

KANSAS CITY SOUTHERN
Form 4
October 29, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Wochner William J

(Last) (First) (Middle)

KANSAS CITY SOUTHERN, PO
BOX 219335

(Street)

KANSAS CITY, MO 64121-9335

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

KANSAS CITY SOUTHERN [KSU]

3. Date of Earliest Transaction
(Month/Day/Year)

10/28/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Sr VP & Chief Legal Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/28/2014		M	V Amount (A) or (D) Price \$ 34.11	79,526.453 (1)	D	
Common Stock	10/28/2014		S	V Amount (A) or (D) Price \$ 121.4018	59,526.453 (1)	D	
Common Stock					17,580.473 (3)	I	Held by 401(k) & P/S Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not

SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy) ⁽⁵⁾	\$ 34.11	10/28/2014		M ⁽⁴⁾	20,000	02/23/2012 02/22/2017	Common Stock	20,000	
LSAR ⁽⁵⁾	\$ 34.11	10/28/2014		J ⁽⁴⁾	20,000	⁽⁵⁾ ⁽⁵⁾	Common Stock	20,000	
Employee Stock Option (Right to Buy)	\$ 35.41					03/01/2013 ⁽⁶⁾ 02/29/2020	Common Stock	6,800	
Employee Stock Option (Right to Buy)	\$ 52.62					⁽⁷⁾ 02/22/2021	Common Stock	2,800	
Employee Stock Option (Right to Buy)	\$ 66.99					⁽⁷⁾ 02/21/2022	Common Stock	2,000	
Employee Stock Option (Right to Buy)	\$ 97.77					⁽⁷⁾ 02/20/2023	Common Stock	1,600	
Employee Stock Option	\$ 94.23					⁽⁷⁾ 02/19/2024	Common Stock	1,700	

