

RICHARDSON DUNCAN W  
Form 5  
December 10, 2008

# FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0362  
Expires: January 31, 2005  
Estimated average burden hours per response... 1.0

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Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
RICHARDSON DUNCAN W

(Last) (First) (Middle)

THE EATON VANCE BUILDING, 255 STATE STREET

(Street)

BOSTON, MA 021092617

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
EATON VANCE CORP [EV]

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
10/31/2008

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Executive Vice President

6. Individual or Joint/Group Reporting

(check applicable line)

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)         | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | (A) or (D) | Price | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|--------------------------------------|--|--------------------------------|---|------------|-------|--|--|---|
| Eaton Vance Corp. Common Stock (Voting) | ^                                    | ^  | ^                              | ^   | ^          | ^     | 44,566   | I  | By Voting Trust <sup>(1)</sup>                        |
| Eaton Vance Corp. Non-voting Common     | ^                                    | ^  | ^                              | ^   | ^          | ^     | 486,059  | D  | ^   |



|  |          |   |   |   |   |   |   |                   |  |  |         |
|--|----------|---|---|---|---|---|---|-------------------|--|--|---------|
| Option<br>(right to<br>buy) <sup>(3)</sup> |          |   |   |   |   |   |   |                   | Eaton<br>Vance<br>Corp.<br>Non-voting<br>Common<br>Stock |  |         |
| Option<br>(right to<br>buy)                | \$ 21.96 | ^ | ^ | ^ | ^ | ^ | ^ | ^ <sup>(9)</sup>  | 11/01/2014   | Eaton<br>Vance<br>Corp.<br>Non-voting<br>Common<br>Stock | 259,600 |
| Option<br>(right to<br>buy)                | \$ 24.87 | ^ | ^ | ^ | ^ | ^ | ^ | ^ <sup>(10)</sup> | 11/01/2015   | Eaton<br>Vance<br>Corp.<br>Non-voting<br>Common<br>Stock | 210,500 |
| Option<br>(right to<br>buy)                | \$ 30.11 | ^ | ^ | ^ | ^ | ^ | ^ | ^ <sup>(11)</sup> | 11/01/2016   | Eaton<br>Vance<br>Corp.<br>Non-voting<br>Common<br>Stock | 200,800 |
| Option<br>(right to<br>buy)                | \$ 48.39 | ^ | ^ | ^ | ^ | ^ | ^ | ^ <sup>(12)</sup> | 11/01/2017   | Eaton<br>Vance<br>Corp.<br>Non-voting<br>Common<br>Stock | 139,700 |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                            |       |
|---|---------------|-----------|----------------------------|-------|
|   | Director      | 10% Owner | Officer                    | Other |
| RICHARDSON DUNCAN W<br>THE EATON VANCE BUILDING<br>255 STATE STREET<br>BOSTON, MA 021092617 | ^             | ^         | ^ Executive Vice President | ^     |

## Signatures

By: Katie McManus, Attorney  
in Fact

12/10/2008

<sup>\*\*</sup>Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

All shares of the Voting Stock of Eaton Vance Corp. are deposited and held of record in a Voting Trust of which Thomas E. Faust, Duncan Richardson, Jeffrey P. Beale, Lisa Jones, G. West Saltonstall, Payson Swaffield, Robert J. Whelan, Matthew J. Witkos, Maureen

(1) Gemma, Fredrick Marius, Cynthia Clemson, Michael R. Mach, Robert MacIntosh, Thomas M. Metzold, Scott H. Page, Judith Saryan, Michael Weilheimer, Walter Row are the Voting Trustees. The Voting Common Stock is not registered under Section 12 of the Securities Exchange Act.

(2) A Voting Trust Receipt represents a share of Voting Common Stock.

(3) Granted under the 1998 Stock Option Plan, which is a 16b-3 plan.

(4) Granted on November 1, 1999, these options vest over a 5 year period at 20% per year.

(5) Granted on November 1, 2000, these options vest over a 5 year period at 20% per year.

(6) Granted on November 1, 2001, these options vest over a 5 year period at 20% per year.

(7) Granted on November 1, 2002, these options vest over a 5 year period at 20% per year.

(8) Granted on November 3, 2003, these options vest over a 5 year period at 20% per year.

(9) Granted on November 1, 2004 these options vest over a 5 year period at 20% per year.

(10) Granted on November 1, 2005 these options vest over a 5 year period in increments of 10%, 15%, 20%, 25%, and 30%.

(11) Granted on November 1, 2006 these options vest over a 5 year period in increments of 10%, 15%, 20%, 25%, and 30%.

(12) Granted on November 1, 2007 these options vest over a 5 year period in increments of 10%, 15%, 20%, 25%, and 30%.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.