

Fain Richard D
Form 3
February 14, 2005

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Fain Richard D

(Last) (First) (Middle)

ROYAL CARIBBEAN
CRUISES LTD., Â 1050
CARIBBEAN WAY

(Street)

MIAMI, Â FL Â 33132

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

02/02/2005

3. Issuer Name **and** Ticker or Trading Symbol

ROYAL CARIBBEAN CRUISES LTD [RCL]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☒ Director ☐ 10% Owner

☒ Officer ☐ Other

(give title below) (specify below)

Chairman and CEO

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person

☐ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

26,974

D

Â

Common Stock

247

I

By daughter

Common Stock

606,642

I

By trust

Common Stock

571,412 ⁽¹⁾

I

See footnote ⁽¹⁾

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Option to Purchase Common Stock	Â <u>(2)</u>	10/15/2006	Common Stock	100,000	\$ 13.3125	D	Â
Option to Purchase Common Stock	Â <u>(2)</u>	01/27/2008	Common Stock	200,000	\$ 25.5938	D	Â
Option to Purchase Common Stock	Â <u>(2)</u>	02/05/2009	Common Stock	90,000	\$ 35.0938	D	Â
Option to Purchase Common Stock	Â <u>(2)</u>	02/05/2009	Common Stock	10,000	\$ 35.0938	D	Â
Option to Purchase Common Stock	Â <u>(2)</u>	02/04/2010	Common Stock	150,000	\$ 48	D	Â
Option to Purchase Common Stock	Â <u>(3)</u>	03/03/2010	Common Stock	75,000	\$ 28.7813	D	Â
Option to Purchase Common Stock	Â <u>(2)</u>	12/04/2010	Common Stock	150,000	\$ 20.3	D	Â
Option to Purchase Common Stock	Â <u>(2)</u>	10/12/2011	Common Stock	3,000	\$ 9.9	D	Â
Option to Purchase Common Stock	Â <u>(2)</u>	10/12/2011	Common Stock	447,000	\$ 9.9	D	Â
Option to Purchase Common Stock	Â <u>(4)</u>	03/17/2014	Common Stock	9,900	\$ 40.06	D	Â
Option to Purchase Common Stock	Â <u>(5)</u>	03/17/2014	Common Stock	13,666	\$ 40.06	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Fain Richard D ROYAL CARIBBEAN CRUISES LTD. 1050 CARIBBEAN WAY MIAMI, FL 33132	Â X	Â	Â Chairman and CEO	Â

Signatures

By: Bradley Stein, Attorney-in-Fact for Richard
D. Fain

02/14/2005

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares owned by Monument Capital Corporation as nominee for various trusts primarily for the benefit of certain members of the Fain family.
- (2) Immediately.
- (3) 60,000 shares are immediately exercisable and 15,000 are exercisable on 3/3/2005.
- (4) 2,475 shares are exercisable on each of 3/17/2005, 3/17/2006, 3/17/2007 and 3/17/2008.
- (5) 3,417 shares are exercisable on each of 3/17/2005 and 3/17/2006 and 3,416 are exercisable on each of 3/17/2007 and 3/17/2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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