

Edgar Filing: FIRST FINANCIAL FUND INC - Form NSAR-A

FIRST FINANCIAL FUND INC

Form NSAR-A

November 27, 2001

PAGE 1
000 A000000 09/30/2001
000 C000000 0000790202
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 FIRST FINANCIAL FUND, INC.
001 B000000 811-4605
001 C000000 9733671495
002 A000000 100 MULBERRY STREET, 4TH FLOOR
002 B000000 NEWARK
002 C000000 NJ
002 D010000 07102
002 D020000 4077
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 WELLINGTON MANAGEMENT COMPANY, LLP
008 B000001 A
008 C000001 801-15908
008 D010001 BOSTON
008 D020001 MA
008 D030001 02109
010 A000001 PRUDENTIAL INVESTMENTS FUND MANAGEMENT LLC
010 B000001 811-31104
010 C010001 NEWARK
010 C020001 NJ
010 C030001 07102
010 C040001 4077
012 A000001 STATE STREET BANK AND TRUST COMPANY
012 B000001 84-111111
012 C010001 NORTH QUINCY
012 C020001 MA
012 C030001 02171
013 A000001 PRICEWATERHOUSE COOPERS LLP
PAGE 2
013 B010001 NEW YORK
013 B020001 NY
013 B030001 10036
015 A000001 STATE STREET BANK AND TRUST COMPANY
015 B000001 C
015 C010001 NORTH QUINCY

Edgar Filing: FIRST FINANCIAL FUND INC - Form NSAR-A

015 C020001 MA
015 C030001 02171
015 E010001 X
018 000000 Y
019 A000000 N
019 B000000 0
020 A000001 KEEFE BRUYETTE & WOODS INC.
020 B000001 13-1964616
020 C000001 87
020 A000002 FRIEDMAN BILLINGS RAMSEY & CO.
020 B000002 52-1630477
020 C000002 68
020 A000003 LEHMAN BROTHERS INC.
020 B000003 13-2518466
020 C000003 44
020 A000004 JONES & ASSOCIATES INC.
020 B000004 95-3583143
020 C000004 30
020 A000005 ROBERTSON STEPHENS
020 B000005 94-2524501
020 C000005 26
020 A000006 CREDIT SUISSE FIRST BOSTON CORP.
020 B000006 13-5659485
020 C000006 26
020 A000007 SANDLER O'NEIL & PARTNERS, L.P.
020 B000007 13-3481277
020 C000007 23
020 A000008 MORGAN STANLEY
020 B000008 13-2655998
020 C000008 16
020 A000009 SALOMON SMITH BARNEY INC.
020 B000009 11-2411811
020 C000009 15
020 A000010 WHEAT, FIRST SECURITIES, INC.
020 C000010 15
021 000000 418
022 A000001 SANDLER O'NEIL & PARTNERS, L.P.
022 B000001 13-3481277
022 C000001 12167
022 D000001 12870
022 A000002 MERRILL LYNCH, PIERCE, FENNER & SMITH, INC.
022 B000002 13-5674085
022 C000002 8509
022 D000002 11032
022 A000003 UBS PAINWEBBER, INC.
PAGE 3
022 B000003 13-3873456
022 C000003 11205
022 D000003 759
022 A000004 FRIEDMAN BILLINGS RAMSEY & CO.
022 B000004 52-1630477
022 C000004 9876
022 D000004 516
022 A000005 RYAN, BECK & CO.
022 B000005 22-1773796
022 C000005 3125
022 D000005 3413
022 A000006 MORGAN STANLEY
022 B000006 13-2655998
022 C000006 0
022 D000006 6145
022 A000007 LEHMAN BROTHERS INC.

Edgar Filing: FIRST FINANCIAL FUND INC - Form NSAR-A

022 B000007 13-2518466
 022 C000007 5479
 022 D000007 0
 022 A000008 MONTGOMERY SECURITIES
 022 B000008 94-1701676
 022 C000008 5400
 022 D000008 0
 022 A000009 KEEFE BRUYETTE & WOODS, INC.
 022 B000009 13-1964616
 022 C000009 2551
 022 D000009 2678
 022 A000010 DAIN BOSWORTH INC.
 022 C000010 5015
 022 D000010 0
 023 C000000 75761
 023 D000000 43670
 024 000000 Y
 025 A000001 PARIBAS CORP.
 025 B000001 13-3235334
 025 C000001 D
 025 D000001 50125
 025 D000002 0
 025 D000003 0
 025 D000004 0
 025 D000005 0
 025 D000006 0
 025 D000007 0
 025 D000008 0
 026 A000000 N
 026 B000000 Y
 026 C000000 N
 026 D000000 Y
 026 E000000 N
 026 F000000 N
 026 G010000 N
 PAGE 4
 026 G020000 N
 026 H000000 N
 027 000000 N
 028 A010000 0
 028 A020000 0
 028 A030000 0
 028 A040000 0
 028 B010000 0
 028 B020000 0
 028 B030000 0
 028 B040000 0
 028 C010000 0
 028 C020000 0
 028 C030000 0
 028 C040000 0
 028 D010000 0
 028 D020000 0
 028 D030000 0
 028 D040000 0
 028 E010000 0
 028 E020000 0
 028 E030000 0
 028 E040000 0
 028 F010000 0
 028 F020000 0
 028 F030000 0

