

LUCENT TECHNOLOGIES INC

Form 4

November 30, 2006

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**BREWINGTON JAMES K**

2. Issuer Name **and** Ticker or Trading  
Symbol  
**LUCENT TECHNOLOGIES INC**  
**[LU]**

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
**67 WHIPPANY RD**  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
**11/30/2006**

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)  
**Pres., Developing Mkts**

**WHIPPANY, NJ 07981**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       | 11/30/2006                              |                                                             | D                                    | (A)<br>or<br>(D)<br>Amount<br>386,234<br>(1)                            | \$ 0 0 (1)                                                                                                         | D                                                                       |                                                                   |
| Common<br>Stock                       | 11/30/2006                              |                                                             | D                                    | (A)<br>or<br>(D)<br>Amount<br>1,636.378<br>(1)                          | \$ 0 0 (1)                                                                                                         | I                                                                       | by 401K                                                           |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
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SEC 1474  
(9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount<br>Underlying Securities<br>(Instr. 3 and 4) |                            |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|--------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|--------------------|------------------------------------------------------------------|----------------------------|
|                                                     |                                                                       |                                         |                                                             | Code                                    | V (A) (D)                                                                                              | Date<br>Exercisable                                            | Expiration<br>Date | Title                                                            | Amount<br>Number<br>Shares |
| Deferred<br>Stock<br>Units                          | (1)                                                                   | 11/30/2006                              |                                                             | D                                       | 5,216,785                                                                                              | (2)                                                            | (2)                | Common<br>Stock                                                  | 5,216,785                  |
| Employee<br>Stock<br>Options<br>(Right to<br>buy)   | \$ 1.42                                                               | 11/30/2006                              |                                                             | D                                       | 650,000                                                                                                | 11/30/2006                                                     | 12/15/2009         | Common<br>Stock                                                  | 650,000                    |
| Employee<br>Stock<br>Options<br>(Right to<br>buy)   | \$ 1.78                                                               | 11/30/2006                              |                                                             | D                                       | 1,173,441                                                                                              | 11/30/2006                                                     | 11/24/2007         | Common<br>Stock                                                  | 1,173,441                  |
| Employee<br>Stock<br>Options<br>(Right to<br>buy)   | \$ 2.42                                                               | 11/30/2006                              |                                                             | D                                       | 607,500                                                                                                | (4)                                                            | 10/31/2013         | Common<br>Stock                                                  | 607,500                    |
| Employee<br>Stock<br>Options<br>(Right to<br>buy)   | \$ 2.82                                                               | 11/30/2006                              |                                                             | D                                       | 647,500                                                                                                | (5)                                                            | 11/30/2012         | Common<br>Stock                                                  | 647,500                    |
| Employee<br>Stock<br>Options<br>(Right to<br>buy)   | \$ 3.21                                                               | 11/30/2006                              |                                                             | D                                       | 650,000                                                                                                | (6)                                                            | 11/30/2010         | Common<br>Stock                                                  | 650,000                    |
| Employee<br>Stock<br>Options<br>(Right to<br>buy)   | \$ 3.955                                                              | 11/30/2006                              |                                                             | D                                       | 762,500                                                                                                | (7)                                                            | 11/30/2011         | Common<br>Stock                                                  | 762,500                    |

## Reporting Owners

| Reporting Owner Name / Address                             | Relationships                             |
|------------------------------------------------------------|-------------------------------------------|
|                                                            | Director    10% Owner    Officer    Other |
| BREWINGTON JAMES K<br>67 WHIPPANY RD<br>WHIPPANY, NJ 07981 | Pres., Developing Mkts                    |

## Signatures

James K. Brewington, by William R. Carpezzi, Jr. as  
attorney-in-fact

11/30/2006

—Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (5) These options, after conversion to Alcatel-Lucent options, will vest in four equal annual installments beginning on 12/1/2006.
- (3) Pursuant to the terms of the merger agreement, each option to purchase Lucent stock was converted into the right to purchase 0.1952 of an American Depositary Share of Alcatel-Lucent ( the combined company).
- (4) These options, after conversion to Alcatel-Lucent options, will vest in four equal annual installments beginning on 11/1/2007.
- (6) As of 11/30/2006, there were 325,000 options that were fully vested and exercisable. The remaining unvested options, after conversion to Alcatel-Lucent options, will vest in two equal annual installments beginning on 12/1/2006.
- (7) On 11/30/2006, there were 190,526 options that were fully vested and exercisable. The remaining unvested options, after conversion to Alcatel-Lucent options, will vest in three equal annual installments beginning on 12/1/2006.
- (2) Payable generally following termination of employment with the Company or upon attainment of a specified age.
- (1) Pursuant to the terms of the merger agreement between Alcatel and Lucent Technologies Inc., each share of Lucent common stock, par value \$.01 per share, was converted into 0.1952 of an American Depositary Share of Alcatel-Lucent ( the combined company).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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