### Edgar Filing: AMERICAN EQUITY INVESTMENT LIFE HOLDING CO - Form 4

### AMERICAN EQUITY INVESTMENT LIFE HOLDING CO

Form 4

April 12, 2016

### FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB 3235-0287 Number:

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Check this box if no longer subject to Section 16. Form 4 or

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(City)

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(Print or Type Responses)

1. Name and Address of Reporting Person \* Johnson Ted Morris

(First)

2. Issuer Name and Ticker or Trading

Issuer

below)

Symbol

AMERICAN EQUITY INVESTMENT LIFE HOLDING

Director

10% Owner Other (specify

CO [AEL]

3. Date of Earliest Transaction (Month/Day/Year)

X\_ Officer (give title CFO & Treasurer

5. Relationship of Reporting Person(s) to

(Check all applicable)

6000 WESTOWN PARKWAY 04/11/2016

(Middle)

(Street) 4. If Amendment, Date Original

(Month/Day/Year)

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

WEST DES MOINES, IA 50266

(State)

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Zip)

4. Securities TransactionAcquired (A) or Disposed of (D) Code (Instr. 8) (Instr. 3, 4 and 5) 5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Beneficially (D) or Owned Following Reported

Beneficial Indirect (I) Ownership (Instr. 4)

(Instr. 4)

Common 04/11/2016

or Code Amount (D) Price 1,602 D \$0 D

(1)

(A)

41,549

 $9,607^{(2)}$ 

Transaction(s)

(Instr. 3 and 4)

D

Ι

Common Stock

Stock

By ESOP

(9-02)

Indirect

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate	Amount of		Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ing	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e	Securitie	es	(Instr. 5)	Bene	
	Derivative				Securities			(Instr. 3	and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
								Λ.	mount		
									mount		
					Date Expira Exercisable Date		Expiration	n Title Numb			
							Date	Title Number of			
		Code V (A) (D)									
				Code V	(A) (D)			S	hares		

# **Reporting Owners**

Reporting Owner Name / Address	Keiauonsinps
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Director 10% Owner Officer Other

Daladianaliin

Johnson Ted Morris 6000 WESTOWN PARKWAY WEST DES MOINES, IA 50266

CFO & Treasurer

# **Signatures**

Ted M. Johnson

04/12/2016

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock that was canceled by mutual agreement of the reporting person and American Equity Investment Life Holding Company.
- (2) Reflects ESOP allocations that have occurred since the date of the reporting person's last ownership report.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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