Edgar Filing: FIRST FINANCIAL FUND INC - Form NSAR-A

| | | |
|-----|---------|-------|
| 028 | F040000 | 0 |
| 028 | G010000 | 0 |
| 028 | G020000 | 0 |
| 028 | G030000 | 0 |
| 028 | G040000 | 0 |
| 028 | H000000 | 0 |
| 030 | A000000 | 0 |
| 030 | B000000 | 0.00 |
| 030 | C000000 | 0.00 |
| 031 | A000000 | 0 |
| 031 | B000000 | 0 |
| 032 | 000000 | 0 |
| 033 | 000000 | 0 |
| 035 | 000000 | 0 |
| 036 | B000000 | 0 |
| 038 | 000000 | 0 |
| 042 | A000000 | 0 |
| 042 | B000000 | 0 |
| 042 | C000000 | 0 |
| 042 | D000000 | 0 |
| 042 | E000000 | 0 |
| 042 | F000000 | 0 |
| 042 | G000000 | 0 |
| 042 | H000000 | 0 |
| 043 | 000000 | 0 |
| | PAGE 5 | |
| 044 | 000000 | 0 |
| 045 | 000000 | Y |
| 046 | 000000 | N |
| 047 | 000000 | Y |
| 048 | 000000 | 0.000 |
| 048 | A010000 | 50000 |
| 048 | A020000 | 0.750 |
| 048 | B010000 | 0 |
| 048 | B020000 | 0.000 |
| 048 | C010000 | 0 |
| 048 | C020000 | 0.000 |
| 048 | D010000 | 0 |
| 048 | D020000 | 0.000 |
| 048 | E010000 | 0 |
| 048 | E020000 | 0.000 |
| 048 | F010000 | 0 |
| 048 | F020000 | 0.000 |
| 048 | G010000 | 0 |
| 048 | G020000 | 0.000 |
| 048 | H010000 | 0 |
| 048 | H020000 | 0.000 |
| 048 | I010000 | 0 |
| 048 | I020000 | 0.000 |
| 048 | J010000 | 0 |
| 048 | J020000 | 0.000 |
| 048 | K010000 | 50000 |
| 048 | K020000 | 0.625 |
| 049 | 000000 | N |
| 050 | 000000 | N |
| 051 | 000000 | N |
| 052 | 000000 | N |
| 053 | A000000 | N |
| 054 | A000000 | Y |
| 054 | B000000 | Y |
| 054 | C000000 | N |
| 054 | D000000 | N |

Edgar Filing: FIRST FINANCIAL FUND INC - Form NSAR-A

054 E000000 N
054 F000000 N
054 G000000 Y
054 H000000 Y
054 I000000 N
054 J000000 N
054 K000000 N
054 L000000 N
054 M000000 Y
054 N000000 N
054 O000000 N
055 A000000 N
055 B000000 N
056 000000 Y
057 000000 N
PAGE 6
058 A000000 N
059 000000 Y
060 A000000 Y
060 B000000 Y
061 000000 0
062 A000000 N
062 B000000 0.0
062 C000000 0.0
062 D000000 0.0
062 E000000 0.0
062 F000000 0.0
062 G000000 0.0
062 H000000 0.0
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 0.0
062 P000000 0.0
062 Q000000 0.0
062 R000000 0.0
063 A000000 0
063 B000000 0.0
066 A000000 Y
066 B000000 N
066 C000000 Y
066 D000000 N
066 E000000 N
066 F000000 N
066 G000000 N
067 000000 N
068 A000000 N
068 B000000 N
069 000000 N
070 A010000 Y
070 A020000 Y
070 B010000 N
070 B020000 N
070 C010000 N
070 C020000 N
070 D010000 Y
070 D020000 N
070 E010000 N
070 E020000 N

