

BRAM CRAIG C  
Form 4  
June 25, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**BRAM CRAIG C**

2. Issuer Name and Ticker or Trading Symbol  
**SYNALLOY CORP [SYNL]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
4510 COX ROAD, SUITE 201

3. Date of Earliest Transaction (Month/Day/Year)  
06/20/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
CEO & President

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

GLEN ALLEN, VA 23060

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
|                                 |                                      |                                                    |                                | (A) or (D) Code V Amount Price                                    |                                                                                               |                                                          |                                                       |
| Common Stock                    | 06/20/2018                           |                                                    | M                              | 36,710 A \$ 11.55                                                 | 242,241                                                                                       | D                                                        |                                                       |
| Common Stock                    | 06/20/2018                           |                                                    | F                              | 27,305 D \$ 20.35                                                 | 214,936                                                                                       | D                                                        |                                                       |
| Common Stock                    |                                      |                                                    |                                |                                                                   | 28,763                                                                                        | I                                                        | Spouse                                                |
| Common Stock                    |                                      |                                                    |                                |                                                                   | 12,609                                                                                        | I                                                        | IRA                                                   |
| Common Stock                    |                                      |                                                    |                                |                                                                   | 3,150                                                                                         | I                                                        | 401(k) Trust                                          |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |              |                            |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|--------------|----------------------------|
|                                            |                                                        |                                      |                                                    | Code                           | V (A) (D)                                                                               | Date Exercisable                                         | Expiration Date                                               | Title        | Amount or Number of Shares |
| Employee Stock Option (right to buy)       | \$ 11.55                                               | 06/20/2018                           |                                                    | M                              | 36,710                                                                                  | <u>(1)</u> 01/24/2021                                    |                                                               | Common Stock | 36,710                     |
| Employee Stock Option (right to buy)       | \$ 11.345                                              |                                      |                                                    |                                |                                                                                         | <u>(1)</u> 02/09/2022                                    |                                                               | Common Stock | 108,264                    |
| Employee Stock Option (right to buy)       | \$ 13.7                                                |                                      |                                                    |                                |                                                                                         | <u>(1)</u> 02/07/2023                                    |                                                               | Common Stock | 6,843                      |
| Employee Stock Option (right to buy)       | \$ 16.01                                               |                                      |                                                    |                                |                                                                                         | <u>(1)</u> 02/10/2025                                    |                                                               | Common Stock | 5,075                      |

## Reporting Owners

| Reporting Owner Name / Address                                   | Relationships |           |                 |       |
|------------------------------------------------------------------|---------------|-----------|-----------------|-------|
|                                                                  | Director      | 10% Owner | Officer         | Other |
| BRAM CRAIG C<br>4510 COX ROAD, SUITE 201<br>GLEN ALLEN, VA 23060 | X             |           | CEO & President |       |

## Signatures

Sally M. Cunningham, Power of Attorney for Craig C.  
Bram

06/25/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Options vest in equal installments of 20% beginning one year from date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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