

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

NOMURA HOLDINGS INC
Form 13F-HR
November 14, 2008

OMB APPROVAL

OMB Number:
Expires:
Estimated average burden
hours per response.....

SEC USE ONLY

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 13F

FORM 13f Cover Page

Report for the Calendar Year or Quarter Ended September 30, 2008

If amended report check here: Amendment Number: _____

This Amendment (Check only one): is a restatement
 adds new holding
entries.

PRIOR FILINGS FOR THE NOMURA GROUP WERE REPORTED UNDER THE NAME NOMURA SECURITIES COMPANY, WITH CIK NUMBER 0000110097. SUBSEQUENT FILINGS WILL BE MADE UNDER THE NAME NOMURA HOLDINGS, INC., CIK NUMBER 0001163653. NOMURA HOLDINGS, INC. (NHI) IS THE PARENT HOLDING COMPANY OF A NUMBER OF OPERATING SUBSIDIARIES, SOME OF WHICH ARE OR MAY BE INSTITUTIONAL INVESTMENT MANAGERS FOR THE PURPOSES OF THE REPORTING REQUIREMENTS UNDER SECTION 13(F) OF THE SECURITIES EXCHANGE ACT OF 1934 (THE ACT) AND THE RULES PROMULGATED THEREUNDER. NHI ITSELF DOES NOT DIRECTLY EXERCISE INVESTMENT DISCRETION WITH RESPECT TO ANY SECTION 13(F) SECURITIES POSITIONS. IN THAT NHI'S OWNERSHIP INTEREST IN SUCH OPERATING SUBSIDIARIES IS DEEMED THE EXERCISE OF INVESTMENT DISCRETION REGARDING CERTAIN OF SUCH SUBSIDIARIES' MANAGED ACCOUNTS, THE INFORMATION REQUIRED BY FORM 13F IS REPORTED BY NHI ON BEHALF OF CERTAIN OF NHI'S OPERATING SUBSIDIARIES WHICH ARE INSTITUTIONAL INVESTMENT MANAGERS SUBJECT TO SECTION 13(F) OF THE ACT.

Nomura Holdings Inc.

Name of Institutional Investment Manager

1-9-1, Nihonbashi, Chuo-ku, Tokyo 103-8011, Japan

Business Address (Street) (City) (State) (Zip)

13F File Number: 001-15270

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Brendan G. Daly Head of Compliance and Surveillance 212-667-1246

Name (Title) (Phone)

/s/ Brendan G. Daly

(Manual Signature of Person Duly
Authorized to Submit This Report)

New York, NY November 14, 2008

(Place and Date of Signing)

Report Type:

- 13F HOLDINGS REPORT.
- 13F NOTICE.
- 13F COMBINATION REPORT.

Form 13F SUMMARY PAGE

Report Summary:

Number of Other Included Managers: 4
Form 13F Information Table Entry Total: 58
Form 13F Information Table Value Total: \$251,483
(thousands)

List of Other Included Managers:

Name and 13F file numbers of ALL Institutional Investment Managers with respect to which this schedule is filed (other than the one filing this report): (List in alphabetical order).

13F File No.: Name:

6. 28-05739 Global Funds Management, S.A.

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

2. 28-04985 Nomura International plc

4. 28-04993 Nomura Securities (Bermuda)

1. 28-04983 Nomura Securities International, Inc.

