IRVIN JOHN

Form 4

November 28, 2017

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
OMB
3235-0287

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Washington, D.C. 20549

Number: 3235-0287 Expires: January 31,

subject to Section 16. Form 4 or STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. *See* Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*
IRVIN JOHN

2. Issuer Name and Ticker or Trading
Symbol
ExOne Co [XONE]

5. Relationship of Reporting Person(s) to Issuer

3. Date of Earliest Transaction

(Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transac (Month/Day/Year)

11/24/2017

\_X\_\_ Director \_\_\_\_\_ 10% Owner \_\_\_\_\_ Officer (give title \_\_\_\_\_ Other (specify below) below)

127 INDUSTRY BOULEVARD

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)
\_X\_ Form filed by One Reporting Person
\_\_\_ Form filed by More than One Reporting

Person

NORTH HUNTINGDON, PA 15642

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	(A) or		ed of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock, par value \$0.01	11/24/2017		S <u>(1)</u>	1,400	D	\$ 11.64 (2)	82,092	I (3)	By 20 20 Holdings, LLC		
Common Stock, par value \$0.01	11/27/2017		S <u>(1)</u>	5,125	D	\$ 11.9 (2)	76,967	I (3)	By 20 20 Holdings, LLC		
Common Stock, par value \$0.01							154,300	D			

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Common

Stock, par value 3,000 I  $\frac{(4)}{}$  By wife

\$0.01

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title o	of 2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Title and	8. Price of	9. Nu
Derivativ	ve Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration Date		Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day	/Year)	Underlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative			Securities (Instr. 3 and 4)	(Instr. 5)	Bene
	Derivative				Securities		14)		Own	
	Security				Acquired					Follo
					(A) or					Repo
					Disposed					Trans
					of (D)					(Instr
					(Instr. 3,					
					4, and 5)					
								Amo	unt	
						Date	Expiration	Or Title Never	L	
						Exercisable D	Date	Title Num	ber	
				Codo V	(A) (D)			of Share	20	
				Code v	(A) (D)			Share	es e	

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

IRVIN JOHN 127 INDUSTRY BOULEVARD X NORTH HUNTINGDON, PA 15642

### **Signatures**

/s/ Loretta L. Benec, attorney-in-fact for John

Irvin 11/28/2017

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by 20 20 Holdings, LLC and Mr. Irvin on November 17, 2017.

**(2)** 

Reporting Owners 2

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The price reported in Column 4 is a weighted average price. The shares sold on November 24, 2017 were sold in multiple transactions at prices ranging from \$11.54 to \$11.72, inclusive. The shares sold on November 27, 2017 were sold in multiple transactions at prices ranging from \$11.58 to \$12.03, inclusive. Mr. Irvin undertakes to provide to The ExOne Company, any security holder of The ExOne Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

- (3) 20 20 Holdings, LLC is the owner of these shares. 20 20 Holdings is a limited liability company of which Mr. Irvin is the sole manager and has sole power to vote and dispose of the shares.
- (4) Mr. Irvin's wife is the owner of the 3,000 shares. Mr. Irvin disclaims beneficial ownership of these shares, and this report shall not be deemed to be an admission that Mr. Irvin is the beneficial owner of the securities for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.