

Bergren Scott  
Form 3/A  
December 07, 2006

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Â Bergren Scott

(Last) (First) (Middle)

14841 NORTH DALLAS  
PARKWAY

(Street)

DALLAS,Â TXÂ 75254

(City) (State) (Zip)

1. Title of Security  
(Instr. 4)

Common Stock

2. Date of Event Requiring Statement

(Month/Day/Year)

12/01/2006

3. Issuer Name and Ticker or Trading Symbol  
YUM BRANDS INC [YUM]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner

X Officer \_\_\_ Other  
(give title below) (specify below)

President - Pizza Hut, Inc.

5. If Amendment, Date Original Filed(Month/Day/Year)

12/01/2006

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person

\_\_\_ Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned  
(Instr. 4)

2

3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)

D

4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and Expiration Date  
(Month/Day/Year)

Date Exercisable

3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)

Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:  
Direct (D)

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

# Edgar Filing: Bergren Scott - Form 3/A

		Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Phantom Stock	Â (1)	Â (2)	Common Stock	2,309.38	\$ (3)	D	Â
Employee Stock Option (right to buy)	04/01/2003(4)	04/01/2012	Common Stock	19,012	\$ 28.93	D	Â
Employee Stock Option (right to buy)	04/01/2003(4)	04/01/2012	Common Stock	19,012	\$ 28.93	D	Â
Phantom Stock	Â (5)	Â (2)	Common Stock	2,345.01	\$ 0 (3)	D	Â
Phantom Stock	Â (1)	Â (2)	Common Stock	10,467.5	\$ (3)	D	Â
Employee Stock Option (right to buy)	01/23/2004(6)	01/23/2013	Common Stock	45,352	\$ 24.325	D	Â
Phantom Stock	Â (7)	Â (2)	Common Stock	3,146.03	\$ (3)	D	Â
Stock Option Right to Buy	01/27/2005(8)	01/27/2014	Common Stock	43,945	\$ 34.46	D	Â
Stock Option (Right to Buy)	01/28/2006(9)	01/28/2015	Common Stock	26,016	\$ 45.06	D	Â
Phantom Stock	Â (1)	Â (2)	Common Stock	4,001.77	\$ (3)	D	Â
YUM Stock Appreciation Right	01/26/2007(10)	01/26/2016	Common Stock	31,079	\$ 48.935	D	Â
Phantom Stock	Â (1)	Â (2)	Common Stock	16,460.61	\$ (3)	D	Â
Phantom Stock	Â (1)	Â (2)	Common Stock	2,295.02	\$ (3)	D	Â
Phantom Stock	Â (1)	Â (2)	Common Stock	2,513.46	\$ (3)	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Bergren Scott 14841 NORTH DALLAS PARKWAY DALLAS,Â TXÂ 75254	Â	Â	Â President - Pizza Hut, Inc.	Â

## Signatures

Scott Bergren

12/07/2006

    Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares of phantom stock payable upon retirement or termination and are subject to earlier withdrawal in accordance with the terms of YUM! Brands, Inc. deferred compensation plan.
- (2) The YUM! Brands, Inc. Executive Income Deferral Plan does not have specified expiration dates.
- (3) Conversion occurs on a one-for-one basis.
- (4) 25% per year beginning 04/01/2003
- (5) Shares of phantom stock are payable 4/1/2008 or retirement or termination and are subject to earlier withdrawal in accordance with the terms of the YUM! Brands, Inc. deferred compensation plan.
- (6) Vesting will occur 25% per year beginning 1/23/2004.
- (7) Payable 1/1/2008 or upon retirement and pursuant to the terms of the YUM! Brands deferred compensation plan.
- (8) Vesting will occur 25% per year beginning 1/27/2005.
- (9) Vesting will occur 25% per year beginning 01/28/2006
- (10) Vesting will occur 25% per year beginning 01/26/2007

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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