

Edgar Filing: LUMPKIN RICHARD ANTHONY - Form 4

LUMPKIN RICHARD ANTHONY

Form 4

November 01, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

( ) Check this box if no longer subject to Section 16. Form 4 or  
Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person:

Lumpkin, Richard A.  
121 South 17th Street  
P.O. Box 1234  
Mattoon, IL 61938

U.S.A.

2. Issuer Name and Ticker or Trading Symbol:

First Mid-Illinois Bancshares, Inc. (FMBH.OB)

3. I.R.S. Identification Number of Reporting Person, if an entity  
(voluntary):

4. Statement for Month/Day/Year:

November 1, 2002

5. If Amendment, Date of Original (Month/Day/Year):

6. Relationship of Reporting Person(s) to Issuer (Check all  
applicable):

(x) Director (x) 10% Owner ( ) Officer (give title below)  
( ) Other (specify below):

7. Individual or Joint/Group Filing (Check Applicable Line):

(x) Form filed by One Reporting Person  
( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired,  
Disposed of, or Beneficially Owned

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1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership For Dir (D) Ind (I) (In			
			Code	V	Amount (A) or (D)	Price			
Common Stock					67,513.984	I			
Common Stock	8/13/02		P		173.650	A	\$26.31	19,376.855	I
Common Stock	11/1/02		J(2)		82,500	D	\$27.50		
Common Stock	11/1/02		J(2)		82,500	D	\$27.50	165,058.866	D

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any, (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	
				Code	V	Exer- cise- able	Expir- ation Date	Title	Amount or Number of Shares

Stock  
Option

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Reminder: Report on a separate line for each class of securities beneficially owned directly

\* If the form is filed by more than one reporting person, SEE Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.  
SEE 18 U.S.C 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space is insufficient, SEE Instruction 6 for procedure.

\_\_\_\_\_  
\*\*Signature of Reporting Person

\_\_\_\_\_  
Date

Attorney-in-Fact

Explanation of Responses:

- (1) SKL Investment Group R.A. Lumpkin Voting Member.
- (2) Mr. Richard A. Lumpkin transferred (a) 82,500 shares to the Richard Anthony Lumpkin 1990 Trust for the Benefit of Benjamin Iverson Lumpkin dated 4/20/90 and (b) 82,500 shares to the 1990 Personal Income Trust for the Benefit of Elizabeth Arabella Lumpkin dated 4/20/90. These shares are no longer reportable by Mr. Lumpkin.