BURISH MARK D

Form 4

August 25, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB

OMB APPROVAL

Number:

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if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

may continue. See Instruction

1(b).

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

BURISH MARK D

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

SONIC FOUNDRY INC [SOFO] (First) (Middle) (Last)

(Zip)

3. Date of Earliest Transaction (Month/Day/Year) 08/23/2017

X_ Director Officer (give title

X 10% Owner Other (specify

HURLEY, BURISH AND STANTON, 33 E. MAIN ST., SUITE 400

(Street)

(State)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

MADISON, WI 53703

(City)

					-	· •		-
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securiti	ies Acquired ((A) 5. Amount of	6.	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	nor Dispose	ed of (D)	Securities	Ownership	Indirect
(Instr. 3)		any	Code	(Instr. 3, 4	and 5)	Beneficially	Form:	Beneficial
		(Month/Day/Year)	(Instr. 8)			Owned	Direct (D)	Ownership
						Following	or Indirect	(Instr. 4)
					(A)	Reported	(I)	
					(A)	Transaction(s)	(Instr. 4)	
					or	(Instr. 3 and 4)		
			Code V	Amount	(D) Price	e '		
9%								

Cumulative Voting

Convertible 08/23/2017 Preferred

655.44 A \$ 762.85

1,259.84

D

Stock. Series A (1)

Common

371,886 D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercise Expiration Date (Month/Day/Y	e Underlying Securi		
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Am or Nur of S
9% Cumulative Voting Convertible Preferred Stock, Series A (2)	\$ 762.85	08/23/2017		Р	655.44	08/23/2017	08/23/2018	9% Cumulative Voting Convertible Preferred Stock, Series A	65.

Reporting Owners

Reporting Owner Name / Address	Relationships					
•	Director	10% Owner	Officer	Other		
BURISH MARK D HURLEY, BURISH AND STANTON 33 E. MAIN ST., SUITE 400 MADISON, WI 53703	X	X				

Signatures

Mark Burish 08/25/2017

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of 9% Cumulative Voting Convertible Preferred Stock, Series A, are convertible into that number of shares of common stock determined by dividing \$4.23 into the liquidation amount of \$1,000 per share
- (2) Mr. Burish is obligated to purchase, at the request of the Company, 655.44 shares of 9% Cumulative Voting Convertible Preferred Stock Series A.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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