Edgar Filing: FIRST FINANCIAL FUND INC - Form NSAR-A

| | | | |
|-------|---------|---|--------|
| 070 | F010000 | N | |
| 070 | F020000 | N | |
| 070 | G010000 | N | |
| 070 | G020000 | N | |
| 070 | H010000 | N | |
| | PAGE | 7 | |
| 070 | H020000 | N | |
| 070 | I010000 | N | |
| 070 | I020000 | N | |
| 070 | J010000 | Y | |
| 070 | J020000 | N | |
| 070 | K010000 | N | |
| 070 | K020000 | N | |
| 070 | L010000 | N | |
| 070 | L020000 | N | |
| 070 | M010000 | N | |
| 070 | M020000 | N | |
| 070 | N010000 | N | |
| 070 | N020000 | N | |
| 070 | O010000 | Y | |
| 070 | O020000 | N | |
| 070 | P010000 | Y | |
| 070 | P020000 | Y | |
| 070 | Q010000 | N | |
| 070 | Q020000 | N | |
| 070 | R010000 | N | |
| 070 | R020000 | N | |
| 071 | A000000 | | 173584 |
| 071 | B000000 | | 165900 |
| 071 | C000000 | | 283469 |
| 071 | D000000 | | 59 |
| 072 | A000000 | | 6 |
| 072 | B000000 | | 1355 |
| 072 | C000000 | | 2655 |
| 072 | D000000 | | 0 |
| 072 | E000000 | | 0 |
| 072 | F000000 | | 1096 |
| 072 | G000000 | | 256 |
| 072 | H000000 | | 0 |
| 072 | I000000 | | 17 |
| 072 | J000000 | | 38 |
| 072 | K000000 | | 0 |
| 072 | L000000 | | 65 |
| 072 | M000000 | | 12 |
| 072 | N000000 | | 14 |
| 072 | O000000 | | 0 |
| 072 | P000000 | | 0 |
| 072 | Q000000 | | 0 |
| 072 | R000000 | | 10 |
| 072 | S000000 | | 100 |
| 072 | T000000 | | 0 |
| 072 | U000000 | | 0 |
| 072 | V000000 | | 0 |
| 072 | W000000 | | 146 |
| 072 | X000000 | | 1754 |
| 072 | Y000000 | | 0 |
| 072 | Z000000 | | 2255 |
| | PAGE | 8 | |
| 072AA | 000000 | | 40624 |
| 072BB | 000000 | | 6765 |
| 072CC | 010000 | | 0 |
| 072CC | 020000 | | 2304 |

Edgar Filing: FIRST FINANCIAL FUND INC - Form NSAR-A

| | |
|-------------|--------------|
| 072DD010000 | 0 |
| 072DD020000 | 0 |
| 072EE000000 | 0 |
| 073 A010000 | 0.0000 |
| 073 A020000 | 0.0000 |
| 073 B000000 | 0.0000 |
| 073 C000000 | 0.0000 |
| 074 A000000 | 1 |
| 074 B000000 | 50125 |
| 074 C000000 | 46 |
| 074 D000000 | 4414 |
| 074 E000000 | 0 |
| 074 F000000 | 282177 |
| 074 G000000 | 0 |
| 074 H000000 | 0 |
| 074 I000000 | 0 |
| 074 J000000 | 4988 |
| 074 K000000 | 0 |
| 074 L000000 | 834 |
| 074 M000000 | 156 |
| 074 N000000 | 342741 |
| 074 O000000 | 419 |
| 074 P000000 | 0 |
| 074 Q000000 | 0 |
| 074 R010000 | 0 |
| 074 R020000 | 0 |
| 074 R030000 | 0 |
| 074 R040000 | 829 |
| 074 S000000 | 0 |
| 074 T000000 | 341493 |
| 074 U010000 | 23911 |
| 074 U020000 | 0 |
| 074 V010000 | 14.28 |
| 074 V020000 | 0.00 |
| 074 W000000 | 0.0000 |
| 074 X000000 | 1104 |
| 074 Y000000 | 0 |
| 075 A000000 | 0 |
| 075 B000000 | 340668 |
| 076 000000 | 11.88 |
| 077 A000000 | N |
| 078 000000 | N |
| 080 C000000 | 0 |
| 081 B000000 | 0 |
| 082 B000000 | 0 |
| 083 B000000 | 0 |
| 084 B000000 | 0 |
| PAGE 9 | |
| 086 A010000 | 0 |
| 086 A020000 | 0 |
| 086 B010000 | 0 |
| 086 B020000 | 0 |
| 086 C010000 | 0 |
| 086 C020000 | 0 |
| 086 D010000 | 0 |
| 086 D020000 | 0 |
| 086 E010000 | 0 |
| 086 E020000 | 0 |
| 086 F010000 | 0 |
| 086 F020000 | 0 |
| 087 A010000 | COMMON STOCK |
| 087 A020000 | 320228109 |

Edgar Filing: FIRST FINANCIAL FUND INC - Form NSAR-A

087 A030000 FF

088 A000000 N

088 B000000 N

088 C000000 N

088 D000000 N

SIGNATURE GRACE TORRES

TITLE TREASURER