

UNITY BANCORP INC /NJ/  
Form 4  
May 15, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Bolomey Janice

2. Issuer Name and Ticker or Trading Symbol  
UNITY BANCORP INC /NJ/  
[UNTY]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

(Last) (First) (Middle)  
UNITY BANK, 64 OLD  
HIGHWAY 22  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
05/11/2017

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
EVP/CAO

CLINTON, NJ 08809

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  |                                | (A) or (D) Code V Amount (D) Price                                |   |  |                                   |
| Common Stock                    |                                      |  |                                |   | 25,139 <sup>(1)</sup>   | D  |                                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|--|--|---|----------------------------|
| Stock Options                              | \$ 6.65 <sup>(2)</sup>                                 | 05/11/2017                           |  | M                              | 578 <sup>(3)</sup>   | 02/21/2009 <sup>(2)</sup> 02/21/2018 <sup>(2)</sup>      | Common Stock  | 578                        |
| Stock Options                              | \$ 3.99 <sup>(2)</sup>                                 | 05/11/2017                           |  | M                              | 3,460 <sup>(3)</sup>   | 12/08/2009 <sup>(2)</sup> 12/08/2018 <sup>(2)</sup>      | Common Stock  | 3,460                      |
| Stock Options                              | \$ 3.99 <sup>(2)</sup>                                 | 05/12/2017                           |  | M                              | 2,040 <sup>(3)</sup>   | 12/08/2009 <sup>(2)</sup> 12/08/2018 <sup>(2)</sup>      | Common Stock  | 2,040                      |
| Stock Options                              | \$ 3.62 <sup>(2)</sup>                                 | 05/12/2017                           |  | M                              | 4,703 <sup>(3)</sup>   | 12/10/2010 <sup>(2)</sup> 12/10/2019 <sup>(2)</sup>      | Common Stock  | 4,703                      |

### Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| Bolomey Janice<br>UNITY BANK<br>64 OLD HIGHWAY 22<br>CLINTON, NJ 08809 |               |           | EVP/CAO |       |

### Signatures

Janice Bolomey, POA Laurie Cook,  
 Controller/SVP 05/15/2017  
\*\*Signature of Reporting Person Date

### Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).  
 \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) 9,300 shares are unvested stock; and 15,839 shares are held in an account at Computershare  
 578 stock options were granted on 2/21/08, which vested one-third per year commencing 2/21/09; 2/21/10 and 2/21/11 at \$6.65 per share that expire on 2/21/18. 5,500 stock options were granted on 12/8/08, which vested one-third per year commencing 12/8/09; 12/8/10 and 12/8/11 at \$3.99 per share that expire on 12/8/18. 5,500 stock options were granted on 12/10/2009, which vested one-third per year commencing 12/10/10; 12/10/11 and 12/10/12 at \$3.62 per share that expire on 12/10/19.
- (2)
- (3) 578 shares @ \$6.65, 5,500 shares @ \$3.99 and 4,703 shares @ \$3.62
- (4) 76,797 stock options were granted of which 55,797 are currently exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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