1Page 1

FORM 13F
INFORMATION TABLE
QUARTER ENDING
09/30/2008

NAME OF ISSUER	TITLE OF CLASS	CUSIP	VALUE X1000	SHARES PRN AMT	SH PRN	PUT CALL	INVSTMT DISCRETN	OT MAN
0ACCURIDE CORP		004398103	672	000000420200	SH		DEFINED	01
ALLIS CHALMERS ENERGY INC		019645506	346	000000027430	SH		DEFINED	01
ALTRIA GROUP INC		02209S103	79,360	000004000000	SH		DEFINED	02
ALTUS PHARMACEUTICALS INC		02216N105	957	000000866624	SH		DEFINED	02
ARMSTRONG WORLD INDS INC NEW		04247X102	1,220	000000042237	SH		DEFINED	01
CABLEVISION SYS CORP		12686C109	223	000000008900	SH		DEFINED	01
CAPSTONE TURBINE CORP		14067D102	46	000000036126	SH		DEFINED	02
CITIGROUP INC		172967101	276	000000013500	SH		DEFINED	04
COCA COLA CO		191216100	52,880	000001000000	SH		DEFINED	02
COMCAST CORP NEW		20030N200	280	000000014243	SH		DEFINED	01
ORE MARK HOLDING CO INC		218681104	1,455	000000058235	SH		DEFINED	01
DANA HOLDING CORP		235825205	3,720	000000768740	SH		DEFINED	01
DELTA AIR LINES INC DEL		247361702	142	000000019154	SH		DEFINED	01
EVERGREEN SOLAR INC		30033R108	119	000000021648	SH		DEFINED	02
FTI CONSULTING INC		302941109	686	000000009500	SH		DEFINED	01
EDERAL MOGUL CORP		313549404	5,162	000000411356	SH		DEFINED	01
FUELCELL ENERGY INC		35952H106	60	000000010086	SH		DEFINED	02
GT SOLAR INTL INC		3623E0209	142	000000013133	SH		DEFINED	02
GOL LINHAS AEREAS INTLG S A		38045R107	822	000000126600	SH		DEFINED	04
GOODYEAR TIRE & RUBR CO		382550101	485	000000031700	SH		DEFINED	01
GOOGLE INC		38259P508	224	000000000700	SH		DEFINED	04
GRACE W R & CO DEL NEW		38388F108	289	000000019121	SH		DEFINED	01
GROUP 1 AUTOMOTIVE INC		398905109	281	000000012950	SH		DEFINED	01
HAYES LEMMERZ INTL INC		420781304	276	000000101449	SH		DEFINED	01
HORNBECK OFFSHORE SVCS INC N		440543106	644	000000016688	SH		DEFINED	01
HUNTSMAN CORP		447011107	667	000000052991	SH		DEFINED	01
IDENIX PHARMACEUTICALS INC		45166R204	2,066	000000301476	SH		DEFINED	02
IDEARC INC		451663108	173	000000139000	SH		DEFINED	01
INFOSYS TECHNOLOGIES LTD		456788108	939	000000028200	SH		DEFINED	04
INNOPHOS HOLDINGS INC		45774N108	302	000000012409	SH		DEFINED	01
EDCATH CORP		58404W109	479	000000026747	SH		DEFINED	01
MERRILL LYNCH & CO INC		590188108	215	000000008500	SH		DEFINED	04
METLIFE INC		59156R108	2,790	000000049831	SH		DEFINED	02
MIRANT CORP NEW		60467R100	631	000000034531	SH		DEFINED	01
MONEYGRAM INTL INC		60935Y109	213	000000150000	SH		DEFINED	01
MONSANTO CO NEW		61166W101	296	000000003000	SH		DEFINED	04
NRG ENERGY INC		629377508	496	000000020050	SH		DEFINED	01
NORTHWEST AIRLS CORP		667280408	317	000000035127	SH		DEFINED	01
NVIDIA CORP		67066G104	114	000000010700	SH		DEFINED	04

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

OWENS CORNING NEW	690742101	3,258	000000136262	SH	DEFINED	01
PANTRY INC	698657103	568	000000026829	SH	DEFINED	01
PETROLEO BRASILEIRO SA PETRO	71654V408	584	000000013300	SH	DEFINED	04
PHILIP MORRIS INTL INC	718172109	72,150	000001500000	SH	DEFINED	02
PLUG POWER INC	72919P103	31	000000032198	SH	DEFINED	02
POTASH CORP SASK INC	73755L107	488	000000003700	SH	DEFINED	04
SCOTTS MIRACLE GRO CO	810186106	221	000000009390	SH	DEFINED	01

1Page 2
0

FORM 13F
INFORMATION TABLE
QUARTER ENDING
09/30/2008

NAME OF ISSUER	TITLE OF CLASS	CUSIP	VALUE X1000	SHARES PRN AMT	SH PRN	PUT CALL	INVTMT DSCRETN	OT MAN
OEMGROUP ENERGY PARTNERS L P		81662W108	611	000000087600	SH		DEFINED	01
SOLUTIA INC		834376501	3,617	000000258418	SH		DEFINED	01
ARGACEPT INC		87611R306	6,791	000001190476	SH		DEFINED	02
TIME WARNER CABLE INC		88732J108	374	000000015464	SH		DEFINED	01
TYSON FOODS INC		902494103	653	000000054718	SH		DEFINED	02
UAL CORP		902549807	240	000000027400	SH		DEFINED	01
VCA ANTECH INC		918194101	558	000000018950	SH		DEFINED	02
VERASUN ENERGY CORP		92336G106	205	000000065617	SH		DEFINED	02
VERENIUM CORPORATION		92340P100	14	000000015175	SH		DEFINED	02
VIRGIN MEDIA INC		92769L101	241	000000030556	SH		DEFINED	01
WINN DIXIE STORES INC		974280307	273	000000019659	SH		DEFINED	01
YINGLI GREEN ENERGY HLDG CO		98584B103	112	000000010238	SH		DEFINED	02