

Edgar Filing: DRUCE J DIX - Form 4

DRUCE J DIX
Form 4
September 17, 2002

OMB APPROVAL

OMB Number 3235-0287
Expires: January 31, 2005
Estimated average burden
hours per response 0.5

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

Check box if no longer subject of Section 16. Form 4 or Form 5 obligations
may continue. See Instruction 1(b).

1. Name and Address of Reporting Person*

Druce J. Dix

(Last) (First) (Middle)

One Independent Square, #2201

(Street)

Jacksonville FL 32202

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

Regency Centers Corporation (REG)

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Day/Year

September 16, 2002

5. If Amendment, Date of Original (Month/Day/Year)

6. Relationship of Reporting Person to Issuer
(Check all applicable)

 Director 10% Owner
 Officer (give title below) Other (specify below)

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7. Individual or Joint/Group Filing (Check applicable line)

- Form filed by one Reporting Person
 Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Trans- action Date (mm/dd/yy) | 2A. Deemed Execution Date, if any (mm/dd/yy) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | |
|---------------------------------------|--|---|---|---|--|------------------|---------|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | 09/16/02 | | M | | 2,000 | A | \$18.75 |
| Common Stock | 09/16/02 | | F | | 1,220 | D | \$30.73 |
| Common Stock | 09/16/02 | | M | | 1,000 | A | \$16.75 |
| Common Stock | 09/16/02 | | F | | 545 | D | \$30.73 |
| Common Stock | 09/16/02 | | M | | 1,000 | A | \$17.25 |
| Common Stock | 09/16/02 | | F | | 561 | D | \$30.73 |
| Common Stock | 09/16/02 | | M | | 1,000 | A | \$22.25 |

* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Responses)

(Over)

(Form 4-07/98)

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FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

Check box if no longer subject of Section 16. Form 4 or Form 5 obligations
may continue. See Instruction 1(b).

1. Name and Address of Reporting Person*

| | | |
|-------------------------------|---------|----------|
| Druce | J. | Dix |
| ----- | ----- | ----- |
| (Last) | (First) | (Middle) |
| One Independent Square, #2201 | | |
| ----- | | |
| (Street) | | |
| Jacksonville | FL | 32202 |
| ----- | ----- | ----- |
| (City) | (State) | (Zip) |

2. Issuer Name and Ticker or Trading Symbol

Regency Centers Corporation (REG)

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Day/Year

September 16, 2002

5. If Amendment, Date of Original (Month/Day/Year)

6. Relationship of Reporting Person to Issuer
(Check all applicable)

| | |
|---|--|
| <input checked="" type="checkbox"/> Director | <input type="checkbox"/> 10% Owner |
| <input type="checkbox"/> Officer (give title below) | <input type="checkbox"/> Other (specify below) |

7. Individual or Joint/Group Filing (Check applicable line)

| |
|--|
| <input checked="" type="checkbox"/> Form filed by one Reporting Person |
| <input type="checkbox"/> Form filed by more than one Reporting Person |

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Table I -- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Trans- action Date (mm/dd/yy) | 2A. Deemed Execution Date, if any (mm/dd/yy) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | |
|---------------------------------------|--|---|---|---|--|------------------|
| | | | Code | V | Amount | (A) or (D) |
| Common Stock | 09/16/02 | | F | | 724 | D \$30.73 |
| Common Stock | 09/16/02 | | M | | 3,750 | A \$21.875 |
| Common Stock | 09/16/02 | | F | | 2,669 | D \$30.73 |
| Common Stock | 09/16/02 | | M | | 2,500 | A \$22.0625 |
| Common Stock | 09/16/02 | | F | | 1,795 | D \$30.73 |
| Common Stock | 09/16/02 | | M | | 1,250 | A \$24.60 |
| Common Stock | 09/16/02 | | F | | 1,001 | D \$30.73 |
| Common Stock | 09/16/02 | | M | | 1,521 | A Note 1 |

* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Note 1: Settlement of dividend equivalent units in connection with exercise of option.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Responses)

(Over)

(Form 4-07/98)

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conver- sion or Exer- cise Price of Deriv- ative Secur- ity | 3. Trans- action Date (Month/ Day/ Year) | 3A. Deemed Execu- tion Date, if any (Month/ Day/ Year) | 4. Trans- action Code (Instr. 8) ----- Code V | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Expira- tion | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) ----- Amount or Number of Shares |
|--|---|--|--|--|--|---|--|
| Stock option (right to buy) | \$18.75 | 09/16/02 | | M | 2,000 | 11/05/94 11/05/03 | Common Stock 2,000 |
| Stock option (right to buy) | \$16.75 | 09/16/02 | | M | 1,000 | 12/31/95 12/31/04 | Common Stock 1,000 |
| Stock option (right to buy) | \$17.25 | 09/16/02 | | M | 1,000 | 12/31/96 12/31/05 | Common Stock 1,000 |
| Stock option (right to buy) | \$22.25 | 09/16/02 | | M | 1,000 | 12/31/99 12/31/08 | Common Stock 1,000 |
| Stock option (right to buy) | \$21.875 | 09/16/02 | | M | 3,750 | 05/06/00 05/06/09 | Common Stock 3,750 |
| Stock option (right to buy) | \$22.0625 | 09/16/02 | | M | 2,500 | 05/03/01 05/03/10 | Common Stock 2,500 |
| Stock option (right to buy) | \$24.60 | 09/16/02 | | M | 1,250 | 05/01/02 05/01/11 | Common Stock 1,250 |
| Stock option (right to buy) | \$30.73 | 09/16/02 | | A | 1,220 | 09/16/02 11/05/03 | Common Stock 1,220 |

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | Conversion or Exer- cise Price of Deriv- ative Secur- ity | 3. Trans- action Date (Month/ Day/ Year) | 3A. Deemed Execu- tion Date, (Month/ Day/ Year) | 4. Trans- action Code (Instr. 8) ----- Code V | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Expira- tion Date | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) ----- Amount or Number of Shares |
|--|--|--|--|--|--|---|--|
| Stock option (right to buy) | \$30.73 | 09/16/02 | | A | 545 | 09/16/02 12/31/04 | Common Stock 545 |
| Stock option (right to buy) | \$30.73 | 09/16/02 | | A | 561 | 09/16/02 12/31/05 | Common Stock 561 |
| Stock option (right to buy) | \$30.73 | 09/16/02 | | A | 724 | 09/16/02 12/31/08 | Common Stock 724 |
| Stock option (right to buy) | \$30.73 | 09/16/02 | | A | 2,669 | 09/16/02 05/06/09 | Common Stock 2,669 |
| Stock option (right to buy) | \$30.73 | 09/16/02 | | A | 1,795 | 09/16/02 05/03/10 | Common Stock 1,795 |
| Stock option (right to buy) | \$30.73 | 09/16/02 | | A | 1,001 | 09/16/02 05/01/11 | Common Stock 1,001 |
| Divident Equivalent | 1 for 1 | 09/16/02 | | M | 1,521 | Note 1 | Common Stock 1,521 |

Explanation of Responses:

Note 1: Settlement of dividend equivalent units in connection with exercise of option.

/s/ Linda Y. Kelso

September 17, 2002

Linda Y. Kelso, as attorney-in-fact
for J. Dix Druce

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.