Edgar Filing: APPLIED INDUSTRIAL TECHNOLOGIES INC - Form 4

APPLIED INDUSTRIAL TECHNOLOGIES INC Form 4 August 23, 2016 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading EISELE MARK O Issuer Symbol APPLIED INDUSTRIAL (Check all applicable) **TECHNOLOGIES INC [AIT]** (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X_Officer (give title Other (specify (Month/Day/Year) below) below) ONE APPLIED PLAZA 08/19/2016 Vice President-CFO & Treasurer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting CLEVELAND, OH 44115 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of 6. Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect Beneficial (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Ownership (Month/Day/Year) Owned Direct (D) (Instr. 4) (Instr. 8) Following or Indirect Reported (\mathbf{I}) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Common S 08/19/2016 Μ 17,100 A 167,119 D Stock 25.44 Common 08/19/2016 F 13,296 D 153,823 D 46.37 Stock Deferred Common 7.575.69 Ι Compensation Stock Plan Common Retirement 14.432.14 I Stock Savings Plan Supplemental Common 337.15 Ι Defined Stock

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| | | | | | | Plan | | | | | | | | |
|---|--|---|---|--|--|-------------------------------------|--------------------|---|-----------------------------|--|--|--|--|--|
| Reminder: Repor | t on a separate | e line for each class of | securities beneficiall | ly owned dir | rectly or indirect | ly. | | | | | | | | |
| | | | in re di | Persons who respond to the collection of SEC 1 | | | 474 -02) | | | | | | | |
| | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Expiration Date (Month/Day/Year) | | 7. Title and Amoun Underlying Securiti (Instr. 3 and 4) | | | | | | |
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amor or Numl of Sh | | | | | |
| Stock Appreciation Rights | \$ 25.44 | 08/19/2016 | | М | 17,100 | 08/09/2008 | 08/09/2017 | Common Stock | 17,1 | | | | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--------------------------------|---------------|-----------|--------------------------------|-------|--|--|--|
| 1 | Director | 10% Owner | Officer | Other | | | |
| EISELE MARK O | | | | | | | |
| ONE APPLIED PLAZA | | | Vice President-CFO & Treasurer | | | | |
| CLEVELAND, OH 44115 | | | | | | | |
| Signatures | | | | | | | |

Dianne Misenko/POA for Mark O. Eisele

08/23/2016 Date

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Contribution