	7. Nature of Indirect		
			ni Date, ii	Code (Instr. 3, 4 and 5)					Beneficially	Beneficial			
		(Month/Day/Year) (Instr. 8)				Owned	Ownership						
						Following Reported	Indirect (I) (Instr. 4)	(Instr. 4)					
				(A)				Transaction(s)					
				Code	V	Amount	or (D)	Price	(Instr. 3 and 4)				
Common	01/03/2017			F(1)		14,277	D	\$	610,541	D			
Stock	01/03/2017			1		14,277	ט	41.62	010,541	D			
Common	01/02/2017			<b>E</b> (2)		26.204	Ъ	\$	594 227	Ъ			
Stock	01/03/2017			$F^{(2)}$		26,304	D	41.62	584,237	D			
Common	01/03/2017			<b>F</b> (3)		40.842	D	\$	5/13/305	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $F^{(3)}$ 

40,842 D

01/03/2017

Stock

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543,395

D

### Edgar Filing: CNA FINANCIAL CORP - Form 4

#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration D	ate	Amour	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	ying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
									Amount		
						Date	Expiration Date		or Name la sur		
						Exercisable			Number		
				C 1 W	(A) (D)			of			
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MOTAMED THOMAS F 333 S. WABASH AVE. CHICAGO, IL 60604

Former Chairman and CEO

## **Signatures**

Stathy Darcy by Power of Attorney for Thomas F. Motamed

01/05/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Company's Incentive Compensation Plan (as amended as of January 1, 2010) permits the withholding of shares of common stock to satisfy tax withholding obligations of the participants upon the lapse of restrictions on those shares. As previously reported, these shares were granted to Mr. Motamed on February 28, 2013.
- The Company's Incentive Compensation Plan (as amended as of January 1, 2010) permits the withholding of shares of common stock to satisfy tax withholding obligations of the participants upon the lapse of restrictions on those shares. As previously reported, these shares were granted to Mr. Motamed on February 24, 2014.
- The Company's Incentive Compensation Plan (as amended as of January 1, 2010) permits the withholding of shares of common stock to (3) satisfy tax withholding obligations of the participants upon the lapse of restrictions on those shares. As previously reported, these shares were granted to Mr. Motamed on March 18, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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