MOORES JOHN J Form 4

February 05, 2003

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

o Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction 1(b).

(Print or Type Responses)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF

CHANGES IN BENEFICIAL OWNERSHIP

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response 0.5

1. Name and Address of Reporting Person* 6. Relationship of Reporting Person(s) to Issuer Name and Ticker or Trading Symbol Issuer (Check all applicable) Moores John J. Neon Systems, Inc. NEON X Director X 10% Owner I.R.S. Identification Number 4. (Last) (First) (Middle) Statement for Officer (give Other Month/Day/Year of Reporting Person, if an title below) (specify below) entity (voluntary) 12680 High Bluff Drive Suite 200 February 2003 (Street) 5. If Amendment, Date 7. Individual or Joint/Group Filing of Original (Check Applicable Line) (Month/Day/Year) X Form filed by One Reporting Person Form filed by More than One San Diego CA 92130 Reporting Person (City) (State) (Zip) Table I Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction 4. Securities Acquired (A) 5. Amount of 6. Ownership 7. Nature of 2A. Deemed 3. Transaction Execution Date, or Disposed of (D) Security Date Code Securities Form: Indirect (Instr. 3) (Instr. 8) (Instr. 3, 4 and 5) Beneficially Direct (D) Beneficial if any Owned or Indirect Ownership Following (Instr. 4) (I) Reported (Instr. 4) Transaction(s) (Instr. 3 and 4) (Month/Day/Year) (Month/Day/Year) Code V Amount (A) Price or (D)

	Title of Derivative Security (Instr. 3)	2.	Conversion 3. or Exercise Price of Derivative Security	Transaction (Month/Day		Date, if			4. Transaction Code (Instr. 8)		5.	Derivative Securitie Acquired Disposed	tive		Date Exercisable and Expiration Date (Month/Day/Year)	
									Code	V		(A)	(D)		Date Exercisabl	Expiration Expiration
	Option to purchase common stock		2.49	2/4/2003		2/4/2003	3		A			12,500			(1)	2/4/2013
			•													Vature of Indire
	Title and Amo (Instr. 3 and 4)		of Underlying Se	ecurities 8.	Price of I Security (Instr. 5)	Derivative	De Se Be Fo Tra	llowin	ve s ally Ow ig Reportion(s)		1	Deriv Secur	ities: Dire r Indirect	ect	E	Beneficial Dwnership Instr. 4)
			Amount or N		Security	Derivative	De Se Be Fo Tra	erivativ curitie neficial llowin	ve s ally Ow ig Reportion(s)		1	Deriv Secur (D) or	ative ities: Dire r Indirect	ect	E	Beneficial Ownership

Explanation of Responses: (1) Options vest quarterly over two years. John J. Moores 2/5/2003 **Signature of Reporting Person Date Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. If the form is filed by more than one reporting person, see Instruction 4(b)(v). *Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

http://www.sec.gov/divisions/corpfin/forms/form4.htm