

NATIONAL FUEL GAS CO
Form 4
December 20, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
MCGINNIS JOHN P

(Last) (First) (Middle)

1201 LOUISIANA STREET, SUITE 2600

(Street)

HOUSTON, TX 77002

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
NATIONAL FUEL GAS CO [NFG]

3. Date of Earliest Transaction (Month/Day/Year)
12/17/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

President-Seneca Resources

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Common Stock | 12/17/2016 | | M | 2,539 A | \$ 30,074 ⁽²⁾ | D | |
| Common Stock | 12/17/2016 | | F | 695 ⁽³⁾ D | \$ 57.93 29,379 | D | |
| Common Stock | | | | | 6,426 ⁽⁴⁾ | I | 401k Trust |
| Common Stock | | | | | 13,615 | I | By limited liability company ⁽⁵⁾ |
| | | | | | 1,205 | I | |

| | | | | | | | |
|-----------------|--|--|--|-------|--|---|---|
| Common Stock | | | | | | | By reporting person and spouse as trustees for child |
| Common Stock | | | | 1,205 | | I | By reporting person and spouse as trustees for child |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Pr Deriv Secu (Instr | |
|---|--|---|---|--------------------------------------|---|--|---|----------------------------------|--|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Restricted Stock Units | <u>(1)</u> | 12/17/2016 | | M | 2,539 | <u>(6)</u> | <u>(6)</u> | Common Stock | 2,539 |

Reporting Owners

| Reporting Owner Name / Address | Relationships |
|---|--|
| MCGINNIS JOHN P 1201 LOUISIANA STREET SUITE 2600 HOUSTON, TX 77002 | Director 10% Owner Officer President-Seneca Resources |

Signatures

James P. Baetzhold, Attorney
in Fact

12/20/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units convert into common stock on a one-for-one basis.
- (2) Excludes 1,686 shares previously owned directly which the reporting person now owns indirectly through a limited liability company.
On December 17, 2016, the reporting person had 695 shares withheld and cancelled to cover minimum required tax withholdings due to
- (3) the vesting of restricted stock units. These share cancellations are shown on Table I as dispositions (Transaction Code "D" in Column 4), although none of these cancelled shares were sold into the market, as indicated by Transaction Code "F" in Column 3.
The NFG stock fund under the NFG 401(k) plan is denominated in units, representing ownership interests in a fund that includes both
- (4) NFG common stock and a reserve of cash. The information reported represents the dollar value of the reporting person's balance in the NFG stock fund as of December 15, 2016, as reported by the plan administrator, divided by the closing price of NFG common stock on that date.
- (5) The reporting person and his spouse are the sole members of the limited liability company.
- (6) On December 17, 2015, the reporting person was granted 7,619 restricted stock units, vesting as follows: 2,539 on December 17, 2016, 2,540 on December 17, 2017, and 2,540 on December 17, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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