

YUM BRANDS INC
Form 3
April 27, 2005

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Å knopf Ted

(Last) (First) (Middle)

1441 GARDINER LANE

(Street)

LOUISVILLE, Å KY Å 40213

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

04/26/2005

3. Issuer Name **and** Ticker or Trading Symbol
YUM BRANDS INC [YUM]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

____ Director ____ 10% Owner
X Officer ____ Other
(give title below) (specify below)
SVP and Controller

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
X Form filed by One Reporting
Person
____ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

3,967

D Å

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title Amount or
Number of

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Employee Stock Option (right to buy)	01/21/2003	01/21/2009	Common Stock	12,774	\$ 23.4844	D	Â
Employee Stock Option (right to buy)	01/27/2004	01/27/2010	Common Stock	19,816	\$ 15.14	D	Â
Employee Stock Option (right to buy)	01/25/2005	01/25/2011	Common Stock	37,242	\$ 16.7825	D	Â
Employee Stock Option	12/31/2002	12/31/2011	Common Stock	17,058	\$ 24.4075	D	Â
Employee Stock Option (right to buy)	01/23/2004	01/23/2013	Common Stock	17,523	\$ 24.325	D	Â
Stock Option Right to Buy	01/27/2005	01/27/2014	Common Stock	2,902	\$ 34.46	D	Â
Stock Option Right to Buy	01/27/2005	01/27/2014	Common Stock	14,649	\$ 34.46	D	Â
Phantom Stock	04/01/2008	Â ⁽¹⁾	Common Stock	1,792.65	\$ ⁽²⁾	D	Â
Stock Option (Right to Buy)	01/28/2006	01/28/2015	Common Stock	16,369	\$ 45.06	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
knopf Ted 1441 GARDINER LANE LOUISVILLE, KY 40213	Â	Â	Â SVP and Controller	Â

Signatures

Ted Knopf 04/27/2005

 Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The YUM! Brands, Inc. Executive Income Deferral Plan does not have specified expiration dates.

(2) Conversion occurs on a one-for-one basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.