

SEI INVESTMENTS CO  
Form 4  
May 10, 2017

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
ROMEO CARMEN

2. Issuer Name and Ticker or Trading Symbol  
SEI INVESTMENTS CO [SEIC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
05/05/2017

Director  10% Owner  
 Officer (give title below)  Other (specify below)

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)  | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|----------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                  |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price   |
| STOCK OPTION (RIGHT TO PURCHASE) | 05/05/2017                           |  | M                              |   | 5,000   | A  | \$ 23.86  |
|                                  |                                      |  |                                |   |   |  | 1,600,952   |
|                                  |                                      |  |                                |   |   |  | D   |
| STOCK OPTION (RIGHT TO PURCHASE) | 05/05/2017                           |  | M                              |   | 5,000   | A  | \$ 15.77  |
|                                  |                                      |  |                                |   |   |  | 1,605,952   |
|                                  |                                      |  |                                |   |   |  | D   |
| STOCK OPTION (RIGHT TO PURCHASE) | 05/05/2017                           |  | M                              |   | 5,000   | A  | \$ 22.45  |
|                                  |                                      |  |                                |   |   |  | 1,610,952   |
|                                  |                                      |  |                                |   |   |  | D   |

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|              |            |   |       |   |         |           |   |
|--------------|------------|---|-------|---|---------|-----------|---|
| COMMON STOCK | 05/05/2017 | S | 5,000 | D | \$ 51.2 | 1,605,952 | D |
| COMMON STOCK | 05/05/2017 | S | 5,000 | D | \$ 51.3 | 1,600,952 | D |
| COMMON STOCK | 05/05/2017 | S | 5,000 | D | \$ 51.4 | 1,595,952 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount Underlying Security (Instr. 3 and 4) |                  |                 |                                  |
|--|--|--------------------------------------|--|--------------------------------|---|--|--|------------------|-----------------|----------------------------------|
|  |  |                                      |  | Code                           | V   | (A)  | (D)  | Date Exercisable | Expiration Date | Title                            |
| STOCK OPTION (RIGHT TO PURCHASE)           | \$ 23.86   | 05/05/2017                           |  | M                              | 5,000   | 02/28/2014   | 12/14/2017   |                  |                 | STOCK OPTION RIGHT TO PURCHASE)  |
| STOCK OPTION (RIGHT TO PURCHASE)           | \$ 15.77   | 05/05/2017                           |  | M                              | 5,000   | 02/28/2014   | 12/13/2018   |                  |                 | STOCK OPTION (RIGHT TO PURCHASE) |
| STOCK OPTION (RIGHT TO PURCHASE)           | \$ 22.45   | 05/05/2017                           |  | M                              | 5,000   | 02/28/2014   | 12/11/2019   |                  |                 | STOCK OPTION (RIGHT TO PURCHASE) |

## Reporting Owners

| Reporting Owner Name / Address | Relationships |           |         |       |
|--------------------------------|---------------|-----------|---------|-------|
|                                | Director      | 10% Owner | Officer | Other |
|                                | X             |           |         |       |

ROMEO CARMEN

## Signatures

RUTH MONTGOMERY (ATTORNEY IN  
FACT)

05/09/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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