

LEMANN JORGE P
Form 4
February 04, 2003
SEC Form 4

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| <p>FORM 4</p> <p>[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).</p> <p>(Print or Type Responses)</p> | <p>UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549</p> <p>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP</p> <p>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940</p> | <p>OMB APPROVAL</p> <hr/> <p>OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. 0.5</p> |
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|--|---|--|---|---|--|
| <p>1. Name and Address of Reporting Person*</p> <p>Lemann, Jorge Paulo</p> <hr/> <p>(Last) (First) (Middle) The Gillette Company 800 Boylston Street</p> <hr/> <p>(Street) Boston, MA 02199</p> <hr/> <p>(City) (State) (Zip) United States</p> | <p>2. Issuer Name and Ticker or Trading Symbol</p> <p>The Gillette Company G</p> | <p>3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)</p> | <p>4. Statement for Month/Day/Year</p> <p>January 31, 2003</p> | <p>5. If Amendment, Date of Original (Month/Day/Year)</p> | <p>6. Relationship of Reporting Person(s) to Issuer (Check all applicable)</p> <p><input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input type="checkbox"/> Officer <input type="checkbox"/> Other</p> <hr/> <p>7. Individual or Joint/Group Filing (Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p> |
|--|---|--|---|---|--|

| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | |
|--|--------------------------------------|--|---|--|---|---|---|
| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code and Voluntary Code (Instr. 8) | 4. Securities Acquired (A) or Disposed (D) Of (Instr. 3, 4, and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct(D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common stock \$1 par | | | Code V | Amount A/D Price | 1,000,000.00 | I | By G/JPL Limited |
| | | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(over)
SEC 1474 (9-02)

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Form 4 (continued)

| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | |
|--|------------------|----------------|----------------------|----------------|--------------|-----------------------------|------------------------|-------------|-------------------------|------------|------------------------|
| 1. Title of Derivative | 2. Conversion or | 3. Transaction | 3A. Deemed Execution | 4. Transaction | 5. Number of | 6. Date Exercisable(DE) and | 7. Title and Amount of | 8. Price of | 9. Number of Derivative | 10. Owner- | 11. Nature of Indirect |
| | | | | | | | | | | | |

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| Security (Instr. 3) | Exercise Price of Derivative Security | Date (Month/Day/Year) | Date, if any (Month/Day/Year) | Code and Voluntary Code (Instr.8) | Derivative Securities Acquired (A) or Disposed (D) Of (Instr. 3,4 and 5) | Expiration Date(ED) (Month/Day/Year) | Underlying Securities (Instr. 3 and 4) | Derivative Security (Instr.5) | Securities Beneficially Owned Following Reported Transactions (Instr.4) | ship Form of Derivative Security: Direct (D) or Indirect (I) (Instr.4) | Beneficial Ownership (Instr.4) |
|--------------------------------------|---------------------------------------|-----------------------|-------------------------------|-----------------------------------|--|--------------------------------------|--|-------------------------------|---|--|--------------------------------|
| | | | | Code I V | | (DE) (ED) | | | | | |
| Phantom Stock Units | \$0.00 | 01/31/2003 | | A I | (A) 1,266.89 | Phantom Stock I | Common stock \$1 par - 1,266.89 | \$29.60 | 9,852.24 (1) | D | |
| Director Stock Option (right to buy) | \$36.41 | 05/20/2002 | | A I | (A) 5,000.00 | 05/20/2003 05/19/2012 | Common stock \$1 par - 5,000.00 | \$36.41 | 5,000.00 (2) | D | |
| Director Stock Option (right to buy) | \$59.83 | | | | | 04/20/1999 04/19/2008 | Common stock \$1 par - 4,000.00 | | 4,000.00 | D | |
| Director Stock Option (right to buy) | \$50.63 | | | | | 04/19/2000 04/18/2009 | Common stock \$1 par - 4,000.00 | | 4,000.00 | D | |
| Director Stock Option (right to buy) | \$38.47 | | | | | 04/24/2001 04/21/2010 | Common stock \$1 par - 4,000.00 | | 4,000.00 | D | |
| Director Stock Option (right to buy) | \$26.08 | | | | | 04/23/2002 04/22/2011 | Common stock \$1 par - 4,000.00 | | 4,000.00 | D | |

Explanation of Responses :

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

By: William J. Mostyn as Attorney in Fact

** Signature of Reporting Person

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient,

see Instruction 6 for procedure.

Power of Attorney

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required to respond unless the form displays a currently valid OMB number.

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FOOTNOTE Descriptions for The Gillette Company G

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**Jorge Paulo Lemann
The Gillette Company
800 Boylston Street
Boston, MA 02199**

Explanation of responses:

- (1) Includes units acquired quarterly under the dividend reinvestment feature of the Deferred Compensation Plan.**
- (2) Granted under The Gillette Company 1971 Stock Option Plan which is a Rule 16b-3 Plan.**